

# Multilinear forms

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Assume that all fields are characteristic 0 (i.e.  $1 + \dots + 1 \neq 0$ ), for example  $\mathbb{F} = \mathbb{Q}, \mathbb{R}, \mathbb{C}$ . Assume also that all vector spaces are finite dimensional.

## 1 Dual spaces

If  $V$  is a vector space, then  $V^* = L(V, \mathbb{F})$  is defined to be the space of linear maps from  $V$  to  $\mathbb{F}$ .

If  $v_1, \dots, v_n$  is a basis for  $V$ , then we define  $\alpha_i \in V^*$  for  $i = 1, \dots, n$ , by setting

$$\alpha_i(v_j) = \begin{cases} 1, & \text{if } i = j \\ 0, & \text{otherwise} \end{cases}$$

**Proposition 1.1.**  $\alpha_1, \dots, \alpha_n$  forms a basis for  $V^*$  (called the dual basis).

In particular, this shows that  $V$  and  $V^*$  are vector spaces of the same dimension. However, there is no natural way to choose an isomorphism between them, unless we pick some additional structure on  $V$  (such as a basis or a non-degenerate bilinear form).

On the other hand, we can construct an isomorphism  $\psi$  from  $V$  to  $(V^*)^*$  as follows. If  $v \in V$ , then we define  $\psi(v)$  to be the element of  $V^*$  given by

$$(\psi(v))(\alpha) = \alpha(v)$$

for all  $\alpha \in V^*$ . In other words, given a guy in  $V$ , we tell him to eat elements in  $V^*$  by allowing himself to be eaten.

**Proposition 1.2.**  $\psi$  is an isomorphism.

*Proof.* Since  $V$  and  $(V^*)^*$  have the same dimension, it is enough to show that  $\psi$  is injective.

Suppose that  $v \in V$ ,  $v \neq 0$ , and  $\psi(v) = 0$ . We wish to derive a contradiction.

Since  $v \neq 0$ , we can extend  $v$  to a basis  $v_1 = v, v_2, \dots, v_n$  for  $V$ . Then let  $\alpha_1$  defined as above. Then  $\alpha_1(v) = 1 \neq 0$  and so we have a contradiction. Thus  $\psi$  is injective as desired.  $\square$

From this proposition, we derive the following useful result.

**Corollary 1.3.** *Let  $\alpha_1, \dots, \alpha_n$  be a basis for  $V^*$ . Then there exists a basis  $v_1, \dots, v_n$  for  $V$  such that*

$$\alpha_i(v_j) = \begin{cases} 1, & \text{if } i = j \\ 0, & \text{otherwise} \end{cases}$$

for all  $i, j$ .

*Proof.* Let  $w_1, \dots, w_n$  be the dual basis to  $\alpha_1, \dots, \alpha_n$  in  $(V^*)^*$ . Since  $\psi$  is invertible,  $\psi^{-1}$  exists. Define  $v_i = \psi^{-1}(w_i)$ . Since  $w_1, \dots, w_n$  is a basis, so is  $v_1, \dots, v_n$ . Checking through the definitions shows that  $v_1, \dots, v_n$  have the desired properties.  $\square$

## 2 Bilinear forms

Let  $V$  be a vector space. We denote the set of all bilinear forms on  $V$  by  $(V^*)^{\otimes 2}$ . We have already seen that this set is a vector space.

Similarly, we have the subspaces  $Sym^2 V^*$  and  $\Lambda^2 V^*$  of symmetric and skew-symmetric bilinear forms.

**Proposition 2.1.**  $(V^*)^{\otimes 2} = Sym^2 V^* \oplus \Lambda^2 V^*$

*Proof.* Clearly,  $Sym^2 V^* \cap \Lambda^2 V^* = 0$ , so it suffices to show that any bilinear form is the sum of a symmetric and skew-symmetric bilinear form. Let  $H$  be a bilinear form. Let  $\hat{H}$  be the bilinear form defined by

$$\hat{H}(v_1, v_2) = H(v_2, v_1)$$

Then  $(H + \hat{H})/2$  is symmetric and  $(H - \hat{H})/2$  is skew-symmetric. Hence  $H = (H + \hat{H})/2 + (H - \hat{H})/2$  is the sum of a symmetric and skew-symmetric form.  $\square$

If  $\alpha, \beta \in V^*$ , then we can define a bilinear form  $\alpha \otimes \beta$  as follows.

$$(\alpha \otimes \beta)(v_1, v_2) = \alpha(v_1)\beta(v_2)$$

for  $v_1, v_2 \in V$ .

We can also define a symmetric bilinear form  $\alpha \cdot \beta$  by

$$(\alpha \cdot \beta)(v_1, v_2) = \alpha(v_1)\beta(v_2) + \alpha(v_2)\beta(v_1)$$

and a skew-symmetric bilinear form  $\alpha \wedge \beta$  by

$$(\alpha \wedge \beta)(v_1, v_2) = \alpha(v_1)\beta(v_2) - \alpha(v_2)\beta(v_1)$$

These operations are linear in each variable. In other words

$$\alpha \otimes (\beta + \gamma) = \alpha \otimes \beta + \alpha \otimes \gamma$$

and similar for the other operations.

**Example 2.2.** Take  $V = \mathbb{R}^2$ . Let  $\alpha_1, \alpha_2$  be the standard dual basis for  $V^*$ , so that

$$\alpha_1(x_1, x_2) = x_1, \quad \alpha_2(x_1, x_2) = x_2$$

Then  $\alpha_1 \otimes \alpha_2$  is given by

$$(\alpha_1 \otimes \alpha_2)((x_1, x_2), (y_1, y_2)) = x_1 y_2$$

Similarly  $\alpha_1 \wedge \alpha_2$  is the standard symplectic form on  $\mathbb{R}^2$ , given by

$$(\alpha_1 \wedge \alpha_2)((x_1, x_2), (y_1, y_2)) = x_1 y_2 - x_2 y_1$$

$\alpha_1 \cdot \alpha_2$  is the symmetric bilinear form of signature  $(1, 1)$  on  $\mathbb{R}^2$  given by

$$(\alpha_1 \cdot \alpha_2)((x_1, x_2), (y_1, y_2)) = x_1 y_2 + x_2 y_1$$

The standard positive definite bilinear form on  $\mathbb{R}^2$  (the dot product) is given by  $\alpha_1 \cdot \alpha_1 + \alpha_2 \cdot \alpha_2$ .

### 3 Multilinear forms

Let  $V$  be a vector space.

We can consider  $k$ -forms on  $V$ , which are maps

$$H : V \times \cdots \times V \rightarrow \mathbb{F}$$

which are linear in each argument. In other words

$$\begin{aligned} H(av_1, \dots, v_k) &= aH(v_1, \dots, v_k) \\ H(v + w, v_2, \dots, v_k) &= H(v, v_2, \dots, v_k) + H(w, v_2, \dots, v_k) \end{aligned}$$

for  $a \in \mathbb{F}$  and  $v, w, v_1, \dots, v_k \in V$ , and similarly in all other arguments.

$H$  is called symmetric if for each  $i$ , and all  $v_1, \dots, v_k$ ,

$$H(v_1, \dots, v_{i-1}, v_i, v_{i+1}, v_{i+2}, \dots, v_n) = H(v_1, \dots, v_{i-1}, v_{i+1}, v_i, v_{i+2}, \dots, v_n)$$

$H$  is called skew-symmetric (or alternating) if for each  $i$ , and all  $v_1, \dots, v_k$ ,

$$H(v_1, \dots, v_{i-1}, v_i, v_{i+1}, v_{i+2}, \dots, v_n) = -H(v_1, \dots, v_{i-1}, v_{i+1}, v_i, v_{i+2}, \dots, v_n)$$

The vector space of all  $k$ -forms is denoted  $(V^*)^{\otimes k}$  and the subspaces of symmetric and skew-symmetric forms are denoted  $Sym^k V^*$  and  $\Lambda^k V^*$ .

#### 3.1 Permutations

Let  $S_k$  denote the set of bijections from  $\{1, \dots, k\}$  to itself (called a permutation).  $S_k$  is also called the symmetric group. It has  $k!$  elements. The permutations occurring in the definition of symmetric and skew-symmetric forms are

called simple transpositions (they just swap  $i$  and  $i + 1$ ). Every permutation can be written as a composition of simple transpositions.

From this it immediately follows that if  $H$  is symmetric and if  $\sigma \in S_k$ , then

$$H(v_1, \dots, v_k) = H(v_{\sigma(1)}, \dots, v_{\sigma(k)})$$

There is a function  $\varepsilon : S_k \rightarrow \{1, -1\}$  called the sign of a permutation, which is defined by the conditions that  $\varepsilon(\sigma) = -1$  if  $\sigma$  is a simple transposition and

$$\varepsilon(\sigma_1\sigma_2) = \varepsilon(\sigma_1)\varepsilon(\sigma_2)$$

for all  $\sigma_1, \sigma_2 \in S_k$ .

The sign of a permutation gives us the behaviour of skew-symmetric  $k$ -forms under permuting the arguments. If  $H$  is skew-symmetric and if  $\sigma \in S_k$ , then

$$H(v_1, \dots, v_k) = \varepsilon(\sigma)H(v_{\sigma(1)}, \dots, v_{\sigma(k)})$$

### 3.2 Iterated tensors, dots, and wedges

If  $H$  is a  $k - 1$ -form and  $\alpha \in V^*$ , then we define  $H \otimes \alpha$  to be the  $k$ -form defined by

$$(H \otimes \alpha)(v_1, \dots, v_k) = H(v_1, \dots, v_{k-1})\alpha(v_k)$$

Similarly, if  $H$  is a symmetric  $k - 1$ -form and  $\alpha \in V^*$ , then we define  $H \cdot \alpha$  to be the  $k$ -form defined by

$$(H \otimes \alpha)(v_1, \dots, v_k) = H(v_1, \dots, v_{k-1})\alpha(v_k) + \dots + H(v_2, \dots, v_k)\alpha(v_1)$$

It is easy to see that  $H \cdot \alpha$  is a symmetric  $k$ -form.

Similarly, if  $H$  is a skew-symmetric  $k - 1$ -form and  $\alpha \in V^*$ , then we define  $H \wedge \alpha$  to be the  $k$ -form defined by

$$(H \otimes \alpha)(v_1, \dots, v_k) = H(v_1, \dots, v_{k-1})\alpha(v_k) - \dots \pm H(v_2, \dots, v_k)\alpha(v_1)$$

It is easy to see that  $H \wedge \alpha$  is a skew-symmetric  $k$ -form.

From these definitions, we see that if  $\alpha_1, \dots, \alpha_k \in V^*$ , then we can iteratively define

$$\alpha_1 \otimes \dots \otimes \alpha_k := ((\alpha_1 \otimes \alpha_2) \otimes \alpha_3) \otimes \dots \otimes \alpha_k$$

and similar definitions for  $\alpha_1 \cdot \dots \cdot \alpha_k$  and  $\alpha_1 \wedge \dots \wedge \alpha_k$ .

When we expand out the definitions of  $\alpha_1 \cdot \dots \cdot \alpha_k$  and  $\alpha_1 \wedge \dots \wedge \alpha_k$  there will be  $k!$  terms, one for each element of  $S_k$ .

For any  $\sigma \in S_k$ , we have

$$\alpha_1 \cdot \dots \cdot \alpha_k = \alpha_{\sigma(1)} \cdot \dots \cdot \alpha_{\sigma(k)}$$

and

$$\alpha_1 \wedge \dots \wedge \alpha_k = \varepsilon(\sigma)\alpha_{\sigma(1)} \wedge \dots \wedge \alpha_{\sigma(k)}$$

The later property implies that  $\alpha_1 \wedge \dots \wedge \alpha_k = 0$  if  $\alpha_i = \alpha_j$  for some  $i \neq j$ .

The following result is helpful in understanding these iterated wedges.

**Theorem 3.1.** *Let  $\alpha_1, \dots, \alpha_k \in V^*$ .*

$\alpha_1 \wedge \dots \wedge \alpha_k = 0$  if and only if  $\alpha_1, \dots, \alpha_k$  are linearly dependent

*Proof.* Suppose that  $\alpha_1, \dots, \alpha_k$  is linearly dependent. Then there exists  $x_1, \dots, x_k$  such that

$$x_1\alpha_1 + \dots + x_k\alpha_k = 0$$

and not all  $x_1, \dots, x_k$  are zero. Assume that  $x_k \neq 0$ . Let  $H = \alpha_1 \wedge \dots \wedge \alpha_{k-1}$  and let us apply  $H \wedge$  to both sides of this equation. Using the above results and the linearity of  $\wedge$ , we deduce that

$$x_k\alpha_1 \wedge \dots \wedge \alpha_{k-1} \wedge \alpha_k = 0$$

which implies that  $\alpha_1 \wedge \dots \wedge \alpha_k = 0$  as desired.

For the converse, suppose that  $\alpha_1, \dots, \alpha_k$  are linearly independent. Then we can extend  $\alpha_1, \dots, \alpha_k$  to a basis  $\alpha_1, \dots, \alpha_n$  for  $V^*$ . Let  $v_1, \dots, v_n$  be the dual basis for  $V$ . Then

$$(\alpha_1 \wedge \dots \wedge \alpha_k)(v_1, \dots, v_k) = 1$$

and so  $\alpha_1 \wedge \dots \wedge \alpha_k \neq 0$ . □

The same method of proof can be used to prove the following result.

**Theorem 3.2.** *Let  $v_1, \dots, v_k \in V$ . Then there exists  $H \in \Lambda^k V^*$  such that  $H(v_1, \dots, v_k) \neq 0$  if and only if  $v_1, \dots, v_k$  are linearly independent.*

In particular this theorem shows that  $\Lambda^k V^* = 0$  if  $k > \dim V$ .

### 3.3 Bases and dimension

We will now describe bases for our vector spaces of  $k$ -forms.

**Theorem 3.3.** *Let  $\alpha_1, \dots, \alpha_n$  be a basis for  $V^*$ .*

(i)  $\{\alpha_{i_1} \otimes \dots \otimes \alpha_{i_k}\}_{1 \leq i_1, \dots, i_k \leq n}$  is a basis for  $(V^*)^{\otimes k}$ .

(ii)  $\{\alpha_{i_1} \cdots \alpha_{i_k}\}_{1 \leq i_1 \leq \dots \leq i_k \leq n}$  is a basis for  $\text{Sym}^k V^*$ .

(iii)  $\{\alpha_{i_1} \wedge \dots \wedge \alpha_{i_k}\}_{1 \leq i_1 < \dots < i_k \leq n}$  is a basis for  $\Lambda^k V^*$ .

*Proof.* We give the proof for the case of  $(V^*)^{\otimes k}$  as the other cases are similar. So simplify the notation, let us assume that  $k = 2$ .

Let us first show that every bilinear form is a linear combination of  $\{\alpha_i \otimes \alpha_j\}$ . Let  $H$  be a bilinear form. Let  $v_1, \dots, v_n$  be the basis of  $V$  dual to  $\alpha_1, \dots, \alpha_n$ . Let  $c_{ij} = H(v_i, v_j)$  for each  $i, j$ . We claim that

$$H = \sum_{i=1}^n \sum_{j=1}^n c_{ij} \alpha_i \otimes \alpha_j$$

Since both sides are bilinear forms, it suffices to check that they agree on all pairs  $(v_k, v_l)$  of basis vectors. By definition  $H(v_k, v_l) = c_{kl}$ . On the other hand,

$$\left( \sum_{i=1}^n \sum_{j=1}^n c_{ij} \alpha_i \otimes \alpha_j \right) (v_k, v_l) = \sum_{i=1}^n \sum_{j=1}^n c_{ij} \alpha_i(v_k) \alpha_j(v_l) = c_{kl}$$

and so the claim follows.

Now to see that  $\{\alpha_i \otimes \alpha_j\}$  is a linearly independent set, just note that if

$$\sum_{i=1}^n \sum_{j=1}^n c_{ij} \alpha_i \otimes \alpha_j = 0,$$

then by evaluating both sides on  $(v_i, v_j)$ , we see that  $c_{ij} = 0$  for all  $i, j$ .  $\square$

**Example 3.4.** Take  $n = 2, k = 2$ . Then our bases are

$$\alpha_1 \otimes \alpha_1, \alpha_1 \otimes \alpha_2, \alpha_2 \otimes \alpha_1, \alpha_2 \otimes \alpha_2$$

and

$$\alpha_1 \cdot \alpha_1, \alpha_1 \cdot \alpha_2, \alpha_2 \cdot \alpha_2$$

and

$$\alpha_1 \wedge \alpha_2$$

**Corollary 3.5.** *The dimension of  $(V^*)^{\otimes k}$  is  $n^k$ , the dimension of  $\text{Sym}^k V^*$  is  $\binom{n+k-1}{k}$  and the dimension of  $\Lambda^k V^*$  is  $\binom{n}{k}$ .*