

# Inscribed radius bounds for lower Ricci bounded metric measure spaces with mean convex boundary

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*Slides: click on 'Talk' at [www.math.toronto.edu/mccann](http://www.math.toronto.edu/mccann)*

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*Inscribed radius*  $r = r(\Omega)$  of an open subset  $\Omega$  of a metric space  $(X, d)$  is

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## Theorem (classical)

If  $(X, d) = (\mathbb{R}^n, |\cdot|)$  and the mean-curvature  $H_{\partial\Omega} \geq (n-1)/R$  then

$$r(\Omega) \leq R,$$

with equality iff  $\Omega$  isometric to the Euclidean ball  $B(0; R) \subset \mathbb{R}^n$  ('rigidity')

- this classical bound may be proved using the maximum principle
- it has well-known Lorentzian ([Hawking '68](#)) and Riemannian ([Kasue '83](#), [Li '14](#), ...) analogs

## Theorem (Kasue '83; mean convex Riemannian mflds with boundary)

If  $(X, d) = (M^n, g)$  has  $\text{Ric}_g \geq Kg$  and  $\Omega \subset M$  with  $\partial\Omega \neq \emptyset$  is  $C^2$ , open, connected, and has  $H_{\partial\Omega}(x) \geq H \in \mathbb{R}$  with  $\max \left\{ K, \frac{H}{n-1} - \sqrt{\frac{|K|}{n-1}} \right\} > 0$ , then

$$r(\Omega) \leq r_{K,H,n} = r_{\frac{K}{n-1}, \frac{H}{n-1}, 2} < \infty$$

with equality iff  $\Omega$  is isometric to an open ball whose boundary has mean curvature  $H$  in a spaceform of constant (sectional) curvature  $\frac{K}{n-1}$ .

- here 'spaceform' refers to a spherical, Euclidean or hyperbolic  $n$ -space
- but what if  $\Omega$  and/or  $X$  is less smooth?

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- Lott-Villani and Sturm used optimal transport to define lower Ricci bounds in metric spaces  $(X, d)$  equipped with a reference measure  $m$ ;
- we extend Kasue's theorem to this metric-measure space ('mms') setting
- our rigidity statement requires the more restrictive RCD ('Riemannian curvature dimension') condition of Ambrosio, Gigli, and Savare '14, and equality is attained by truncated cones as well as by balls

# Terminology

- $(X, d, m)$  is a metric space with Borel reference measure s.t.  $X = \text{spt } m$
- *geodesic* refers to a curve  $\{x_t\}_{t \in [0,1]} \subset X$  satisfying

$$d(x_s, x_t) = |t - s|d(x_0, x_1) \quad \forall s, t \in [0, 1]$$

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- $\mathcal{P}(X, d) := \{\text{Borel probability measures } \mu \text{ on } X\}$ , metrized by the  **$L^2$ -Kantorovich-Rubinstein-Wasserstein distance** from optimal transport

$$d_2(\mu, \nu) := \left( \inf_{\{\gamma \in \mathcal{P}(X^2, d \otimes d) \text{ with marginals } \mu \text{ and } \nu\}} \int_{X^2} d(x, y)^2 d\gamma(x, y) \right)^{1/2}$$



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- $\mathcal{P}_2(X, d) := \{\mu \in \mathcal{P}(X, d) \mid d_2(\mu, \delta_x) < \infty\}$  for some (hence all)  $x \in X$
- $\mathcal{P}_2^*(X, d, m) := \{\mu \in \mathcal{P}_2(X, d, m) \mid \text{finite rel. entropy } E(\mu \mid m) < \infty\}$

# Curvature Dimension (& Measure Contraction) Properties

- Sturm '06: fix curvature and dimension parameters  $K \in \mathbb{R}$  and  $N \geq 1$
- $K = 0$  and/or  $N = \infty$  considered also in Lott-Villani '09

Definition ( $CD(K, N)$  after Erbar-Kuwada-Sturm '15)

$(X, d, m) \in CD(K, N) \Leftrightarrow \forall \mu_0, \mu_1 \in \mathcal{P}_2^*(X, d, m) \exists$  geodesic  $\{\mu_t\}_{t \in [0,1]}$  s.t.

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$$e''(t) - \frac{e'(t)^2}{N} \geq K d_2(\mu_0, \mu_1)^2 \quad \text{distributionally on } t \in (0, 1), \text{ where}$$

$$e(t) = E(\mu_t | m) := \begin{cases} \int_X \frac{d\mu_t}{dm} \log \frac{d\mu_t}{dm} dm & \text{if } \mu_t \ll m, \\ +\infty & \text{else,} \end{cases}$$

is the Boltzmann-Shannon entropy along the  $(\mathcal{P}(X, d), d_2)$  geodesic.

- $(X, d, m) \in MCP(K, N) \Leftrightarrow$  the same  $\forall \mu_0 \in \mathcal{P}_2^*(X, d, m)$  and  $\mu_1 = \delta_x$ .

- Riemannian mfld  $(M^n, d_g, \text{vol}_g) \in CD(K, N) \Leftrightarrow Ric_g \geq Kg$  and  $n \leq N$ ;  
e.g.  $M = \overline{B(0, 1)} \subset \mathbb{R}^n$  is  $CD(0, n)$ , while  $\partial M \in CD(n - 2, n - 1)$ .
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- If  $(X, d, m) \in CD(K', N')$  and  $\Omega \subset X$ , we say  $\Omega \in CD_r(K, N)$  if  $\mu_0[\Omega] = 1 = \mu_1[\Omega]$  in the previous construction implies

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• **restriction**  $MCP_r(K, N)$  is defined analogously relative to  $MCP(K', N')$

• the *signed distance* to the boundary of  $\Omega$  is defined by

$$d_{\Omega}^{\pm}(x) := \begin{cases} d_{\Omega}(x) & \text{if } x \notin \Omega, \\ -d_{X \setminus \Omega}(x) & \text{if } x \in \Omega, \end{cases}$$

where

$$d_{\Omega}(x) := \inf_{y \in \Omega} d(x, y)$$

•  $Lip(d_{\Omega}^{\pm}) \leq 1$

# Cavalletti et al's Needle Decomposition / 1d Localization

After discarding a (carefully chosen!) measure zero set from the non-branching MCP space  $(X, d, m)$ ,

$$x \sim y \Leftrightarrow |d_{\Omega}^{\pm}(y) - d_{\Omega}^{\pm}(x)| = d(x, y)$$

defines an equivalence relation, whose equivalence classes consist of geodesic segments called needles, heuristically 'normal' to  $\partial\Omega$ .

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Let  $\tilde{x}$  denote the equivalence class of  $x \in X$ ;  
the quotient space  $\tilde{X} := X / \sim$  can be identified with  $\partial\Omega$ ,  
and inherits the quotient measure  $\tilde{m}$  from  $(X, d, m)$ .

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Cavalletti and coauthors re-express  $m$  relative to these coordinates,  
by providing a measure  $m_{\tilde{x}}$  on each equivalence class  $\tilde{x} \in \partial\Omega$  s.t.

$$m(E) = \int_{\partial\Omega} d\tilde{m}(\tilde{x}) \int_{\tilde{x} \cap E \subset \mathbb{R}} dm_{\tilde{x}}(s) \quad \forall \text{ Borel } E \subset X.$$

# Mean convexity and mean curvature

- $(X, d, m) \in CD(K, N) \Leftrightarrow (\tilde{X}, |\cdot|, m_{\tilde{X}}) \in CD(K, N) \forall \Omega \subset X, \tilde{m}$ -a.e.  $\tilde{X}$

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- $(\tilde{x}, |\cdot|, m_{\tilde{x}}) \in CD(K, N) \Leftrightarrow \tilde{x} = \{x\}$  or  $dm_{\tilde{x}}(s) = h_{\tilde{x}}(s)ds$  and

$$(h_{\tilde{x}}^{\frac{1}{N-1}})'' \leq -\frac{K}{N-1} h_{\tilde{x}}^{\frac{1}{N-1}}$$

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- **Ketterer '20**: define the (inner) mean curvature  $H_{\partial\Omega}$  at  $\tilde{m}$ -a.e.  $x \in \partial\Omega$  as

$$H_{\partial\Omega}(x) := \frac{d^+}{ds} \log h_{\tilde{x}}(0^-) = \limsup_{s \nearrow 0} \frac{\log h_{\tilde{x}}(s) - \log h_{\tilde{x}}(0)}{s}$$

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- we'll write  $H_{\partial\Omega} \geq \lambda$  provided  $H_{\partial\Omega}(x) \geq \lambda$  holds  $\tilde{m}$ -a.e.,  $m[\partial\Omega] = 0$ , and

$$m[\{x \mid \tilde{x} \subset \Omega \cup \partial\Omega\}] = 0$$

(preventing e.g. inward pointing cusps on the boundary)

- c.f. **Cavalletti-Mondino '20+** nonsmooth Hawking singularity theorem

## Theorem (Extending Kasue's results to nonsmooth spaces)

(a) If  $(X, d, m) \in \text{MCP}(K', N)$  for some  $K' \in \mathbb{R}$  and  $1 < N < \infty$  and if  $\Omega \subset X$  open with  $\partial\Omega \neq \emptyset$  satisfies  $\Omega \in \text{MCP}_r(K, N)$  and  $H_{\partial\Omega} \geq H \in \mathbb{R}$  with  $\max \left\{ K, H - \sqrt{(N-1)|K|} \right\} > 0$ , then the inscribed radius of  $\Omega$

$$r(\Omega) \leq r_{K,H,N} := r_{\frac{K}{N-1}, \frac{H}{N-1}, 2} < \infty$$

where  $r_{\kappa, \lambda, 2}$  is again the radius of a circle with curvature  $\lambda := \frac{H}{N-1}$  in a two-dimensional spaceform of constant curvature  $\kappa := \frac{K}{N-1}$ .

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(b) (*Rigidity*) If also  $(X, d, m) \in \text{RCD}(K, N)$  with  $\kappa \in \{-1, 0, 1\}$  and  $\Omega$  is connected, then  $r(\Omega) = r_{K,H,N} \Leftrightarrow \Omega$  becomes *isometric* to the ball  $B(o, r_{K,H,N})$  around the cone tip in some conical warped product  $I \otimes_{\kappa}^{N-1} Y$  of an interval  $I := [0, \pi_{\kappa})$  with an  $\text{RCD}(N-2, N-1)$  space  $(Y, d_Y, m_Y)$ , when both  $\Omega$  and  $B(o, r_{K,H,N})$  are equipped with their induced intrinsic distances. (Here  $Y$  is a single point when  $N < 2$ .)

- Kapovitch-Ketterer '20:  $RCD(K, N) \subset CD(K, N)$  refers to spaces  $(X, d, m)$  for which  $m$ -a.e. tangent cone is isometric to Euclidean space



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- set  $\sin_\kappa(t) := \begin{cases} \sin(t) & \text{if } \kappa = 1, \\ t & \text{if } \kappa = 0, \\ \sinh(t) & \text{if } \kappa = -1 \end{cases}$
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$$d((s, y), (t, z))^2 := s^2 + t^2 - 2st \cos(d_Y(y, z) \wedge \pi) \quad \text{if } \kappa = 0$$

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$$\sin'_\kappa d((s, y), (t, z)) = \sin'_\kappa(s) \sin'_\kappa(t) - \kappa \sin_\kappa(s) \sin_\kappa(t) \cos(d_Y(y, z) \wedge \pi)$$

- in each case the points  $(0, y)$  and  $(0, z)$  are identified (the 'cone tip')

## Idea of proof (a)

Assume  $(K, H) = (0, N - 1)$  for simplicity, as for the unit ball in  $\mathbb{R}^N$ :

- on  $\tilde{m}$ -a.e. geodesic segment  $\tilde{x}$  'normal' to  $\partial\Omega$ :  $\Omega \in CD_r(K, N)$  implies

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- **concavity** shows  $h_{\tilde{x}}^{\frac{1}{N-1}}$  then becomes negative for  $s < -1$

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- **concavity** shows  $h_{\tilde{x}}^{\frac{1}{N-1}}$  then becomes negative for  $s < -1$
- but  $dm_{\tilde{x}}(s) = h_{\tilde{x}}(s)ds \geq 0$ , thus  $s := d_{\Omega}^{\pm}(x) \geq -1$  meaning

## Idea of proof (a)

Assume  $(K, H) = (0, N - 1)$  for simplicity, as for the unit ball in  $\mathbb{R}^N$ :

- on  $\tilde{m}$ -a.e. geodesic segment  $\tilde{x}$  'normal' to  $\partial\Omega$ :  $\Omega \in CD_r(K, N)$  implies

$$\frac{d^2 h_{\tilde{x}}^{\frac{1}{N-1}}}{ds^2} \leq 0 \quad \forall s \leq 0.$$

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- but  $dm_{\tilde{x}}(s) = h_{\tilde{x}}(s)ds \geq 0$ , thus  $s := d_{\Omega}^{\pm}(x) \geq -1$  meaning
- geodesics  $\tilde{x}$  extending further than unit distance into  $\Omega$  are  $\tilde{m}$  negligible
- so in fact no such geodesic can exist.



## Idea of proof (b)

Relies on a [de Philippis and Gigli '16](#) result which asserts:

whenever two concentric  $RCD(K, N)$  balls behave **volumetrically** as they would in a cone,

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Thank you very much!