## Overview of First-Order Logic

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## **Review of first-order logic**

**Def:** The logical symbols are  $\forall$ ,  $\exists$ ,  $\land$ ,  $\lor$ ,  $\rightarrow$ ,  $\leftrightarrow$ ,  $\neg$ , (, ),=, and the

variable symbols  $v_1, v_2, \ldots$ 

Def: Every non-logical symbol is either

- a constant symbol;
- an *n*-ary relation symbol for some  $n \in \mathbb{N}$ ; or
- an *n*-ary function symbol for some  $n \in \mathbb{N}$ .

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**Def:** A language  $\mathcal{L}$  is a collection of symbols including all logical symbols

and some non-logical symbols. Typically, we denote a language by its

non-logical symbols. For instance, the language of arithmetic  $\mathcal{L}_A$  is given

by the constant symbol 0, the unary function symbol S [successor], and

the binary function symbols + and  $\times$ .

**Def:** Any finite sequence of symbols in the language  $\mathcal L$  is called a

 $\mathcal{L}$ -expression.

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**Def:** We define  $\mathcal{L}$ -terms recursively as follows:

- Any constant symbol or variable symbol in  $\mathcal{L}$  is a term;
- If f is an *n*-ary function and  $t_1, \ldots, t_n$  are terms, then

 $f(t_1,\ldots,t_n)$  is a term.

e.g. +(S(0), 0) is a  $\mathcal{L}_A$ -term. However, we allow ourself notational

conveniences. For instance, we may express +(S(0), 0) as (S0) + 0 so long

as its understood what it represents. Likewise, we may informally use

letters to represent variables, i.e.  $\times$  instead of v<sub>4</sub>.

**Def:** We define  $\mathcal{L}$ -formulas as follows:

- If  $t_1$  and  $t_2$  are  $\mathcal{L}$ -terms, then  $t_1 = t_2$  is a formula;
- If R is an  $\mathit{n}\text{-}\mathsf{ary}$  relation symbol in  $\mathcal{L},$  and  $t_1,\ldots,t_n$  are terms,

then  $R(t_1, \ldots, t_n)$  is a formula;

- If  $\varphi$  is a formula, then  $\neg \varphi$  is a formula;
- $\varphi$  and  $\psi$  formulas  $\Rightarrow (\varphi \land \psi)$  is a formula. Similarly for  $\lor, \rightarrow, \leftrightarrow$ ;
- $\varphi$  formula, x variable symbol  $\Rightarrow \forall x \varphi$  and  $\exists x \varphi$  are formulas.

**Note:** Formulas can't talk about arbitrary sets, or arbitrary formulas.

Notation: Again, we allow ourselves some informal notational

conveniences. For instance, we may write the formula

 $\forall v_1(v_1>0 \rightarrow \neg v_1=0) \text{ as } (\forall x>0)(x\neq 0).$ 

**Def:** A variable is called free in the formula  $\varphi$  if it occurs in  $\varphi$  not under

a quantifier, i.e.  $v_1$  is free in  $v_1=v_1$  and in  $(\forall v_1 \ v_1=v_1) \wedge v_1=v_1.$  If

 $v_{i_1},\ldots,v_{i_k}$  are free in  $\varphi$ , we may denote  $\varphi$  by  $\varphi(v_{i_1},\ldots,v_{i_k})$ . Then,

 $\varphi(t, \mathsf{v}_{i_2}, \ldots, \mathsf{v}_{i_k})$  is the formula obtained by replacing all free occurences

of  $v_{i_1}$  by term t. A sentence is a formula with no free variables.

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**Def:** A *theory* T in the language  $\mathcal{L}$  is a set of  $\mathcal{L}$ -sentences called *axioms*.

**Peano Arithmetic** is a theory in  $\mathcal{L}_A$  including the following axioms:

**1.**  $\forall x \ 0 \neq Sx$  **2.**  $\forall x \forall y (Sx = Sy \rightarrow x = y)$ 

**3.** 
$$\forall x(x + 0 = x)$$
 **4.**  $\forall x \forall y(x + Sy = S(x + y))$ 

**5.**  $\forall x(x \times 0 = 0)$  **6.**  $\forall x \forall y(x \times Sy = (x \times y) + x)$ 

7. For every formula  $\varphi(x)$ ,  $\{\varphi(0) \land \forall x(\varphi(x) \rightarrow \varphi(Sx))\} \rightarrow \forall x\varphi(x)$ Note that Axiom 7 is not actually a single axiom but rather countably

many distinct axioms, one for each formula  $\varphi$ .

## **Logical Axioms**

Regardless of the theory, we always include the following axioms implicitly.

For any  $\mathcal{L}$ -formulas  $\varphi$ ,  $\psi$ ,  $\zeta$ :

**1.**  $\varphi \rightarrow (\psi \rightarrow \varphi)$ 

**2.** 
$$(\varphi \to (\psi \to \zeta)) \to ((\varphi \to \psi) \to (\varphi \to \zeta))$$

**3.** 
$$(\neg \varphi \rightarrow \neg \psi) \rightarrow (\psi \rightarrow \varphi)$$

are axioms.

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Model: So far, we have discussed notation. We wish to attach meaning

to notation. When we interpret  $\forall x$ , it is necessary to restrict ourselves to

some domain. We also need an interpretation of each constant, function,

and relation in  $\mathcal{L}$ . Formally,

**Def:** An  $\mathcal{L}$ -model is a set U, called the universe, together with:

- For each constant symbol  $c \in \mathcal{L}$ , some  $c \in U$
- For each function symbol  $\mathbf{f}^{\mathsf{k}} \in \mathcal{L}$ , some function  $f: U^k \to U$
- For each relation symbol  $\mathsf{R}^{\mathsf{k}} \in \mathcal{L}$ , some k-place relation R on U

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**Def:** The *term-function*  $T_t: U^{\omega} \to U$  of the term t is given by:

• if t is a variable symbol  $x_i$ , then  $T_t(a_1, a_2, ...) = a_i$ ;

• if t is a constant symbol c, then  $T_t(a_1, a_2, ...) = c$ ;

 $\bullet$  if t is  $f(t_1,\ldots,t_k),$  then

 $T_{t}(a_{1}, a_{2}, \dots) = f(T_{t_{1}}(a_{1}, \dots, ), T_{t_{2}}(a_{1}, \dots, ), \dots)$ 

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**Def:** The truth-function  $F_{\varphi}: U^{\omega} \to \{0, 1\}$  of the formula  $\varphi$  is given by:

• If  $\varphi$  is  $t_1 = t_2$ , then  $F_{\varphi}(a_1, \dots) = 1$  if  $T_{t_1}(a_1, \dots) = T_{t_2}(a_1, \dots)$ ;

 $F_{\varphi}(a_1,\ldots) = 0$  otherwise;

• If  $\varphi$  is  $R(t_1, \ldots, t_k)$ , then  $F_{\varphi}(a_1, \ldots) = 1$  if it holds that

 $R(T_{t_1}(a_1,\ldots),\ldots,T_{t_k}(a_1,\ldots)); F_{\varphi}(a_1,\ldots) = 0$  otherwise;

• If  $\varphi$  is  $\psi \wedge \zeta$ , then  $F_{\varphi}(a_1,\dots) = 1$  if  $F_{\psi}(a_1,\dots) = 1$  and

 $F_{\zeta}(a_1,\ldots) = 1; F_{\psi}(a_1,\ldots) = 0$  otherwise;

 $\circ$  Defined similarly for  $\psi \lor \zeta$ ,  $\psi \to \zeta$ ,  $\psi \leftrightarrow \zeta$ ;

- If  $\varphi$  is  $\neg \psi$ , then  $F_{\varphi}(a_1, \dots) = 1$  if  $F_{\psi}(a_1, \dots) = 0$ ;
- If  $\varphi$  is  $\forall x_i \ \psi$ , then  $F_{\varphi}(a_1, \dots) = 1$  if for all  $a'_i \in U$ ,

 $F_\psi(a_1,\ldots,a_{i-1},a_i',a_{i+1},\ldots)=$  1;  $F_arphi(a_1,\ldots)=$  0 otherwise;

• If 
$$\varphi$$
 is  $\exists x_i \ \psi$ ,  $F_{\varphi}(a_1, \dots) = 1$  if there exists  $a'_i \in U$ 

s.th. 
$$F_{\psi}(a_1, \ldots, a_{i-1}, a'_i, a_{i+1}, \ldots) = 1; F_{\varphi}(a_1, \ldots) = 0$$
 otherwise.

**Def:** Suppose  $\varphi$  is a sentence. Then its truth function  $F_{\varphi}$  is constant.

Say  $\mathcal{M}$  models  $\varphi$  (write  $\mathcal{M} \models \varphi$ ) if  $F_{\varphi} = 1$ .

The Standard Model: Herein, we are primarily concerned with the

language  $\mathcal{L}_A$  and its *standard model*. This model has  $\mathbb{N}$  as its universe

with 0 interpreted as the additive identity; S interpreted as the successor

function; + interpreted as addition; and  $\times$  interpreted as multiplication.

We'll say the  $\mathcal{L}_A$  sentence  $\varphi$  is *true* if it is modeled by the standard model.

**Rule of Deduction:** From  $\varphi$  and  $\varphi \rightarrow \psi$ , we can conclude  $\psi$ .

**Provability:** A *proof* of an  $\mathcal{L}$ -sentence  $\varphi$  in an  $\mathcal{L}$ -theory  $\mathcal{T}$  is a finite

sequence of  $\mathcal{L}$ -formulas ending with  $\varphi$  such that each formula is either an

axiom or follows by the rule of deduction from some earlier formulas in the

sequence. If a proof of  $\varphi$  exists, write  $T \vdash \varphi$  and say  $\varphi$  is a theorem.

Fact (Soundness): Our rule of deduction is truth-preserving. Hence, if

the axioms of T are modeled by  $\mathcal{M}$ , then  $\mathcal{M}$  models every theorem of T.

**Note:** For every  $\mathcal{L}_A$ -formula  $\varphi$ , either  $\varphi$  or  $\neg \varphi$  is true. However, we'll see

that both  $\varphi$  and  $\neg \varphi$  can be unprovable. Write  $T \nvDash \varphi$  for  $\varphi$  unprovable.

A last note on notation: It is useful to borrow the notation of formal

languages for our own 'mathematicians' language. In general, context will dictate which is which. However, herein we'll use italics (i.e.  $\exists y \ y = So$ )

for our 'mathematician' language and non-italics (i.e.  $\exists y \ y = S0)$  for our

formal language. On the other hand,  $\mathcal{L}_A$  has no numeral symbols except 0

so we'll use  $\bar{n}$  to denote S...SO (*n* times).

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