# Elevating link homology theories and TQFT'es via infinite cyclic coverings

Oleg Viro

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#### Introduction

- Results. 1
- Results. 2
- Infinite cyclic covering
- Turaev's construction
- A refinement

Theory of Skeletons

Face state sums

Upgrading the colored Jones

Khovanov homology of framed links

Khovanov homology for surfaces in  $S^3 \times S^1$ 

### Introduction

New invariants related to old ones.

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Immersed surfaces in  $S^4$  transversal to a standardly embedded  $S^2$ .

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If 
$$X = S^3 \smallsetminus K$$
,  $Z(F) = H_1(F; \mathbb{Q})$ , then

this is Seifert's calculation of the Alexander module  $H_1(Y; \mathbb{Q})$  of K.

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For 3-manifolds and various TQFT's, it was studied by Pat Gilmer in 90s.

Homomorphisms  $A: V \to V$ ,  $B: W \to W$  are said to be *elementary strong shift equivalent* if  $\exists P: V \to W$  and  $R: W \to V$  such that A = RP and B = PR.

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#### Introduction

#### Theory of Skeletons

- Skeletons
- Recovery from a
- 2-skeleton
- How 2-skeletons move in 3D
- How 2-skeletons move in 4D
- Generic 2-polyhedra with boundary
- Relative 2-skeletons

Face state sums

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stratified with trivalent 1-strata:



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A generic 2-polyhedron that is not equipped with gleams

is considered shadowed with all gleams equal zero.

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**Corollary.** Any quantity calculated for a generic 2-polyhedron and invariant with respect the three Matveev-Piergallini moves is a **topological invariant of a 3-manifold**.



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A generic 2-polyhedron with boundary has interior points with neighborhoods homeomorphic to  $\mathbb{R}^2$ , or  $\overline{\qquad}$ , or  $\overline{\qquad}$ , or  $\overline{\qquad}$ , and boundary points with no neighborhoods of these sorts, but with neighborhoods homeomorphic to  $\overline{\qquad}$  or  $\overline{\qquad}$ .

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Any two trivalent graphs are cobordant,

but there are many non-equivalent generic shadowed 2-polyhedra.

A relative generic 2-skeleton of a compact 3-manifold W is a generic 2-polyhedron X with boundary such that W finite set can collapse to X in such a way that the collapsing would preserve the boundary so that  $\partial W \\$  finite set would collapse to  $\partial X = X \cap \partial W$ .

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and any two relative 2-skeletons with the same boundary are equivalent.

#### Introduction

Theory of Skeletons

#### Face state sums

- Colors and colorings
- Face state sums

• Invariants of knotted graphs

- Construction of TQFT
- Old and new TQFT'es

Upgrading the colored Jones

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# **Face state sums**

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If X is a cobordism between  $\Gamma_0$  and  $\Gamma_1$ , then  $Z_X(c_0, c_1)$  is a matrix defining a map  $Z_X : C(\Gamma_0) \to C(\Gamma_1)$ . Table of Contents

Which Z,  $Z_X$  are good for study of manifolds?

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Table of Contents

p. 93 – 17 / 38

The usual source of the structural constants  $w_i$  and t

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Not all the axioms of modular category are needed.

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Theorem. If  $w_2(j) = \begin{pmatrix} \bigcirc_j \end{pmatrix}$ ,  $t(j) = \frac{\begin{pmatrix} \bigcirc_j \end{pmatrix}}{\begin{pmatrix} \bigcirc_j \end{pmatrix}}$ ,  $w_1(j, m, l) = \begin{pmatrix} m \\ m \\ j \end{pmatrix}$ ,  $w_0 \begin{pmatrix} i & j & k \\ l & m & n \end{pmatrix} = \begin{pmatrix} n \\ j \\ k \end{pmatrix}$ ,  $w_3 = \sum_j w_2^2(j)$ , then  $Z_X$  is

invariant under moves and defines a TQFT.

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For 2-skeletons of 3-manifolds and the background invariants obtained from the Kauffman bracket extended by cabling and the Jones-Wenzl projectors and evaluated at a root q of unity, this is the Turaev-Viro TQFT introduced in 1991.

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There are many invariants of framed colored trivalent graphs for which the S-matrix is not invertible.

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• State sum model for colored Jones

- Building a special
- 2-skeleton
- Partial state sums
- Problems

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The only restriction:  $H_i \cap S$  is a disk for each i and in the state sum the colors of these disks coincide with the colors of the corresponding components of L.

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(4) Adjoin to R a disk  $l_i$  along longitude of each  $L_i$ . Let  $U = R \cup \bigcup_i l_i$ .

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(2) Extend T to a 2-skeleton R of  $S^3 \setminus L$ ;

R is also a 2-skeleton of the 4-manifold  $(S^3 \setminus L) \times I$ .

(3) Adjoin to R disks  $m_i$  along meridians of  $L_i$ .

The result is a 2-skeleton of  $S^3 \times I$  and of  $D^4$ .

(4) Adjoin to R a disk  $l_i$  along longitude of each  $L_i$ . Let  $U = R \cup \bigcup_i l_i$ .

This completes building of  $S = U \cup \bigcup m_i$ , a 2-skeleton for X.

Let  $L = \bigcup_{i} L_i \subset S^3$  be an oriented classical link framed by its Seifert surface,  $H_i$  be a 2-handle attached along  $L_i$  and  $X = D^4 \cup \bigcup_{i} H_i$ .

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Choose a Seifert surface  $F \subset S^3$  for L such that

F is transversal to R and  $\partial m_i$  and disjoint from  $\partial l_i$ .

The infinite cyclic covering of  $S^3 \times L$  does not extend to disks  $m_i$ . There is no non-trivial coverings of S, since  $\pi_1(S) = 0$ .

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Instead, we will apply it to  $S \setminus \bigcup_i \operatorname{Int} m_i = U$ .

Split the state sum that provides the value at q of the colored Jones  $J_{L_{\lambda}}(q)$  into partial state sums with fixed colors  $\mu_i$  on the disks  $m_i$ .

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Apply the Turaev construction to each of them and to the infinite cyclic covering  $\widetilde{U} \to U$  defined by  $F \cap U = F \cap R$ .

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The whole state sum is  $J_{L_{\lambda}}(q) = \sum_{\mu} \dim_{q} V_{\mu_{1}} \dots \dim_{q} V_{\mu_{n}} \operatorname{tr} T_{\lambda,\mu}$ .

Т

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If not, how are they related to the Khovanov homology?

Introduction

Theory of Skeletons

Face state sums

Upgrading the colored Jones

Khovanov homology of framed links

- Diagrams of framed links
- Reidemeister moves
- Kauffman bracket of a framed link
- Khovanov homology

of a framed link

- Cobordisms of framed links
- Skein sequences
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# Khovanov homology of framed links

# **Diagrams of framed links**

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The left circle has framing  $+\frac{1}{2}$ , the right circle -1. Total framing number  $fr(D) = \frac{1}{2} \left( \# \begin{pmatrix} \downarrow \\ \downarrow \end{pmatrix} - \# \begin{pmatrix} \downarrow \\ \downarrow \end{pmatrix} \right)$ .

Reidemeister moves:

the second and third moves are the same as without framing.

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Half-twist annihilation:

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$$s = \left( \bigcup_{s \to 0}^{\circ} \bigcup_{s \to 0}^{\circ} \mapsto D_s = \left( \bigcup_{s \to 0}^{\circ} \bigcup_{s \to 0}^{\circ} |s| = 2 \right) \right)$$

The Kauffman bracket

$$\langle D \rangle = \sum_{s} (-A)^{3fr(D)} A^{\sigma(s)} (-A^2 - A^{-2})^{|s|}$$

invariant under isotopy of framed links.

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Let  $D_0$  and  $D_1$  be framed links diagrams of  $L_0$  and  $L_1$ and  $F \subset \mathbb{R}^3 \times [0, 1]$  be a compact surface with  $F \cap \mathbb{R}^3 \times \{k\} = L_k \times \{k\}$  for k = 0, 1.

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**Duality.** Let  $D^*$  be the mirror image of D. Then the complexes  $C_{i,j}(D^*)$  and  $C_{-i,-j}(D)$  are dual, i.e., there exists an isomorphism  $C_{i,j}(D^*) \to \operatorname{Hom}_{\mathbb{F}_2}(C_{-i,-j}(D))$ .

#### **Skein sequences**

Kauffman skein relation  $\langle \times \rangle = A\langle \rangle \langle \rangle + A^{-1}\langle \times \rangle$ 

categorifies a short exact sequence of complexes:

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It induces a bunch of long homology sequences:

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The cylinder of the composition of

$$C_{*,*}(\times) \xrightarrow{\beta} C_{*,*-1}(\rangle \langle)) \xrightarrow{\alpha} C_{*,*-2}(\times)$$

gives rise to a long homology sequence, which categorifies the Jones skein relation and contains the homomorphism

$$Kh_{i,j}^{fr}(\boldsymbol{\times}) \to Kh_{i,j-2}^{fr}(\boldsymbol{\times}).$$

#### **Cobordisms with double points**

Let  $D_0$  and  $D_1$  be framed links diagrams of  $L_0$  and  $L_1$ and  $F \hookrightarrow \mathbb{R}^3 \times [0, 1]$  be an immersed compact surface with  $F \cap \mathbb{R}^3 \times \{k\} = L_k \times \{k\}$  for k = 0, 1and d transversal self-intersection points.

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Theory of Skeletons

Face state sums

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Khovanov homology of framed links

Khovanov homology for surfaces in  $S^3 \times S^1$ • Surfaces in  $S^3 \times S^1$ 

Invariance

• Table of Contents. 2

Khovanov homology for surfaces in  $S^3 \times S^1$ 

# Surfaces in $S^3 \times S^1$

Let  $\Lambda \hookrightarrow S^3 \times S^1$  be a generically immersed 2-manifold.
Let  $\Lambda \hookrightarrow S^3 \times S^1$  be a generically immersed 2-manifold. This can be obtained from a link  $\overline{\Lambda} \hookrightarrow S^4$  by a surgery along an unknotted component of  $\overline{\Lambda}$  homeomorphic to  $S^2$ .

Let  $\Lambda \hookrightarrow S^3 \times S^1$  be a generically immersed 2-manifold.

Let the intersection  $L = S^3 \times \{1\} \cap \Lambda$  be transversal, and  $\widetilde{\Lambda} \subset S^3 \times \mathbb{R}$ be the preimage of  $\Lambda$  under  $S^3 \times \mathbb{R} \to S^3 \times S^1 : (x, y) \mapsto (x, e^{2\pi i y})$ .

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Let  $L_n = \widetilde{\Lambda} \cap (S^3 \times \{n\}) \subset S^3 \times \mathbb{R}$ , and  $W_n = \widetilde{\Lambda} \cap (S^3 \times [n, n+1])$ .

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Luoying Weng calculated  $Z_{i,j}(\Lambda)$  for many such surfaces.

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**Theorem.**  $Z_{i,j}(\Lambda)$  is invariant under isotopy of  $\Lambda$  in  $S^3 \times S^1$ . Why does it require a separate proof? Because cobordisms needed for Khovanov homology are surfaces in  $S^3 \times I$ , while in the proof we meet a cobordism between a link in  $S^3 \times \{\text{pt}\}$  and a skew copy of it.

**Theorem.**  $Z_{i,j}(\Lambda)$  is invariant under isotopy of  $\Lambda$  in  $S^3 \times S^1$ . **Proof.** Let  $\Lambda_t$ ,  $t \in I$  be an isotopy of  $\Lambda$ .

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Extend it to an isotopy  $h_t: S^3 \times S^1 \to S^3 \times S^1$  with  $h_0 = \mathrm{id}$ ,  $h_t(\Lambda) = \Lambda_t$ .

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### Table of Contents. 1

#### Introduction

Results. 1 Results. 2 Infinite cyclic covering Turaev's construction A refinement

#### **Theory of Skeletons**

Skeletons Recovery from a 2-skeleton How 2-skeletons move in 3D How 2-skeletons move in 4D Generic 2-polyhedra with boundary Relative 2-skeletons

#### Face state sums

Colors and colorings Face state sums Invariants of knotted graphs Construction of TQFT Old and new TQFT'es **Upgrading the colored Jones** State sum model for colored Jones

Building a special 2-skeleton

Partial state sums

Problems

# Table of Contents. 2

#### Khovanov homology of framed links

**Diagrams of framed links** 

Reidemeister moves

Kauffman bracket of a framed link

Khovanov homology of a framed link

Cobordisms of framed links

Skein sequences

Cobordisms with double points

### Khovanov homology for surfaces in $S^3 \times S^1$

Surfaces in  $S^3 \times S^1$ 

Invariance

Table of Contents. 2