# Elevating link homology theories and TQFT'es via infinite cyclic coverings 

Oleg Viro

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- Results. 1
- Results. 2
- Infinite cyclic covering
- Turaev's construction
- A refinement

Theory of Skeletons
Face state sums
Upgrading the colored Jones
Khovanov homology of framed links

Khovanov homology for surfaces in $S^{3} \times S^{1}$


## Introduction



## Results. 1

New invariants related to old ones.

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$J_{L_{\lambda_{1}, \ldots, \lambda_{n}}}(q)=\sum_{\mu} \operatorname{dim}_{q} V_{\mu_{1}} \ldots \operatorname{dim}_{q} V_{\mu_{n}} \operatorname{tr} T_{\lambda_{1}, \ldots, \lambda_{n} ; \mu_{1}, \ldots, \mu_{n}}$.

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Immersed surfaces in $S^{4}$ transversal to a standardly embedded $S^{2}$.

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If $X=S^{3} \backslash K, Z(F)=H_{1}(F ; \mathbb{Q})$, then
this is Seifert's calculation of the Alexander module $H_{1}(Y ; \mathbb{Q})$ of $K$.

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For 3-manifolds and various TQFT's, it was studied by Pat Gilmer in 90s.

## A refinement

Homomorphisms $A: V \rightarrow V, B: W \rightarrow W$ are said to be elementary strong shift equivalent if $\exists P: V \rightarrow W$ and $R: W \rightarrow V$ such that $A=R P$ and $B=P R$.

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Introduction
Theory of Skeletons

- Skeletons
- Recovery from a

2-skeleton

- How 2-skeletons
move in 3D
- How 2-skeletons
move in 4D
- Generic 2-polyhedra
with boundary
- Relative 2-skeletons

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## Theory of Skeletons



## Skeletons

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stratified with trivalent 1-strata:
 and vertices of one kind:


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Self-intersection numbers are called gleams, a generic 2-polyhedron with gleams is a shadowed 2-polyhedron .

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Self-intersection numbers are called gleams, a generic 2-polyhedron with gleams is a shadowed 2-polyhedron.

A generic 2-polyhedron that is not equipped with gleams is considered shadowed with all gleams equal zero.

## How 2-skeletons move in 3D

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Corollary. Any quantity calculated for a generic 2-polyhedron and invariant with respect the three Matveev-Piergallini moves is a topological invariant of a 3-manifold.


## How 2-skeletons move in 4D

Theorem (Turaev). Any two shadowed 2-skeletons of an oriented smooth closed 4-manifold can be transformed to each other by a sequence of moves of the following 4 types.

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A generic 2-polyhedron $X$ whose boundary $\partial X$ is a disjoint union of 3 -valent graphs $\Gamma_{0}$ and $\Gamma_{1}$ is a cobordism between $\Gamma_{0}$ and $\Gamma_{1}$.

## Generic 2-polyhedra with boundary

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Recall: moves do not affect the boundary.

## Generic 2-polyhedra with boundary

A generic 2-polyhedron with boundary has interior points with neighborhoods homeomorphic to $\mathbb{R}^{2}$, or $\ldots$, or and boundary points with no neighborhoods of these sorts, but with neighborhoods homeomorphic to
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Introduction
Theory of Skeletons
Face state sums

- Colors and colorings
- Face state sums
- Invariants of knotted graphs
- Construction of TQFT
- Old and new TQFT'es

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If $X$ is a cobordism between $\Gamma_{0}$ and $\Gamma_{1}$, then $Z_{X}\left(c_{0}, c_{1}\right)$ is a matrix defining a map $Z_{X}: C\left(\Gamma_{0}\right) \rightarrow C\left(\Gamma_{1}\right)$.

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\times \prod_{e \in\{1 \text {-strata of } \operatorname{Int} X\}} w_{1}(s(f) \mid f \in S t(e))^{\chi(e)+\frac{1}{2} \chi(e \cap \partial X)}
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\text { Let } Z_{X}(c)=\sum Z(s) \text {. }
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What $w_{i}$ and $t$ to choose? $s$ such that $\partial s=c$

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Not all the axioms of modular category are needed.

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\begin{gathered}
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Theorem. If $w_{2}(j)=\left\langle\bigcirc_{j}\right\rangle, t(j)=\frac{\left|\bigcirc_{j}\right\rangle}{\left\langle\bigcirc_{j}\right\rangle}, \quad w_{1}(j, m, l)=\left\langle\left(\Im_{j}^{l}\right\rangle\right.$,
 invariant under moves and defines a TQFT.

## Construction of TQFT

Correction: the state sums define a functor
(trivalent graphs and their cobordisms) $\rightarrow$ Vect $k$. but only a semifunctor (manifolds, their cobordisms) $\rightarrow$ Vect $k$.

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In order to turn a functor
(trivalent graphs and their cobordisms) $\rightarrow$ Vect $k$
to a functor
(manifolds and their cobordisms) $\rightarrow$ Vect $k$, factorize $C(1$-skeleton of a manifold $M)$ by $\operatorname{Ker} Z_{2 \text {-skeleton of } M \times I}$.

Denote $C(1$-skeleton of a manifold $M) / \operatorname{Ker} Z_{2 \text {-skeleton of } M \times I}$ by $Z(M)$ and $Z_{2 \text {-skeleton of a cobordism } W}$ by $Z_{W}$. This is a TQFT!

## Old and new TQFT'es

For 2-skeletons of 3-manifolds and the background invariants obtained from the Kauffman bracket extended by cabling and the Jones-Wenzl projectors and evaluated at a root q of unity, this is the Turaev-Viro TQFT introduced in 1991.

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There are many invariants of framed colored trivalent graphs for which the $S$-matrix is not invertible.

# Upgrading the colored Jones 

Khovanov homology for $\underline{\text { surfaces in } S^{3} \times S^{1}}$


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The only restriction: $H_{i} \cap S$ is a disk for each $i$ and
in the state sum the colors of these disks coincide with the colors of the corresponding components of $L$.

## Building a special 2-skeleton

Let $L=\bigcup_{i} L_{i} \subset S^{3}$ be an oriented classical link framed by its Seifert surface, $H_{i}$ be a 2-handle attached along $L_{i}$ and $X=D^{4} \cup \bigcup_{i} H_{i}$.

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Choose a Seifert surface $F \subset S^{3}$ for $L$ such that
$F$ is transversal to $R$ and $\partial m_{i}$ and disjoint from $\partial l_{i}$.

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The infinite cyclic covering of $S^{3} \backslash L$ does not extend to disks $m_{i}$. There is no non-trivial coverings of $S$, since $\pi_{1}(S)=0$.

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Instead, we will apply it to $S \backslash \cup_{i} \operatorname{Int} m_{i}=U$.

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Apply the Turaev construction to each of them and to the infinite cyclic covering $\widetilde{U} \rightarrow U$ defined by $F \cap U=F \cap R$.

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the vertices (i.e., intersections of $\partial m_{i}$ with 1 -strata of $R$ ) via $w_{0}$. The whole state sum is $J_{L_{\lambda}}(q)=\sum_{\mu} \operatorname{dim}_{q} V_{\mu_{1}} \ldots \operatorname{dim}_{q} V_{\mu_{n}} \operatorname{tr} T_{\lambda, \mu}$.

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If not, how are they related to the Khovanov homology?

- Reidemeister moves
- Kauffman bracket of a
framed link
- Khovanov homology
of a framed link
- Cobordisms of framed
links
- Skein sequences
- Cobordisms with
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Khovanov homology for surfaces in $S^{3} \times S^{1}$



## Khovanov homology of framed links



## Diagrams of framed links

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Total framing number $\operatorname{fr}(D)=\frac{1}{2}\left(\#\binom{\mathbf{I}}{\mathbf{I}}-\#\binom{\mathbf{I}}{\mathbf{I}}\right)$.

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The Kauffman bracket

$$
\langle D\rangle=\sum_{s}(-A)^{3 f r(D)} A^{\sigma(s)}\left(-A^{2}-A^{-2}\right)^{|s|}
$$

invariant under isotopy of framed links.

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Framed Reidemeister moves induce isomorphisms of $K h_{i, j}^{f r}(D)$.

## Cobordisms of framed links

Let $D_{0}$ and $D_{1}$ be framed links diagrams of $L_{0}$ and $L_{1}$ and $F \subset \mathbb{R}^{3} \times[0,1]$ be a compact surface with $F \cap \mathbb{R}^{3} \times\{k\}=L_{k} \times\{k\}$ for $k=0,1$.

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Then the cobordism $F$ induces a homomorphism

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Duality. Let $D^{*}$ be the mirror image of $D$. Then the complexes $C_{i, j}\left(D^{*}\right)$ and $C_{-i,-j}(D)$ are dual, i.e., there exists an isomorphism $C_{i, j}\left(D^{*}\right) \rightarrow \operatorname{Hom}_{\mathbb{F}_{2}}\left(C_{-i,-j}(D)\right)$.

## Skein sequences

Kauffman skein relation $\rangle\rangle=A\langle \rangle\langle \rangle+A^{-1}\langle\searrow\rangle$
categorifies a short exact sequence of complexes:

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0 \longrightarrow C_{*, *}(\bigwedge) \xrightarrow{\alpha} C_{*, *-1}(\text { X }) \xrightarrow{\beta} C_{*, *-2}( \rangle() \longrightarrow 0
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It induces a bunch of long homology sequences:

$$
\begin{aligned}
& \xrightarrow{\partial} K h_{i, j}^{f r}(\searrow) \xrightarrow{\alpha_{*}} K h_{i, j-1}^{f r}(X) \xrightarrow{\beta_{*}} K h_{i, j-2}^{f r}()() \xrightarrow{\partial} K h_{i-1, j}^{f r}(\searrow) \xrightarrow{\alpha_{*}} K h_{i-1, j-1}^{f r}(X) \xrightarrow{\beta_{*}} K h_{i-1, j-2}^{f r}( \rangle\langle ) \xrightarrow{\partial} \\
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& \xrightarrow{\partial r}
\end{aligned}
$$

The cylinder of the composition of

$$
C_{*, *}\left(\text { X) } \xrightarrow{\beta} C_{*, *-1}( \rangle()\right) \xrightarrow{\alpha} C_{*, *-2}(\searrow)
$$

gives rise to a long homology sequence, which
categorifies the Jones skein relation and contains the homomorphism

$$
K h_{i, j}^{f r}(X) \rightarrow K h_{i, j-2}^{f r}(X)
$$

## Cobordisms with double points

Let $D_{0}$ and $D_{1}$ be framed links diagrams of $L_{0}$ and $L_{1}$ and $F \rightarrow \mathbb{R}^{3} \times[0,1]$ be an immersed compact surface with $F \cap \mathbb{R}^{3} \times\{k\}=L_{k} \times\{k\}$ for $k=0,1$ and $d$ transversal self-intersection points.

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Then the cobordism $F$ induces a homomorphism

$$
K h_{i, j}^{f r}\left(D_{0}\right) \rightarrow K h_{i+\chi(F)-e, j-2 \chi(F)+3 e-2 d}^{f r}\left(D_{1}\right) .
$$

$S^{3} \times S^{1}$

- Invariance
- Table of Contents. 2



## Khovanov homology for surfaces in $S^{3} \times S^{1}$



## Surfaces in $S^{3} \times S^{1}$

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## Surfaces in $S^{3} \times S^{1}$

Let $\Lambda \rightarrow S^{3} \times S^{1}$ be a generically immersed 2-manifold.
This can be obtained from a link $\bar{\Lambda} \rightarrow S^{4}$ by a surgery along an unknotted component of $\bar{\Lambda}$ homeomorphic to $S^{2}$.

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Let the intersection $L=S^{3} \times\{1\} \cap \Lambda$ be transversal, and $\widetilde{\Lambda} \subset S^{3} \times \mathbb{R}$ be the preimage of $\Lambda$ under $S^{3} \times \mathbb{R} \rightarrow S^{3} \times S^{1}:(x, y) \mapsto\left(x, e^{2 \pi i y}\right)$.

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Now apply the Turaev construction to Khovanov homology: denote by $Z_{i, j}(\Lambda)$ the image of $K h_{i, j}\left(L_{0}\right)$ under the homomorphism induced by cobordism $\cup_{n=0}^{k} W_{n}$ for sufficiently large $k$.

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Luoying Weng calculated $Z_{i, j}(\Lambda)$ for many such surfaces.

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Why does it require a separate proof?
Because cobordisms needed for Khovanov homology
are surfaces in $S^{3} \times I$,
while in the proof we meet
a cobordism between a link in $S^{3} \times\{\mathrm{pt}\}$ and a skew copy of it.

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Pull this new stuff back by $\widetilde{h}_{t}: S^{3} \times \mathbb{R} \rightarrow S^{3} \times \mathbb{R}$ :

$$
\widetilde{h}_{t}^{-1}\left(L_{t, n}\right)=L_{n} \subset \widetilde{h}_{t}^{-1}\left(S^{3} \times\{n\}\right),
$$

$\widetilde{h}_{t}^{-1}\left(W_{t, n}\right)=\widetilde{\Lambda} \cap \widetilde{h}_{t}^{-1}\left(S^{3} \times[n, n+1]\right)$

## Table of Contents. 1

## Introduction

Results. 1
Results. 2
Infinite cyclic covering
Turaev's construction
A refinement
Theory of Skeletons
Skeletons
Recovery from a 2-skeleton
How 2-skeletons move in 3D
How 2-skeletons move in 4D
Generic 2-polyhedra with boundary
Relative 2-skeletons

## Face state sums

Colors and colorings
Face state sums
Invariants of knotted graphs
Construction of TQFT
Old and new TQFT'es
Upgrading the colored Jones
State sum model for colored Jones
Building a special 2-skeleton
Partial state sums
Problems

## Table of Contents. 2

## Khovanov homology of framed links

Diagrams of framed links
Reidemeister moves
Kauffman bracket of a framed link
Khovanov homology of a framed link
Cobordisms of framed links
Skein sequences
Cobordisms with double points
Khovanov homology for surfaces in $S^{3} \times S^{1}$
Surfaces in $S^{3} \times S^{1}$
Invariance
Table of Contents. 2

