

# MATD01 Fields and Groups

## Assignment 3

### Solutions

1. By Lagrange's theorem every element  $\alpha \in F^\times$  satisfies  $\alpha^{q-1} = \alpha^{|\mathbb{F}^\times|} = 1$ , so that every element of  $F^\times$  is a root of  $x^{q-1} - 1$ . Thus

$$\prod_{\alpha \in F^\times} (x - \alpha) \mid (x^{q-1} - 1).$$

Comparing first the degrees and then the leading coefficients we get

$$\prod_{\alpha \in F^\times} (x - \alpha) = x^{q-1} - 1.$$

Now compare coefficients of  $x^{q-2}$  (resp. 1) in the two sides of the equality to get the formulas (i) and (ii). (For (ii) you should consider the case of characteristic 2 separately.)

2. (a) Suppose  $(r)$  is maximal for some nonzero  $r$ . Then by the definition of a maximal ideal,  $(r) \neq R$  and hence  $r$  is not a unit. Now suppose  $r = ab$  for some  $a, b \in R$ . We then have  $(r) \subset (a)$ . It follows from the maximality of  $(r)$  that either  $(a) = (r)$  or  $(a) = R$ . In the former case, since  $R$  is an integral domain, we must have  $r = au$  for some unit  $u$  (why?), and again since  $R$  is an integral domain and  $a \neq 0$  (as  $r \neq 0$ ) it follows from  $ab = au$  that  $b = u$ ; hence  $b$  is a unit. On the other hand, if  $(a) = R$  then  $a$  is a unit.

Remark: We will shortly see that the hypothesis of maximality of  $(r)$  here can be weakened; it is enough to assume that the ideal  $(r)$  is prime. (We'll define prime ideals soon. Any maximal ideal is prime.)

(b) Suppose  $R$  is a PID and  $r \in R$  is irreducible. Let  $(r) \subset I$  for some ideal  $I \subset R$ . We shall argue that  $I$  is either  $(r)$  or  $R$ . Since  $R$  is a PID,  $I = (a)$  for some  $a \in R$ . Then  $(r) \subset (a)$  gives  $r = ab$  for some  $b \in R$ . Since  $r$  is irreducible, either  $a$  or  $b$  must be a unit. In the former case  $I = R$  and in the latter case  $(r) = (a)$  (why?).

(c) Let  $F$  be any field. Let  $f(x)$  be an irreducible element of  $F[x]$ . Since  $F[x]$  is a PID, by Part (b) above the ideal  $(f(x))$  of  $F[x]$  is maximal. By Problem 3 of last assignment  $F[x]/(f(x))$  is a field.

Take  $F = \mathbb{F}_2$  and  $f(x) = x^2 + x + 1 \in \mathbb{F}_2[x]$ . Being of degree 2, the polynomial  $f(x)$  is irreducible in  $\mathbb{F}_2[x]$  if and only if  $f(x)$  has no root in  $\mathbb{F}_2$ . Checking  $x = 0, 1$  we see that  $x^2 + x + 1$  indeed has no root in  $\mathbb{F}_2$ , hence is irreducible. Thus  $K = \mathbb{F}_2[x]/(x^2 + x + 1)$  is a field. By Problem 5 of last assignment  $K$  has 4 elements.

3. (a) true

(b) Let  $I$  be any proper ideal of a principal ideal domain  $R$ . Suppose  $I$  contains an irreducible element  $r$ . We claim that then  $I = (r)$ . Indeed, by Problem 2(b) above, the ideal  $(r)$  is maximal. Combining with  $(r) \subset I$  and properness of  $I$  it follows that  $(r) = I$ . Applying this to  $R = F[x]$  and  $I = \ker(\text{ev}_\alpha)$  we get that if  $f(x) \in \ker(\text{ev}_\alpha)$  is irreducible, then  $\ker(\text{ev}_\alpha) = (f(x))$ .

Conversely, suppose  $\ker(\text{ev}_\alpha) = (f(x))$ . Since  $\ker(\text{ev}_\alpha)$  is a proper ideal (of  $F[x]$ ),  $f(x)$  is not a unit. Suppose  $f(x) = g(x)h(x)$ . Then  $0 = f(\alpha) = g(\alpha)h(\alpha)$ , so that  $g(\alpha)$  or  $h(\alpha)$  must be zero. Suppose  $g(\alpha) = 0$ . Then  $g(x) \in \ker(\text{ev}_\alpha)$ , so that  $f(x) \mid g(x)$  (why?).

Combining with  $f(x) = g(x)h(x)$ , in view of  $f(x) \neq 0$  (which holds because  $\alpha$  is algebraic) and the fact that  $F[x]$  is a domain, it follows that  $h(x)$  must be a unit (why?). (Alternative argument using results from Chapter 7: By the first isomorphism theorem  $F[x]/\ker(\text{ev}_\alpha)$  is isomorphic to a subring of  $K$ , hence is an integral domain (why?). Thus  $\ker(\text{ev}_\alpha)$  is a prime ideal. Since  $\alpha$  is algebraic,  $\ker(\text{ev}_\alpha)$  is nonzero. Thus any generator of  $\ker(\text{ev}_\alpha)$  is irreducible.)

We now know that the following two conditions are equivalent for any element  $f(x) \in \ker(\text{ev}_\alpha)$ :

- (i)  $f(x)$  is monic and generates  $\ker(\text{ev}_\alpha)$ .
- (ii)  $f(x)$  is monic and irreducible.

Being a nonzero ideal of  $F[x]$ , the ideal  $\ker(\text{ev}_\alpha)$  has a unique element  $f(x)$  satisfying (i). The same element is the unique element satisfying (ii).

(c) The minimal polynomial of  $i$  over  $\mathbb{Q}$  is  $f(x) = x^2 + 1$  ( $f(x)$  is irreducible, monic and satisfies  $f(i) = 0$ ). The minimal polynomial of  $\sqrt{2} + 1$  over  $\mathbb{Q}$  is  $g(x) = x^2 - 2x - 1$  (why?).

(d) Let  $n$  be the dimension of  $K$  as a vector space over  $F$ . Given  $\alpha \in K$ , consider the elements  $\alpha^j$  ( $0 \leq j \leq n$ ). Since  $K$  is  $n$ -dimensional over  $F$ , any  $n + 1$  elements of  $K$  are  $F$ -linearly dependent. In particular, there are  $c_j \in F$  ( $0 \leq j \leq n$ ), not all zero, such that  $\sum_{j=0}^n c_j \alpha^j = 0$ . Then  $\alpha$  is a root of the nonzero polynomial  $\sum_{j=0}^n c_j x^j \in F[x]$ .

4. For each  $\alpha \in \mathbb{C}$ , let  $\text{ev}_\alpha : \mathbb{Q}[x] \rightarrow \mathbb{C}$  be the map defined by  $\text{ev}_\alpha(f(x)) = f(\alpha)$  (evaluation at  $\alpha$ ). We claim that

$$\text{Hom}(\mathbb{Q}[x], \mathbb{C}) = \{\text{ev}_\alpha : \alpha \in \mathbb{C}\}.$$

We leave it to the reader to check that the maps  $\text{ev}_\alpha$  are indeed ring homomorphisms. Given arbitrary  $\phi \in \text{Hom}(\mathbb{Q}[x], \mathbb{C})$ , set  $\alpha = \phi(x)$ . Then for any  $f(x) = \sum_i c_i x^i \in \mathbb{Q}[x]$ ,

$$\phi(f(x)) \stackrel{\text{why?}}{=} \sum_i \phi(c_i) \phi(x)^i \stackrel{(*)}{=} \sum_i c_i \alpha^i = \text{ev}_\alpha(f(x)),$$

so that  $\phi = \text{ev}_\alpha$ . (Note that in  $(*)$  we used the following fact, which we leave it to the reader to check: if  $\phi : \mathbb{Q} \rightarrow \mathbb{C}$  is a ring homomorphism, then  $\phi(c) = c$  for every  $c \in \mathbb{Q}$ .)

(b) Throughout the solution, given any  $r \in R$  we write  $\bar{r}$  for the element  $r + I$  of  $R/I$ .

First we show the “if” statement. Suppose there is a ring homomorphism  $\bar{\phi} : R/I \rightarrow S$  such that  $\phi = \bar{\phi} \circ \pi$ . Then

$$I = \ker(\pi) \stackrel{\text{why?}}{\subset} \ker(\phi).$$

To prove the “only if” statement, let us assume for the moment that a map  $\bar{\phi} : R/I \rightarrow S$  satisfying  $\phi = \bar{\phi} \circ \pi$  exists. Given any  $T \in R/I$ , we have  $T = \bar{r}$  for some  $r \in R$ , and from  $\phi = \bar{\phi} \circ \pi$  we have

$$\bar{\phi}(T) = \bar{\phi}(\bar{r}) = \bar{\phi}(\pi(r)) = \phi(r).$$

Back to the proof of the “only if” statement, suppose  $I \subset \ker(\phi)$ . Define  $\bar{\phi} : R/I \rightarrow S$  by the formula suggested above, that is, given  $T \in R/I$  with  $T = \bar{r}$ , set  $\bar{\phi}(T) = \phi(r)$  (as observed above, if the map  $\bar{\phi}$  exists, it has to be given by this formula). The assumption  $I \subset \ker(\phi)$  guarantees that  $\bar{\phi}$  is well-defined. Indeed, if  $\bar{r} = \bar{r}'$  for some  $r, r' \in R$ , then  $r - r' \in I$ . Thanks to  $I \subset \ker(\phi)$  we thus have  $\phi(r) = \phi(r')$ . We leave it to the reader to check that  $\bar{\phi}$

(which at the moment, is a function  $\mathbb{R}/I \rightarrow S$ ) is a ring homomorphism. That  $\phi = \overline{\phi} \circ \pi$  holds is by construction of  $\overline{\phi}$ :

$$\overline{\phi} \circ \pi(r) = \overline{\phi}(\overline{r}) = \phi(r).$$

Now the “moreover” statement: this follows from the following general fact about functions: if  $f : X \rightarrow Y$  and  $g_1, g_2 : Y \rightarrow Z$  are functions,  $f$  is surjective, and  $g_1 \circ f = g_2 \circ f$ , then  $g_1 = g_2$ . We leave checking this to the reader. Applying it to our situation,  $\overline{\phi} \circ \pi = \overline{\phi}' \circ \pi$  implies  $\overline{\phi} = \overline{\phi}'$ .

Finally, for the last assertion, consider

$$\Gamma : \{\phi \in \text{Hom}(\mathbb{R}, S) : I \subset \ker(\phi)\} \rightarrow \text{Hom}(\mathbb{R}/I, S)$$

given by

$$\phi \mapsto \overline{\phi}$$

(with notation as above). This makes sense by the “only if” part of the statement we proved earlier (and its proof, where we said what  $\overline{\phi}$  is). We claim that  $\Gamma$  is a bijection. Indeed, we shall construct the inverse to  $\Gamma$ : given any  $\psi \in \text{Hom}(\mathbb{R}/I, S)$ , the composition  $\psi \circ \pi$  is a ring homomorphism  $\mathbb{R} \rightarrow S$  and satisfies  $I = \ker(\pi) \subset \ker(\psi \circ \pi)$ . Define

$$\Lambda : \text{Hom}(\mathbb{R}/I, S) \rightarrow \{\phi \in \text{Hom}(\mathbb{R}, S) : I \subset \ker(\phi)\}$$

by

$$\psi \mapsto \pi \circ \psi.$$

We now check that  $\Lambda = \Gamma^{-1}$ : for any  $\phi \in \text{Hom}(\mathbb{R}, S)$  satisfying  $I \subset \ker(\phi)$ , we have

$$\Lambda \circ \Gamma(\phi) = \Lambda(\overline{\phi}) = \pi \circ \overline{\phi} = \phi.$$

(so the composition  $\Lambda \circ \Gamma$  is identity). On the other hand, given any  $\psi \in \text{Hom}(\mathbb{R}/I, S)$ ,

$$\Gamma \circ \Lambda(\psi) = \Gamma(\psi \circ \pi) = \overline{\psi \circ \pi} = \psi.$$

(For the last equality, we have used  $\overline{\psi \circ \pi} \circ \pi = \psi \circ \pi$  (which holds by definition of  $\overline{\psi \circ \pi}$ ) and surjectivity of  $\pi$  - see the remark we made in the proof of the “moreover” statement.)

(c) Let us focus on homomorphisms  $\mathbb{Q}[x]/(x^3 - 2) \rightarrow \mathbb{C}$  first. By Part (b), we need to find homomorphisms  $\mathbb{Q}[x] \rightarrow \mathbb{C}$  which map  $I = (x^3 - 2)$  to zero. Part (a) describes all homomorphisms  $\mathbb{Q}[x] \rightarrow \mathbb{C}$ : each is of the form  $ev_\alpha : f(x) \mapsto f(\alpha)$  for some  $\alpha \in \mathbb{C}$ . For  $ev_\alpha$  to send  $x^3 - 2$  to zero,  $\alpha$  has to be a root of  $x^3 - 2$ . Thus there are three homomorphisms  $\mathbb{Q}[x] \rightarrow \mathbb{C}$  that vanish on  $I$ , namely  $ev_{\sqrt[3]{2}} : f(x) \mapsto f(\sqrt[3]{2})$ ,  $ev_{\sqrt[3]{2}\omega} : f(x) \mapsto f(\sqrt[3]{2}\omega)$ , and  $ev_{\sqrt[3]{2}\omega^2} : f(x) \mapsto f(\sqrt[3]{2}\omega^2)$ , where  $\sqrt[3]{2}$  is the positive third root of 2 and  $\omega = e^{2\pi i/3}$ . Each induces a map  $\mathbb{Q}[x]/(x^3 - 2) \rightarrow \mathbb{C}$  (and these are the only homomorphisms  $\mathbb{Q}[x]/(x^3 - 2) \rightarrow \mathbb{C}$ ); they are the maps

$$\overline{ev_{\sqrt[3]{2}}} : \overline{f(x)} \mapsto f(\sqrt[3]{2}), \quad \overline{ev_{\sqrt[3]{2}\omega}} : \overline{f(x)} \mapsto f(\sqrt[3]{2}\omega), \quad \overline{ev_{\sqrt[3]{2}\omega^2}} : \overline{f(x)} \mapsto f(\sqrt[3]{2}\omega^2).$$

Out of these only the first one gives a map into  $\mathbb{R}$ .

Now on to the kernels and images. The kernels are all zero, as irreducibility of  $x^3 - 2$  over  $\mathbb{Q}$  implies that  $\mathbb{Q}[x]/(x^3 - 2)$  is a field. The image of  $\overline{ev_\alpha}$  (for  $\alpha = \sqrt[3]{2}, \sqrt[3]{2}\omega$  and  $\sqrt[3]{2}\omega^2$ ) is by definition  $\{f(\alpha) : f[x] \in \mathbb{Q}[x]\}$ . By Question 1 on Assignment 1, this can be expressed more simply as

$$\text{Im}(\overline{ev_\alpha}) = \{a + b\alpha + c\alpha^2 : a, b, c \in \mathbb{Q}\}$$

(make sure you agree). Each of the images is a 3-dimensional vector space over  $\mathbb{Q}$  with basis  $\{1, \alpha, \alpha^2\}$ . (The dimension is 3 and not less since otherwise  $\alpha$  would be a root of a polynomial of degree  $< 3$  with coefficients in  $\mathbb{Q}$ , which is absurd: being irreducible,  $x^3 - 2$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ .)

Note that  $\text{Im}(\overline{ev_{\sqrt[3]{2}}})$  is real, and hence is different from  $\text{Im}(\overline{ev_{\sqrt[3]{2}\omega}})$  and  $\text{Im}(\overline{ev_{\sqrt[3]{2}\omega^2}})$ . We claim that the latter two images are also different. Indeed, if

$$\text{Im}(\overline{ev_{\sqrt[3]{2}\omega}}) = \text{Im}(\overline{ev_{\sqrt[3]{2}\omega^2}}) =: K,$$

then  $K$  contains both  $\sqrt[3]{2}^2\omega^2$  and  $\sqrt[3]{2}\omega^2$ , and hence contains  $\sqrt[3]{2}$  (why? do we know that  $K$  is a field?). It follows that  $K$  contains  $\text{Im}(\overline{ev_{\sqrt[3]{2}}})$ , and then comparing dimensions (as vector spaces over  $\mathbb{Q}$ ) we get  $K = \text{Im}(\overline{ev_{\sqrt[3]{2}}})$ , which is absurd.

(d) Similar to the previous part, there are three maps  $\mathbb{Q}[x]/(x^3 - 8) \rightarrow \mathbb{C}$  and they are induced by evaluation maps at the roots of  $x^3 - 8$ :

$$\overline{ev_2} : \overline{f(x)} \mapsto f(2), \quad \overline{ev_{2\omega}} : \overline{f(x)} \mapsto f(2\omega), \quad \overline{ev_{2\omega^2}} : \overline{f(x)} \mapsto f(2\omega^2)$$

(where  $\omega = e^{2\pi i/3}$  again).

The situation for images and kernels is different from the previous part, as  $x^3 - 8$  is not irreducible over  $\mathbb{Q}$ . Its factorization as a product of irreducible elements is  $(x - 2)(x^2 + 2x + 4)$ . Here  $2\omega$  and  $2\omega^2$  are roots of  $x^2 + 2x + 4$ . The image of  $\overline{ev_\alpha}$  (which by definition is  $\{f(\alpha) : f[x] \in \mathbb{Q}[x]\}$ ) is simply  $\mathbb{Q}$  if  $\alpha = 2$ . On the other hand, for  $\alpha = 2\omega, 2\omega^2$  the image  $\text{Im}(ev_\alpha)$  is a 2-dimensional vector space over  $\mathbb{Q}$  with basis  $\{1, \alpha\}$  (why?). We leave it to the reader to check that

$$\text{Im}(ev_{2\omega}) = \text{Im}(ev_{2\omega^2}).$$

(Use  $\omega^2 = -\omega - 1$ .)

Finally, here are the kernels:

$$\ker(\overline{ev_2}) = (\overline{x - 2}), \quad \ker(\overline{ev_{2\omega}}) = \ker(\overline{ev_{2\omega^2}}) = (\overline{x^2 + 2x + 4}).$$

5. (a)  $x^2 - 1$ . We leave the calculations to the reader. (See the last few practice questions on Assignment 5 for a general result regarding the gcd of  $x^m - 1$  and  $x^n - 1$ .)

(b) The gcd does not change if we enlarge the field, as the calculations in Euclid's algorithm will stay exactly the same.

(c) in  $\mathbb{Q}[x]$ :  $x^6 - 1 = (x - 1)(x + 1)(x^2 + x + 1)(x^2 - x + 1)$ . The last two factors are irreducible over  $\mathbb{Q}$  because they don't have any rational roots.

$$\text{in } \mathbb{C}[x]: x^6 - 1 = \prod_{i=0}^5 (x - \zeta^i), \quad \zeta = e^{2\pi i/6}.$$