

## Lecture 3 Handout

### The Basics of Finite-Type Invariants of Knots

Dror Bar-Natan at Villa de Leyva, July 2011, <http://www.math.toronto.edu/~drorbn/Talks/Colombia-1107>

**Definition.** A knot invariant is any function whose domain is  $\{\text{knots}\}$ . Really, we mean a computable function whose target space is understandable; e.g.

$$C: \{\text{knots}\} / \sim = \mathbb{Z}[z] \rightarrow \mathbb{Z}[z]$$

**Example.** The Conway polynomial is given by

$$C(\text{X}) - C(\text{X}') = z C(\text{Y})$$

and

$$C(\text{O}_k) = \begin{cases} 1 & k=1 \\ 0 & k>1 \end{cases}$$

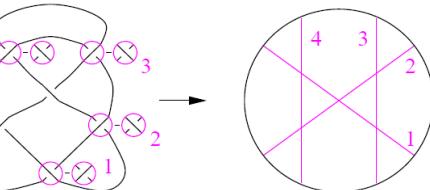
**Exercise.** Pick your favourite bank and compute the Conway polynomial of its logo.



**Definition.** Any

$V: \{\text{knots}\} \rightarrow \text{Abelian Group } A$

can be extended to "knots w/double points"



using  $V(\text{X}) = V(\text{Y}) - V(\text{X}')$ . (Think "differentiation")

**Definition.**  $V$  is of type  $m$  if always

$$V(\text{X} \text{---} \text{X} \dots \text{---} \text{X}) = 0 \quad (\text{think "polynomial"})$$

**Conjecture.** Finite type invariants separate knots.

**Theorem.** If  $C(K) = \sum_{m=0}^{\infty} V_m(K) z^m$  then  $V_m$  is of type  $m$ .

**Proof.**  $C(\text{X}') = C(\text{X}) - C(\text{X}') = z C(\text{Y})$   $\square$

Let  $V$  be of type  $m$ ; then  $V^{(m)}$  is constant:

$$V(\underbrace{\text{X} \dots \text{X}}_m \text{X}) = V(\underbrace{\text{X} \dots \text{X}}_{m-1} \text{X})$$

So  $W_V := V^{(m)} = V|_{\text{m-singular knots}}$  is really a function on  $m$ -chord diagrams:  $W_V: \{\text{X}\} \rightarrow A$

**Claim.**  $W_V$  satisfies the 4T relation:

$$W_V \left( \text{X} \text{---} \text{X} \text{---} \text{X} \text{---} \text{X} \right) - \left( \text{X} \text{---} \text{X} \text{---} \text{X} \text{---} \text{X} \right) + \left( \text{X} \text{---} \text{X} \text{---} \text{X} \text{---} \text{X} \right) = 0$$

$$\text{PROOF. } V \left( \text{X} \text{---} \text{X} \text{---} \text{X} \text{---} \text{X} \right) = V \left( \text{X} \text{---} \text{X} \text{---} \text{X} \text{---} \text{X} \right) \quad \square$$

**Exercise for Lecture 2.** Use  $\int_{\mathbb{R}^n} e^{-x^2/2} = \sqrt{2\pi}$ , Fabini's theorem, and polar coordinates to compute  $\int_{\mathbb{R}^n} \|x\|^{n-1} e^{-\|x\|^2/2} dx^n$  in two different ways and hence to deduce the volume of  $S^{n-1}$ , the  $(n-1)$ -dimensional sphere.

- Exercise.** 1. Determine the "weight system"  $W_V$  of the  $n$ -th coefficient of the Conway polynomial and verify that it satisfies 4T.  
2. Learn somewhere about the Jones polynomial, and do the same for its coefficients.

**Theorem. (The Fundamental Theorem)**

Every "Weight system", i.e. every linear functional  $W$  on  $A := \{\text{diagrams}\} / 4T$  is the  $m$ -th derivative of a type  $m$  invariant:  $\forall W \exists V \text{ s.t. } W = W_V$



M. Kontsevich

| $m$                    | 0 | 1 | 2 | 3 | 4 | 5  | 6  | 7  | 8  | 9   | 10  | 11  | 12  |
|------------------------|---|---|---|---|---|----|----|----|----|-----|-----|-----|-----|
| $\dim \mathcal{A}_m^r$ | 1 | 0 | 1 | 1 | 3 | 4  | 9  | 14 | 27 | 44  | 80  | 132 | 232 |
| $\dim \mathcal{A}_m$   | 1 | 1 | 2 | 3 | 6 | 10 | 19 | 33 | 60 | 104 | 184 | 316 | 548 |
| $\dim \mathcal{P}_m$   | 0 | 1 | 1 | 1 | 2 | 3  | 5  | 8  | 12 | 18  | 27  | 39  | 55  |

**Theorem.**  $A^{\text{today}} \simeq A^{\text{Monday}}$

**Proof**

$$\text{X} - \text{X}' = \text{Y} = \text{X} - \text{X}'' \quad \square$$

**Proposition.** The fundamental thm holds iff there exists an expansion:

$Z: K \rightarrow \hat{A}$  s.t. if  $K$  is

$m$ -singular, then

$$Z(K) = D_K + \text{higher degrees.}$$

**Proof.**

$$K \xrightarrow{Z} \hat{A} \downarrow W \downarrow V \quad \square$$

Also see my old paper,

"On the Vassiliev knot invariants" (google will find ...)

```
[n[1]:= << KnotTheory`  
Loading KnotTheory` version of August 22, 2010, 13:36:57.55.  
Read more at http://katlas.org/wiki/KnotTheory`
```

```
[n[2]:= Column[{  
Import["C:\drorbn\AcademicPensieve\2011-07\RolfsenKnots\."  
& ToString@#[1]]&  
"."& ToString@#[[2]]& "_240.gif"],  
Conway[#[[2]],  
, Center  
]& @ AllKnots[{0, 7}]]  
KnotTheory`loading : Loading precomputed data in PD4Knots`
```

J.H. Conway

