

# DIRAC STRUCTURES AND DIXMIER-DOUADY BUNDLES

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ABSTRACT. A Dirac structure on a vector bundle  $V$  is a maximal isotropic subbundle  $E$  of the direct sum  $V \oplus V^*$ . We show how to associate to any Dirac structure a Dixmier-Douady bundle  $\mathcal{A}_E$ , that is, a  $\mathbb{Z}_2$ -graded bundle of  $C^*$ -algebras with typical fiber the compact operators on a Hilbert space. The construction has good functorial properties, relative to Morita morphisms of Dixmier-Douady bundles. As applications, we show that the Dixmier-Douady bundle  $\mathcal{A}_G^{\text{Spin}} \rightarrow G$  over a compact, connected Lie group (as constructed by Atiyah-Segal) is multiplicative, and we obtain a canonical ‘twisted  $\text{Spin}_c$ -structure’ on spaces with group valued moment maps.

*Dedicated to Richard Melrose on the occasion of his 60th birthday.*

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## 1. INTRODUCTION

A classical result of Dixmier and Douady [11] states that the degree three cohomology group  $H^3(M, \mathbb{Z})$  classifies Morita isomorphism classes of  $C^*$ -algebra bundles  $\mathcal{A} \rightarrow M$ , with typical fiber  $\mathbb{K}(\mathcal{H})$  the compact operators on a Hilbert space. Here a Morita isomorphism  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$  is a bundle  $\mathcal{E} \rightarrow M$  of bimodules, locally modeled on the  $\mathbb{K}(\mathcal{H}_2) - \mathbb{K}(\mathcal{H}_1)$  bimodule  $\mathbb{K}(\mathcal{H}_1, \mathcal{H}_2)$ . Dixmier-Douady bundles  $\mathcal{A} \rightarrow M$  may be regarded as higher

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analogues of line bundles, with Morita isomorphisms replacing line bundle isomorphisms. An important example of a Dixmier-Douady bundle is the Clifford algebra bundle of a Euclidean vector bundle of even rank; a Morita isomorphism  $\mathbb{C}l(V) \dashrightarrow \mathbb{C}$  amounts to a  $\text{Spin}_c$ -structure on  $V$ .

Given a Dixmier-Douady bundle  $\mathcal{A} \rightarrow M$ , one has the twisted  $K$ -homology group  $K_0(M, \mathcal{A})$ , defined as the  $K$ -homology of the  $C^*$ -algebra of sections of  $\mathcal{A}$  (see Rosenberg [28]). Twisted  $K$ -homology is a covariant functor relative to morphisms

$$(\Phi, \mathcal{E}): \mathcal{A}_1 \dashrightarrow \mathcal{A}_2,$$

given by a proper map  $\Phi: M_1 \rightarrow M_2$  and a Morita isomorphism  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \Phi^*\mathcal{A}_2$ . For example, if  $M$  is an even-dimensional Riemannian manifold, the twisted  $K$ -group  $K_0(M, \mathbb{C}l(TM))$  contains a distinguished *Kasparov fundamental class*  $[M]$ , and in order to push this class forward under the map  $\Phi: M \rightarrow \text{pt}$  one needs a Morita morphism  $\mathbb{C}l(TM) \dashrightarrow \mathbb{C}$ , i.e. a  $\text{Spin}_c$ -structure on  $M$ . The push-forward  $\Phi_*[M] \in K_0(\text{pt}) = \mathbb{Z}$  is then the index of the associated  $\text{Spin}_c$ -Dirac operator. Similarly, if  $\mathcal{A} \rightarrow G$  is a Dixmier-Douady bundle over a Lie group, the definition of a ‘convolution product’ on  $K_0(G, \mathcal{A})$  as a push-forward under group multiplication  $\text{mult}: G \times G \rightarrow G$  requires an associative Morita morphism  $(\text{mult}, \mathcal{E}): \text{pr}_1^*\mathcal{A} \otimes \text{pr}_2^*\mathcal{A} \dashrightarrow \mathcal{A}$ .

In this paper, we will relate the Dixmier-Douady theory to Dirac geometry. A (linear) *Dirac structure*  $(\mathbb{V}, E)$  over  $M$  is a vector bundle  $V \rightarrow M$  together with a subbundle

$$E \subset \mathbb{V} := V \oplus V^*,$$

such that  $E$  is maximal isotropic relative to the natural symmetric bilinear form on  $\mathbb{V}$ . Obvious examples of Dirac structures are  $(\mathbb{V}, V)$  and  $(\mathbb{V}, V^*)$ .

One of the main results of this paper is the construction of a *Dirac-Dixmier-Douady functor*, associating to any Dirac structure  $(\mathbb{V}, E)$  a Dixmier-Douady bundle  $\mathcal{A}_E$ , and to every ‘strong’ morphism of Dirac structures  $(\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E')$  a Morita morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E'}$ .

The Dixmier-Douady bundle  $\mathcal{A}_{V^*}$  is canonically Morita trivial, while  $\mathcal{A}_V$  (for  $V$  of even rank) is canonically Morita isomorphic to  $\mathbb{C}l(V)$ . An interesting example of a Dirac structure is the Cartan-Dirac structure  $(\mathbb{T}G, E)$  for a compact Lie group  $G$ . The Cartan-Dirac structure is multiplicative, in the sense that there exists a distinguished Dirac morphism

$$(1) \quad (\mathbb{T}G, E) \times (\mathbb{T}G, E) \dashrightarrow (\mathbb{T}G, E)$$

(with underlying map the group multiplication). The associated Dixmier-Douady bundle  $\mathcal{A}_E =: \mathcal{A}_G^{\text{Spin}}$  is related to the spin representation of the loop group  $LG$ . This bundle (or equivalently the corresponding bundle of projective Hilbert spaces) was described by Atiyah-Segal [6, Section 5], and plays a role in the work of Freed-Hopkins-Teleman [14]. As an immediate consequence of our theory, the Dirac morphism (1) gives rise to a Morita morphism

$$(2) \quad (\text{mult}, \mathcal{E}): \text{pr}_1^*\mathcal{A}_G^{\text{Spin}} \otimes \text{pr}_2^*\mathcal{A}_G^{\text{Spin}} \dashrightarrow \mathcal{A}_G^{\text{Spin}}.$$

Another class of examples comes from the theory of quasi-Hamiltonian  $G$ -spaces, that is, spaces with  $G$ -valued moment maps  $\Phi: M \rightarrow G$  [2]. Typical examples of such spaces are products of conjugacy classes in  $G$ . As observed by Bursztyn-Crainic [7], the structure of a quasi-Hamiltonian space on  $M$  defines a strong Dirac morphism  $(\mathbb{T}M, TM) \dashrightarrow (\mathbb{T}G, E)$  to the Cartan-Dirac structure. Therefore, our theory gives a Morita morphism  $\mathcal{A}_{TM} \dashrightarrow \mathcal{A}_G^{\text{Spin}}$ . On the other hand, as remarked above  $\mathcal{A}_{TM}$  is canonically Morita isomorphic to the Clifford bundle  $\mathbb{C}l(TM)$ , provided  $\dim M$  is even (this is automatic if  $G$  is connected). One may think of the resulting Morita morphism

$$(3) \quad \mathbb{C}l(TM) \dashrightarrow \mathcal{A}_G^{\text{Spin}}$$

(with underlying map  $\Phi$ ) as a ‘twisted  $\text{Spin}_c$ -structure’ on  $M$  (following the terminology of Bai-Lin Wang [33] and Douglas [12]). In a forthcoming paper [19], we will define a *pre-quantization* of  $M$  [31, 34] in terms of a  $G$ -equivariant Morita morphism  $(\Phi, \mathcal{E}): \mathbb{C} \dashrightarrow \mathcal{A}_G^{\text{preq}}$ . Tensoring with (3), one obtains a push-forward map in equivariant twisted  $K$ -homology

$$\Phi_*: K_0^G(M, \mathbb{C}l(TM)) \rightarrow K_0^G(G, \mathcal{A}_G^{\text{preq}} \otimes \mathcal{A}_G^{\text{Spin}}).$$

For  $G$  compact, simple and simply connected, the Freed-Hopkins-Teleman theorem [13, 14] identifies the target of this map as the fusion ring (Verlinde algebra)  $R_k(G)$ , where  $k$  is the given level. The element  $\mathcal{Q}(M) = \Phi_*[M]$  of the fusion ring will be called the *quantization* of the quasi-Hamiltonian space. We will see in [19] that its properties are similar to the geometric quantization of Hamiltonian  $G$ -spaces.

The organization of this paper is as follows. In Section 2 we consider Dirac structures and morphisms on vector bundles, and some of their basic examples. We observe that any Dirac morphism defines a path of Dirac structures inside a larger bundle. We introduce the ‘tautological’ Dirac structure over the orthogonal group and show that group multiplication lifts to a Dirac morphism. Section 3 gives a quick review of some Dixmier-Douady theory. In Section 4 we give a detailed construction of Dixmier-Douady bundles from families of skew-adjoint real Fredholm operators. In Section 5 we observe that any Dirac structure on a Euclidean vector bundle gives such a family of skew-adjoint real Fredholm operators, by defining a family of boundary conditions for the operator  $\frac{\partial}{\partial t}$  on the interval  $[0, 1]$ . Furthermore, to any Dirac morphism we associate a Morita morphism of the Dixmier-Douady bundles, and we show that this construction has good functorial properties. In Section 7 we describe the construction of twisted  $\text{Spin}_c$ -structures for quasi-Hamiltonian  $G$ -spaces. In Section 8, we show that the associated Hamiltonian loop group space carries a distinguished ‘canonical line bundle’, generalizing constructions from [15] and [21].

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## 2. DIRAC STRUCTURES AND DIRAC MORPHISMS

We begin with a review of linear Dirac structures on vector spaces and on vector bundles [1, 8]. In this paper, we will not consider any notions of integrability.

**2.1. Dirac structures.** For any vector space  $V$ , the direct sum  $\mathbb{V} = V \oplus V^*$  carries a non-degenerate symmetric bilinear form extending the pairing between  $V$  and  $V^*$ ,

$$\langle x_1, x_2 \rangle = \mu_1(v_2) + \mu_2(v_1), \quad x_i = (v_i, \mu_i).$$

A *morphism*  $(\Theta, \omega): \mathbb{V} \dashrightarrow \mathbb{V}'$  is a linear map  $\Theta: V \rightarrow V'$  together with a 2-form  $\omega \in \wedge^2 V^*$ . The composition of two morphisms  $(\Theta, \omega): \mathbb{V} \dashrightarrow \mathbb{V}'$  and  $(\Theta', \omega'): \mathbb{V}' \dashrightarrow \mathbb{V}''$  is defined as follows:

$$(\Theta', \omega') \circ (\Theta, \omega) = (\Theta' \circ \Theta, \omega + \Theta^* \omega').$$

Any morphism  $(\Theta, \omega): \mathbb{V} \dashrightarrow \mathbb{V}'$  defines a relation between elements of  $\mathbb{V}, \mathbb{V}'$  as follows:

$$(v, \alpha) \sim_{(\Theta, \omega)} (v', \alpha') \Leftrightarrow v' = \Theta(v), \quad \alpha = \iota_v \omega + \Theta^* \alpha'.$$

Given a subspace  $E \subset \mathbb{V}$ , we define its *forward image* to be the set of all  $x' \in \mathbb{V}'$  such that  $x \sim_{(\Theta, \omega)} x'$  for some  $x \in E$ . For instance,  $V^*$  has forward image equal to  $(V')^*$ . Similarly, the *backward image* of a subspace  $E' \subset \mathbb{V}'$  is the set of all  $x \in \mathbb{V}$  such that  $x \sim_{(\Theta, \omega)} x'$  for some  $x' \in E'$ . The backward image of  $\{0\} \subset \mathbb{V}'$  is denoted  $\ker(\Theta, \omega)$ , and the forward image of  $\mathbb{V}$  is denoted  $\text{ran}(\Theta, \omega)$ .

A subspace  $E$  is called *Lagrangian* if it is maximal isotropic, i.e.  $E^\perp = E$ . Examples are  $V, V^* \subset \mathbb{V}$ . The forward image of a Lagrangian subspace  $E \subset \mathbb{U}$  under a Dirac morphism  $(\Theta, \omega)$  is again Lagrangian. On the set of Lagrangian subspaces with  $E \cap \ker(\Theta, \omega) = 0$ , the forward image depends continuously on  $E$ . The choice of a Lagrangian subspace  $E \subset \mathbb{V}$  defines a (linear) *Dirac structure*, denoted  $(\mathbb{V}, E)$ . We say that  $(\Theta, \omega)$  defines a *Dirac morphism*

$$(4) \quad (\Theta, \omega): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E')$$

if  $E'$  is the *forward image* of  $E$ , and a *strong* Dirac morphism if furthermore  $E \cap \ker(\Theta, \omega) = 0$ . The composition of strong Dirac morphisms is again a strong Dirac morphism.

*Examples 2.1.* (a) Every morphism  $(\Theta, \omega): \mathbb{V} \dashrightarrow \mathbb{V}'$  defines a strong Dirac morphism  $(\mathbb{V}, V^*) \dashrightarrow (\mathbb{V}', (V')^*)$ .

(b) The zero Dirac morphism  $(0, 0): (\mathbb{V}, E) \dashrightarrow (0, 0)$  is strong if and only if  $E \cap V = 0$ .

- (c) Given vector spaces  $V, V'$ , any 2-form  $\omega \in \wedge^2 V^*$  defines a Dirac morphism  $(0, \omega): (\mathbb{V}, V) \dashrightarrow (\mathbb{V}', (V')^*)$ . It is a *strong* Dirac morphism if and only if  $\omega$  is non-degenerate. (This is true in particular if  $V' = 0$ .)
- (d) If  $E = V$ , a Dirac morphism  $(\Theta, \omega): (\mathbb{V}, V) \dashrightarrow (\mathbb{V}', E')$  is strong if and only if  $\ker(\omega) \cap \ker(\Theta) = 0$ .

**2.2. Paths of Lagrangian subspaces.** The following observation will be used later on. Suppose (4) is a strong Dirac morphism. Then there is a distinguished path connecting the subspaces

$$(5) \quad E_0 = E \oplus (V')^*, \quad E_1 = V^* \oplus E',$$

of  $\mathbb{V} \oplus \mathbb{V}'$ , as follows. Define a family of morphisms  $(j_t, \omega_t): \mathbb{V} \dashrightarrow \mathbb{V} \oplus \mathbb{V}'$  interpolating between  $(\text{id} \oplus 0, 0)$  and  $(0 \oplus \Theta, \omega)$ :

$$j_t(v) = ((1-t)v, t\Theta(v)), \quad \omega_t = t\omega.$$

Then

$$\ker(j_t, \omega_t) = \begin{cases} 0 & t \neq 1, \\ \ker(\Theta, \omega) & t = 0. \end{cases}$$

Since  $(\Theta, \omega)$  is a strong Dirac morphism, it follows that  $E$  is transverse to  $\ker(j_t, \omega_t)$  for all  $t$ . Hence the forward images  $E_t \subset \mathbb{V} \oplus \mathbb{V}'$  under  $(j_t, \omega_t)$  are a continuous path of Lagrangian subspaces, taking on the values (5) for  $t = 0, 1$ . We will refer to  $E_t$  as the *standard path* defined by the Dirac morphism (4).

Given another strong Dirac morphism  $(\Theta', \omega'): (\mathbb{V}', E') \dashrightarrow (\mathbb{V}'', E'')$ , define a 2-parameter family of morphisms  $(j_{tt'}, \omega_{tt'}): \mathbb{V} \dashrightarrow \mathbb{V} \oplus \mathbb{V}' \oplus \mathbb{V}''$  by

$$j_{tt'}(v) = ((1-t-t')v, t\Theta(v), t'\Theta'(\Theta(v))), \quad \omega_{tt'} = t\omega + t'(\omega + \Theta^*\omega')$$

Then

$$\ker(j_{tt'}, \omega_{tt'}) = \begin{cases} 0 & t + t' \neq 1 \\ \ker(\Theta, \omega) & t + t' = 1, t \neq 0, \\ \ker((\Theta', \omega') \circ (\Theta, \omega)), & t = 0, t' = 1 \end{cases}$$

In all cases,  $\ker(j_{tt'}, \omega_{tt'}) \cap E = 0$ , hence we obtain a continuous 2-parameter family of Lagrangian subspaces  $E_{tt'} \subset \mathbb{V} \oplus \mathbb{V}' \oplus \mathbb{V}''$  by taking the forward images of  $E$ . We have,

$$E_{00} = E \oplus (V')^* \oplus (V'')^*, \quad E_{10} = V^* \oplus E' \oplus (V'')^*, \quad E_{01} = V^* \oplus (V')^* \oplus E''$$

Furthermore, the path  $E_{s0}$  (resp.  $E_{0s}, E_{1-s,s}$ ) is the direct sum of  $(V'')^*$  (resp. of  $(V')^*, V^*$ ) with the standard path defined by  $(\Theta, \omega)$  (resp. by  $(\Theta', \omega') \circ (\Theta, \omega), (\Theta', \omega')$ .)

**2.3. The parity of a Lagrangian subspace.** Let  $\text{Lag}(\mathbb{V})$  be the *Lagrangian Grassmannian* of  $\mathbb{V}$ , i.e. the set of Lagrangian subspaces  $E \subset \mathbb{V}$ . It is a submanifold of the Grassmannian of subspaces of dimension  $\dim V$ .  $\text{Lag}(\mathbb{V})$  has two connected components, which are distinguished by the mod 2 dimension of the intersection  $E \cap V$ . We will say that  $E$  has *even* or *odd parity*, depending on whether  $\dim(E \cap V)$  is even or odd. The parity is preserved under strong Dirac morphisms:

**Proposition 2.2.** *Let  $(\Theta, \omega): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E')$  be a strong Dirac morphism. Then the parity of  $E'$  coincides with that of  $E$ .*

*Proof.* Clearly,  $E$  has the same parity as  $E_0 = E \oplus (V')^*$ , while  $E'$  has the same parity as  $E_1 = V^* \oplus E'$ . But the Lagrangian subspaces  $E_0, E_1 \subset \mathbb{V} \oplus \mathbb{V}'$  have the same parity since they are in the same path component of  $\text{Lag}(\mathbb{V} \oplus \mathbb{V}')$ .  $\square$

**2.4. Orthogonal transformations.** Suppose  $V$  is a Euclidean vector space, with inner product  $B$ . Then the Lagrangian Grassmannian  $\text{Lag}(\mathbb{V})$  is isomorphic to the orthogonal group of  $V$ , by the map associating to  $A \in \text{O}(V)$  the Lagrangian subspace

$$E_A = \{((I - A^{-1})v, (I + A^{-1})\frac{v}{2}) \mid v \in V\}.$$

Here  $B$  is used to identify  $V^* \cong V$ , and the factor of  $\frac{1}{2}$  in the second component is introduced to make our conventions consistent with [1]. For instance,

$$E_{-I} = V, \quad E_I = V^*, \quad E_{A^{-1}} = (E_A)^{\text{op}}$$

where we denote  $E^{\text{op}} = \{(v, -\alpha) \mid (v, \alpha) \in E\}$ . It is easy to see that the Lagrangian subspaces corresponding to  $A_1, A_2$  are transverse if and only if  $A_1 - A_2$  is invertible; more generally one has  $E_{A_1} \cap E_{A_2} \cong \ker(A_1 - A_2)$ . As a special case, taking  $A_1 = A, A_2 = -I$  it follows that the parity of a Lagrangian subspace  $E = E_A$  is determined by  $\det(A) = \pm 1$ .

*Remark 2.3.* The definition of  $E_A$  may also be understood as follows. Let  $V^-$  denote  $V$  with the opposite bilinear form  $-B$ . Then  $V \oplus V^-$  with split bilinear form  $B \oplus (-B)$  is isometric to  $\mathbb{V} = V \oplus V^*$  by the map  $(a, b) \mapsto (a - b, (a + b)/2)$ . This defines an inclusion  $\kappa: \text{O}(V) \hookrightarrow \text{O}(V \oplus V^-) \cong \text{O}(\mathbb{V})$ . The group  $\text{O}(\mathbb{V})$  acts on Lagrangian subspaces, and one has  $E_A = \kappa(A) \cdot V^*$ .

**2.5. Dirac structures on vector bundles.** The theory developed above extends to (continuous) vector bundles  $V \rightarrow M$  in a straightforward way. Thus, Dirac structures  $(\mathbb{V}, E)$  are now given in terms of Lagrangian sub-bundles  $E \subset \mathbb{V} = V \oplus V^*$ . Given a Euclidean metric on  $V$ , the Lagrangian sub-bundles are identified with sections  $A \in \Gamma(\text{O}(V))$ . A Dirac morphism  $(\Theta, \omega): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E')$  is a vector bundle map  $\Theta: V \rightarrow V'$  together with a 2-form  $\omega \in \Gamma(\wedge^2 V^*)$ , such that the fiberwise maps and 2-forms define Dirac morphisms  $(\Theta_m, \omega_m): (\mathbb{V}_m, E_m) \dashrightarrow (\mathbb{V}'_{\Phi(m)}, E'_{\Phi(m)})$ . Here  $\Phi$  is the map on the base underlying the bundle map  $\Theta$ .

*Example 2.4.* For any Dirac structure  $(\mathbb{V}, E)$ , let  $U := \text{ran}(E) \subset V$  be the projection of  $E$  along  $V^*$ . If  $U$  is a sub-bundle of  $V$ , then the inclusion  $U \hookrightarrow V$  defines a strong Dirac morphism,  $(\mathbb{U}, U) \dashrightarrow (\mathbb{V}, E)$ . More generally, if  $\Phi: N \rightarrow M$  is such that  $U := \Phi^* \text{ran}(E) \subset \Phi^*V$  is a sub-bundle, then  $\Phi$  together with fiberwise inclusion defines a strong Dirac morphism  $(\mathbb{U}, U) \dashrightarrow (\mathbb{V}, E)$ . For instance, if  $(\mathbb{V}, E)$  is invariant under the action of a Lie group, one may take  $\Phi$  to be the inclusion of an orbit.

**2.6. The Dirac structure over the orthogonal group.** Let  $X$  be a vector space, and put  $\mathbb{X} = X \oplus X^*$ . The trivial bundle  $V_{\text{Lag}(\mathbb{X})} = \text{Lag}(\mathbb{X}) \times X$  carries a *tautological Dirac structure*  $(\mathbb{V}_{\text{Lag}(\mathbb{X})}, E_{\text{Lag}(\mathbb{X})})$ , with fiber  $(E_{\text{Lag}(\mathbb{X})})_m$  at  $m \in \text{Lag}(\mathbb{X})$  the Lagrangian subspace labeled by  $m$ . Given a Euclidean metric  $B$  on  $X$ , we may identify  $\text{Lag}(\mathbb{X}) = \text{O}(X)$ ; the tautological Dirac structure will be denoted by  $(\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)})$ . It is equivariant for the conjugation action on  $\text{O}(X)$ . We will now show that the tautological Dirac structure over  $\text{O}(X)$  is multiplicative, in the sense that group multiplication lifts to a strong Dirac morphism. Let  $\Sigma: V_{\text{O}(X)} \times V_{\text{O}(X)} \rightarrow V_{\text{O}(X)}$  be the bundle map, given by the group multiplication on  $V_{\text{O}(X)}$  viewed as a semi-direct product  $\text{O}(X) \ltimes X$ . That is,

$$(6) \quad \Sigma((A_1, \xi_1), (A_2, \xi_2)) = (A_1 A_2, A_2^{-1} \xi_1 + \xi_2).$$

Let  $\sigma$  be the 2-form on  $V_{\text{O}(X)} \times V_{\text{O}(X)}$ , given at  $(A_1, A_2) \in \text{O}(X) \times \text{O}(X)$  as follows,

$$(7) \quad \sigma_{(A_1, A_2)}((\xi_1, \xi_2), (\zeta_1, \zeta_2)) = \frac{1}{2}(B(\xi_1, A_2 \zeta_2) - B(A_2 \xi_2, \zeta_1)).$$

Similar to [1, Section 3.4] we have:

**Proposition 2.5.** *The map  $\Sigma$  and 2-form  $\sigma$  define a strong Dirac morphism*

$$(\Sigma, \sigma): (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}) \times (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}) \dashrightarrow (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)})$$

*This morphism is associative in the sense that*

$$(\Sigma, \sigma) \circ (\Sigma \times \text{id}, \sigma \times 0) = (\Sigma, \sigma) \circ (\text{id} \times \Sigma, 0 \times \sigma)$$

*as morphisms  $(\mathbb{V}, E) \times (\mathbb{V}, E) \times (\mathbb{V}, E) \dashrightarrow (\mathbb{V}, E)$ .*

*Outline of Proof.* Given  $A_1, A_2 \in \text{O}(X)$  let  $A = A_1 A_2$ , and put

$$(8) \quad e(\xi) = ((I - A^{-1})\xi, (I + A^{-1})\frac{\xi}{2}), \quad \xi \in X.$$

Define  $e_i(\xi_i)$  similarly for  $A_1, A_2$ . One checks that

$$e_1(\xi_1) \times e_2(\xi_2) \sim_{(\Sigma, \sigma)} e(\xi)$$

if and only if  $\xi_1 = \xi_2 = \xi$ . The straightforward calculation is left to the reader. It follows that every element in  $E_{\text{O}(X)}|_A$  is related to a unique element in  $E_{\text{O}(X)}|_{A_1} \times E_{\text{O}(X)}|_{A_2}$ .  $\square$

**2.7. Cayley transform and exponential map.** The trivial bundle  $V_{\wedge^2 X} = \wedge^2 X \times X$  carries a Dirac structure  $(\mathbb{V}_{\wedge^2 X}, E_{\wedge^2 X})$ , with fiber at  $a \in \wedge^2 X$  the graph  $\text{Gr}_a = \{(\iota_\mu a, \mu) \mid \mu \in X^*\}$ . It may be viewed as the restriction of the tautological Dirac structure under the inclusion  $\wedge^2 X \hookrightarrow \text{Lag}(\mathbb{X})$ ,  $a \mapsto \text{Gr}_a$ . Use a Euclidean metric  $B$  on  $X$  to identify  $\wedge^2 X = \mathfrak{o}(X)$ , and write  $(\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)})$ . The orthogonal transformation corresponding to the Lagrangian subspace  $\text{Gr}_a$  is given by the Cayley transform  $\frac{I+a/2}{I-a/2}$ . Hence, the bundle map

$$\Theta: V_{\mathfrak{o}(X)} \rightarrow V_{\text{O}(X)}, (a, \xi) \mapsto \left(\frac{I+a/2}{I-a/2}, \xi\right)$$

together with the zero 2-form define a strong Dirac morphism

$$(\Theta, 0): (\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)}) \dashrightarrow (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}),$$

with underlying map the Cayley transform. On the other hand, we may also try to lift the exponential map  $\exp: \mathfrak{o}(X) \rightarrow \text{O}(X)$ . Let

$$(9) \quad \Pi: V_{\mathfrak{o}(X)} \rightarrow V_{\text{O}(X)}, (a, \xi) \mapsto (\exp(a), \frac{I-e^{-a}}{a}\xi),$$

the exponential map for the semi-direct product  $\mathfrak{o}(X) \ltimes X \rightarrow \text{O}(X) \times X$ . Define a 2-form  $\varpi$  on  $V_{\mathfrak{o}(X)}$  by

$$(10) \quad \varpi_a(\xi_1, \xi_2) = -B\left(\frac{a - \sinh(a)}{a^2}\xi_1, \xi_2\right).$$

The following is parallel to [1, Section 3.5].

**Proposition 2.6.** *The map  $\Pi$  and the 2-form  $\varpi$  define a Dirac morphism*

$$(\Pi, -\varpi): (\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)}) \dashrightarrow (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}).$$

*It is a strong Dirac morphism over the open subset  $\mathfrak{o}(V)_{\natural}$  where the exponential map has maximal rank.*

*Outline of Proof.* Let  $a \in \mathfrak{o}(X)$  and  $A = \exp(a)$  be given. Let  $e(\xi)$  be as in 8, and define  $e_0(\xi) = (a\xi, \xi)$ . One checks by straightforward calculation that

$$e_0(\xi) \sim_{(\Pi, -\varpi)} e(\xi)$$

proving that  $(\Pi, -\varpi): (\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)}) \dashrightarrow (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)})$  is a Dirac morphism. Suppose now that the exponential map is regular at  $a$ . By the well-known formula for the differential of the exponential map, this is equivalent to invertibility of  $\Pi_a$ . An element of the form  $(a\xi, \xi)$  lies in  $\ker(\Theta, \omega)$  if and only if  $\Pi_a(a\xi) = 0$  and  $\xi = \iota_{a\xi}\varpi_a$ . The first condition shows  $a\xi = 0$ , and then the second condition gives  $\xi = 0$ . Hence  $e_0(\xi) \sim_{(\Pi, -\varpi)} 0 \Rightarrow \xi = 0$ . Conversely, if  $\Pi_a$  is not invertible, and  $\xi \neq 0$  is an element in the kernel, then  $(a\xi, \xi) \sim_{(\Pi, -\varpi)} 0$ .  $\square$

### 3. DIXMIER-DOUADY BUNDLES AND MORITA MORPHISMS

We give a quick review of Dixmier-Douady bundles, geared towards applications in twisted  $K$ -theory. For more information we refer to the articles [11, 6, 28, 16, 17, 18] and the monograph [26]. Dixmier-Douady bundles are also known as *Azumaya bundles*.



**3.1. Dixmier-Douady bundles.** A *Dixmier-Douady bundle* is a locally trivial bundle  $\mathcal{A} \rightarrow M$  of  $\mathbb{Z}_2$ -graded  $C^*$ -algebras, with typical fiber  $\mathbb{K}(\mathcal{H})$  the compact operators on a  $\mathbb{Z}_2$ -graded (separable) complex Hilbert space, and with structure group  $\text{Aut}(\mathbb{K}(\mathcal{H})) = \text{PU}(\mathcal{H})$ , using the strong operator topology. The tensor product of two such bundles  $\mathcal{A}_1, \mathcal{A}_2 \rightarrow M$  modeled on  $\mathbb{K}(\mathcal{H}_1), \mathbb{K}(\mathcal{H}_2)$  is a Dixmier-Douady bundle  $\mathcal{A}_1 \otimes \mathcal{A}_2$  modeled on  $\mathbb{K}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ . For any Dixmier-Douady bundle  $\mathcal{A} \rightarrow M$  modeled on  $\mathbb{K}(\mathcal{H})$ , the bundle of opposite  $C^*$ -algebras  $\mathcal{A}^{\text{op}} \rightarrow M$  is a Dixmier-Douady bundle modeled on  $\mathbb{K}(\mathcal{H}^{\text{op}})$ , where  $\mathcal{H}^{\text{op}}$  denotes the opposite (or conjugate) Hilbert space.

**3.2. Morita isomorphisms.** A *Morita isomorphism*  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$  between two Dixmier-Douady bundles over  $M$  is a  $\mathbb{Z}_2$ -graded bundle  $\mathcal{E} \rightarrow M$  of Banach spaces, with a fiberwise  $\mathcal{A}_2 - \mathcal{A}_1$  bimodule structure

$$\mathcal{A}_2 \circlearrowleft \mathcal{E} \circlearrowright \mathcal{A}_1$$

that is locally modeled on  $\mathbb{K}(\mathcal{H}_2) \circlearrowleft \mathbb{K}(\mathcal{H}_1, \mathcal{H}_2) \circlearrowright \mathbb{K}(\mathcal{H}_1)$ . Here  $\mathbb{K}(\mathcal{H}_1, \mathcal{H}_2)$  denotes the  $\mathbb{Z}_2$ -graded Banach space of compact operators from  $\mathcal{H}_1$  to  $\mathcal{H}_2$ . In terms of the associated principal bundles, a Morita isomorphism is given by a lift of the structure group  $\text{PU}(\mathcal{H}_2) \times \text{PU}(\mathcal{H}_1^{\text{op}})$  of  $\mathcal{A}_2 \otimes \mathcal{A}_1^{\text{op}}$  to  $\text{PU}(\mathcal{H}_2 \otimes \mathcal{H}_1^{\text{op}})$ . The composition of two Morita isomorphisms  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$  and  $\mathcal{E}': \mathcal{A}_2 \dashrightarrow \mathcal{A}_3$  is given by  $\mathcal{E}' \circ \mathcal{E} = \mathcal{E}' \otimes_{\mathcal{A}_2} \mathcal{E}$ , the fiberwise completion of the algebraic tensor product over  $\mathcal{A}_2$ . In local trivializations, it is given by the composition  $\mathbb{K}(\mathcal{H}_2, \mathcal{H}_3) \times \mathbb{K}(\mathcal{H}_1, \mathcal{H}_2) \rightarrow \mathbb{K}(\mathcal{H}_1, \mathcal{H}_3)$ .

*Examples 3.1.* (a) A Morita isomorphism  $\mathcal{E}: \mathbb{C} \dashrightarrow \mathcal{A}$  is called a *Morita trivialization* of  $\mathcal{A}$ , and amounts to a Hilbert space bundle  $\mathcal{E}$  with an isomorphism  $\mathcal{A} = \mathbb{K}(\mathcal{E})$ .

(b) Any  $*$ -bundle isomorphism  $\phi: \mathcal{A}_1 \rightarrow \mathcal{A}_2$  may be viewed as a Morita isomorphism  $\mathcal{A}_1 \dashrightarrow \mathcal{A}_2$ , by taking  $\mathcal{E} = \mathcal{A}_2$  with the  $\mathcal{A}_2 - \mathcal{A}_1$ -bimodule action  $x_2 \cdot y \cdot x_1 = x_2 y \phi(x_1)$ .

(c) For any Morita isomorphism  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$  there is an *opposite* Morita isomorphism  $\mathcal{E}^{\text{op}}: \mathcal{A}_2 \dashrightarrow \mathcal{A}_1$ , where  $\mathcal{E}^{\text{op}}$  is equal to  $\mathcal{E}$  as a real vector bundle, but with the opposite scalar multiplication. Denoting by  $\chi: \mathcal{E} \rightarrow \mathcal{E}^{\text{op}}$  the anti-linear map given by the identity map of the underlying real bundle, the  $\mathcal{A}_1 - \mathcal{A}_2$ -bimodule action reads  $x_1 \cdot \chi(e) \cdot x_2 = \chi(x_2^* \cdot e \cdot x_1^*)$ . The Morita isomorphism  $\mathcal{E}^{\text{op}}$  is ‘inverse’ to  $\mathcal{E}$ , in the sense that there are canonical bimodule isomorphisms

$$\mathcal{E}^{\text{op}} \circ \mathcal{E} \cong \mathcal{A}_1, \quad \mathcal{E} \circ \mathcal{E}^{\text{op}} \cong \mathcal{A}_2.$$

**3.3. Dixmier-Douady theorem.** The Dixmier-Douady theorem (in its  $\mathbb{Z}_2$ -graded version) states that the Morita isomorphism classes of Dixmier-Douady bundles  $\mathcal{A} \rightarrow M$  are classified by elements

$$\text{DD}(\mathcal{A}) \in H^3(M, \mathbb{Z}) \times H^1(M, \mathbb{Z}_2),$$

called the *Dixmier-Douady class* of  $\mathcal{A}$ . Write  $\text{DD}(\mathcal{A}) = (x, y)$ . Letting  $\hat{\mathcal{A}}$  be the Dixmier-Douady-bundle obtained from  $\mathcal{A}$  by forgetting the  $\mathbb{Z}_2$ -grading,

the element  $x$  is the obstruction to the existence of an (ungraded) Morita trivialization  $\hat{\mathcal{E}}: \mathbb{C} \dashrightarrow \hat{\mathcal{A}}$ . The class  $y$  corresponds to the obstruction of introducing a compatible  $\mathbb{Z}_2$ -grading on  $\hat{\mathcal{E}}$ . In more detail, given a loop  $\gamma: S^1 \rightarrow M$  representing a homology class  $[\gamma] \in H_1(M, \mathbb{Z})$ , choose a Morita trivialization  $(\gamma, \hat{\mathcal{F}}): \mathbb{C} \dashrightarrow \hat{\mathcal{A}}$ . Then  $y([\gamma]) = \pm 1$ , depending on whether or not  $\hat{\mathcal{F}}$  admits a compatible  $\mathbb{Z}_2$ -grading.

- (a) The opposite Dixmier-Douady bundle  $\mathcal{A}^{\text{op}}$  has class  $\text{DD}(\mathcal{A}^{\text{op}}) = -\text{DD}(\mathcal{A})$ .
- (b) If  $\text{DD}(\mathcal{A}_i) = (x_i, y_i)$ ,  $i = 1, 2$ , are the classes corresponding to two Dixmier-Douady bundles  $\mathcal{A}_1, \mathcal{A}_2$  over  $M$ , then [6, Proposition 2.3]

$$\text{DD}(\mathcal{A}_1 \otimes \mathcal{A}_2) = (x_1 + x_2 + \tilde{\beta}(y_1 \cup y_2), y_1 + y_2)$$

where  $y_1 \cup y_2 \in H^2(M, \mathbb{Z}_2)$  is the cup product, and  $\tilde{\beta}: H^2(M, \mathbb{Z}_2) \rightarrow H^3(M, \mathbb{Z})$  is the Bockstein homomorphism.

**3.4. 2-isomorphisms.** Let  $\mathcal{A}_1, \mathcal{A}_2$  be given Dixmier-Douady bundles over  $M$ .

**Definition 3.2.** A *2-isomorphism* between two Morita isomorphisms

$$\mathcal{E}, \mathcal{E}': \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$$

is a continuous bundle isomorphism  $\mathcal{E} \rightarrow \mathcal{E}'$ , intertwining the norms, the  $\mathbb{Z}_2$ -gradings and the  $\mathcal{A}_2 - \mathcal{A}_1$ -bimodule structures.

Equivalently, a 2-isomorphism may be viewed as a trivialization of the  $\mathbb{Z}_2$ -graded Hermitian line bundle

$$(11) \quad L = \text{Hom}_{\mathcal{A}_2 - \mathcal{A}_1}(\mathcal{E}, \mathcal{E}')$$

given by the fiberwise bimodule homomorphisms. Any two Morita bimodules are related by (11) as  $\mathcal{E}' = \mathcal{E} \otimes L$ . It follows that the set of 2-isomorphism classes of Morita isomorphisms  $\mathcal{A}_1 \dashrightarrow \mathcal{A}_2$  is either empty, or is a principal homogeneous space (torsor) for the group  $H^2(M, \mathbb{Z}) \times H^0(M, \mathbb{Z}_2)$  of  $\mathbb{Z}_2$ -graded line bundles.

*Example 3.3.* Suppose the Morita isomorphisms  $\mathcal{E}, \mathcal{E}'$  are connected by a continuous path  $\mathcal{E}_s$  of Morita isomorphisms, with  $\mathcal{E}_0 = \mathcal{E}$ ,  $\mathcal{E}_1 = \mathcal{E}'$ . Then they are 2-isomorphic, in fact  $L_s = \text{Hom}_{\mathcal{A}_2 - \mathcal{A}_1}(\mathcal{E}, \mathcal{E}_s)$  is a path connecting (11) to the trivial line bundle.

*Example 3.4.* Suppose  $\mathcal{A}_s$ ,  $s \in [0, 1]$  is a continuous family of Dixmier-Douady-bundles over  $M$ , i.e. their union defines a Dixmier-Douady bundle  $\mathcal{A} \rightarrow [0, 1] \times M$ . Then there exists a continuous family of isomorphisms  $\phi_s: \mathcal{A}_0 \rightarrow \mathcal{A}_s$ , i.e. an isomorphism  $\text{pr}_2^* \mathcal{A}_0 \cong \mathcal{A}$  of bundles over  $[0, 1] \times M$ . (The existence of such an isomorphism is clear in terms of the associated principal  $\text{PU}(\mathcal{H})$ -bundles.) By composing with  $\phi_0^{-1}$  if necessary, we may assume  $\phi_0 = \text{id}$ . Any other such family of isomorphisms  $\phi'_s: \mathcal{A}_0 \rightarrow \mathcal{A}_s$ ,  $\phi'_0 = \text{id}$  is related to  $\phi_s$  by a family  $L_s$  of line bundles, with  $L_0$  the trivial line

bundle. We conclude that the homotopy of Dixmier-Douady bundles  $\mathcal{A}_s$  gives a distinguished 2-isomorphism class of isomorphisms  $\mathcal{A}_0 \rightarrow \mathcal{A}_1$ .

**3.5. Clifford algebra bundles.** Suppose that  $V \rightarrow M$  is a Euclidean vector bundle of rank  $n$ . A  $\text{Spin}_c$ -structure on  $V$  is given by an orientation on  $V$  together with a lift of the structure group of  $V$  from  $\text{SO}(n)$  to  $\text{Spin}_c(n)$ , where  $n = \text{rk}(V)$ . According to Connes [10] and Plymen [23], this is equivalent to Definition 3.5 below in terms of Dixmier-Douady bundles.

Recall that if  $n$  is even, then the associated bundle of complex Clifford algebras  $\mathbb{C}l(V)$  is a Dixmier-Douady bundle, modeled on  $\mathbb{C}l(\mathbb{R}^n) = \text{End}(\wedge \mathbb{C}^{n/2})$ . In this case, a  $\text{Spin}_c$ -structure may be defined to be a Morita trivialization  $\mathcal{S}: \mathbb{C} \dashrightarrow \mathbb{C}l(V)$ , with  $\mathcal{S}$  is the associated *spinor bundle*. To include the case of odd rank, it is convenient to introduce

$$\tilde{V} = V \oplus \mathbb{R}^n, \quad \widetilde{\mathbb{C}l}(V) := \mathbb{C}l(\tilde{V}).$$

**Definition 3.5.** A  $\text{Spin}_c$ -structure on a Euclidean vector bundle  $V$  is a Morita trivialization

$$\tilde{\mathcal{S}}: \mathbb{C} \dashrightarrow \widetilde{\mathbb{C}l}(V)$$

The bundle  $\tilde{\mathcal{S}}$  is called the corresponding *spinor bundle*. An isomorphism of two  $\text{Spin}_c$ -structures is a 2-isomorphism of the defining Morita trivializations.

If  $n$  is even, one recovers  $\mathcal{S}$  by composing with the Morita isomorphism  $\widetilde{\mathbb{C}l}(V) \dashrightarrow \mathbb{C}l(V)$ . The Dixmier-Douady class  $(x, y)$  of  $\widetilde{\mathbb{C}l}(V)$  is the obstruction to the existence of a  $\text{Spin}_c$ -structure: In fact  $x$  is the third integral Stiefel-Whitney class  $\tilde{\beta}(w_2(V)) \in H^3(M, \mathbb{Z})$ , while  $y$  is the first Stiefel-Whitney class  $w_1(V) \in H^1(M, \mathbb{Z}_2)$ , i.e. the obstruction to orientability of  $V$ .

Any two  $\text{Spin}_c$ -structures on  $V$  differ by a  $\mathbb{Z}_2$ -graded Hermitian line bundle, and an isomorphism of  $\text{Spin}_c$ -structures amounts to a trivialization of this line bundle. Observe that there is a Morita trivialization

$$\wedge \tilde{V}^{\mathbb{C}}: \mathbb{C} \dashrightarrow \widetilde{\mathbb{C}l}(V \oplus V) = \widetilde{\mathbb{C}l}(V) \otimes \widetilde{\mathbb{C}l}(V)$$

defined by the complex structure on  $\tilde{V} \oplus \tilde{V} \cong \tilde{V} \otimes \mathbb{R}^2$ . Hence, given a  $\text{Spin}_c$ -structure, we can define the Hermitian line bundle

$$(12) \quad K_{\tilde{\mathcal{S}}} = \text{Hom}_{\widetilde{\mathbb{C}l}(V \oplus V)}(\tilde{\mathcal{S}} \otimes \tilde{\mathcal{S}}, \wedge \tilde{V}^{\mathbb{C}}).$$

(If  $n$  is even, one may omit the  $\sim$ 's.) This is the *canonical line bundle* of the  $\text{Spin}_c$ -structure. If the  $\text{Spin}_c$ -structure on  $V$  is defined by a complex structure  $J$ , then the canonical bundle coincides with  $\det(V_-) = \wedge^{n/2} V_-$ , where  $V_- \subset V^{\mathbb{C}}$  is the  $-i$  eigenspace of  $J$ .

**3.6. Morita morphisms.** It is convenient to extend the notion of Morita isomorphisms of Dixmier-Douady bundles, allowing non-trivial maps on the base. A *Morita morphism*

$$(13) \quad (\Phi, \mathcal{E}): \mathcal{A}_1 \dashrightarrow \mathcal{A}_2$$

of bundles  $\mathcal{A}_i \rightarrow M_i$ ,  $i = 1, 2$  is a continuous map  $\Phi: M_1 \rightarrow M_2$  together with a Morita isomorphism  $\mathcal{E}: \mathcal{A}_1 \dashrightarrow \Phi^*\mathcal{A}_2$ . A given map  $\Phi$  lifts to such a Morita morphism if and only if  $\text{DD}(\mathcal{A}_1) = \Phi^*\text{DD}(\mathcal{A}_2)$ . Composition of Morita morphisms is defined as  $(\Phi', \mathcal{E}') \circ (\Phi, \mathcal{E}) = (\Phi' \circ \Phi, \Phi^*\mathcal{E}' \circ \mathcal{E})$ . If  $\mathcal{E}: \mathbb{C} \dashrightarrow \mathcal{A}$  is a Morita trivialization, we can think of  $\mathcal{E}^{\text{op}}: \mathcal{A} \dashrightarrow \mathbb{C}$  as a Morita morphism covering the map  $M \rightarrow \text{pt}$ . As mentioned in the introduction, a Morita morphism (13) such that  $\Phi$  is *proper* induces a push-forward map in twisted K-homology.

**3.7. Equivariance.** The Dixmier-Douady theory generalizes to the  $G$ -equivariant setting, where  $G$  is a compact Lie group.  $G$ -equivariant Dixmier-Douady bundles over a  $G$ -space  $M$  are classified by  $H_G^3(M, \mathbb{Z}) \times H_G^1(M, \mathbb{Z}_2)$ . If  $M$  is a point, a  $G$ -equivariant Dixmier-Douady bundle  $\mathcal{A} \rightarrow \text{pt}$  is of the form  $\mathcal{A} = \mathbb{K}(\mathcal{H})$  where  $\mathcal{H}$  is a  $\mathbb{Z}_2$ -graded Hilbert space with an action of a central extension  $\widehat{G}$  of  $G$  by  $\text{U}(1)$ . (It is a well-known fact that  $H_G^3(\text{pt}, \mathbb{Z}) = H^3(BG, \mathbb{Z})$  classifies such central extensions.) The definition of  $\text{Spin}_c$ -structures in terms of Morita morphisms extends to the  $G$ -equivariant in the obvious way.

#### 4. FAMILIES OF SKEW-ADJOINT REAL FREDHOLM OPERATORS

In this Section, we will explain how a continuous family of skew-adjoint Fredholm operators on a bundle of real Hilbert spaces defines a Dixmier-Douady bundle. The construction is inspired by ideas in Atiyah-Segal [6], Carey-Mickelsson-Murray [9, 22], and Freed-Hopkins-Teleman [14, Section 3].

**4.1. Infinite dimensional Clifford algebras.** We briefly review the spin representation for infinite dimensional Clifford algebras. Excellent sources for this material are the book [24] by Plymen and Robinson and the article [5] by Araki.

Let  $\mathcal{V}$  be an infinite dimensional real Hilbert space, and  $\mathcal{V}^{\mathbb{C}}$  its complexification. The Hermitian inner product on  $\mathcal{V}^{\mathbb{C}}$  will be denoted  $\langle \cdot, \cdot \rangle$ , and the complex conjugation map by  $v \mapsto v^*$ . Just as in the finite-dimensional case, one defines the Clifford algebra  $\mathbb{C}1(\mathcal{V})$  as the  $\mathbb{Z}_2$ -graded unital complex algebra with odd generators  $v \in \mathcal{V}$  and relations,  $vv = \langle v, v \rangle$ . The Clifford algebra carries a unique anti-linear anti-involution  $x \mapsto x^*$  extending the complex conjugation on  $\mathcal{V}^{\mathbb{C}}$ , and a unique norm  $\|\cdot\|$  satisfying the  $C^*$ -condition  $\|x^*x\| = \|x\|^2$ . Thus  $\mathbb{C}1(\mathcal{V})$  is a  $\mathbb{Z}_2$ -graded pre- $C^*$ -algebra.

A (*unitary*) *module over*  $\mathbb{C}1(\mathcal{V})$  is a complex  $\mathbb{Z}_2$ -graded Hilbert space  $\mathcal{E}$  together with a  $*$ -homomorphism  $\varrho: \mathbb{C}1(\mathcal{V}) \rightarrow \mathcal{L}(\mathcal{E})$  preserving  $\mathbb{Z}_2$ -gradings. Here  $\mathcal{L}(\mathcal{E})$  is the  $*$ -algebra of bounded linear operators, and the condition on the grading means that  $\varrho(v)$  acts as an odd operator for each  $v \in \mathcal{V}^{\mathbb{C}}$ .

We will view  $\mathcal{L}(\mathcal{V})$  (the bounded  $\mathbb{R}$ -linear operators on  $\mathcal{V}$ ) as an  $\mathbb{R}$ -linear subspace of  $\mathcal{L}(\mathcal{V}^{\mathbb{C}})$ . Operators in  $\mathcal{L}(\mathcal{V})$  will be called *real*. A real skew-adjoint operator  $J \in \mathcal{L}(\mathcal{V})$  is called an *orthogonal complex structure* on  $\mathcal{V}$  if it satisfies  $J^2 = -I$ . Note  $J^* = -J = J^{-1}$ , so that  $J \in \text{O}(\mathcal{V})$ .

The orthogonal complex structure defines a decomposition  $\mathcal{V}^{\mathbb{C}} = \mathcal{V}_+ \oplus \mathcal{V}_-$  into maximal isotropic subspaces  $\mathcal{V}_{\pm} = \ker(J \mp i) \subset \mathcal{V}^{\mathbb{C}}$ . Note  $v \in \mathcal{V}_+ \Leftrightarrow v^* \in \mathcal{V}_-$ . Define a Clifford action of  $\mathbb{C}1(\mathcal{V})$  on  $\wedge \mathcal{V}_+$  by the formula

$$\rho(v) = \sqrt{2}(\epsilon(v_+) + \iota(v_-)),$$

writing  $v = v_+ + v_-$  with  $v_{\pm} \in \mathcal{V}_{\pm}$ . Here  $\epsilon(v_+)$  denotes exterior multiplication by  $v_+$ , while the contraction  $\iota(v_-)$  is defined as the unique derivation such that  $\iota(v_-)w = \langle v_-^*, w \rangle$  for  $w \in \mathcal{V}^{\mathbb{C}} \subset \wedge \mathcal{V}^{\mathbb{C}}$ . Passing to the Hilbert space completion one obtains a unitary  $\mathbb{Z}_2$ -graded Clifford module

$$\mathcal{S}_J = \overline{\wedge \mathcal{V}_+},$$

called the *spinor module* or *Fock representation* defined by  $J$ .

The equivalence problem for Fock representations was solved by Shale and Stinespring [32]. See also [24, Theorem 3.5.2].

**Theorem 4.1** (Shale-Stinespring). *The  $\mathbb{C}1(\mathcal{V})$ -modules  $\mathcal{S}_1, \mathcal{S}_2$  defined by orthogonal complex structures  $J_1, J_2$  are unitarily isomorphic (up to possible reversal of the  $\mathbb{Z}_2$ -grading) if and only if  $J_1 - J_2 \in \mathcal{L}_{\text{HS}}(\mathcal{V})$ . In this case, the unitary operator implementing the isomorphism is unique up to a scalar  $z \in \text{U}(1)$ . The implementer has even or odd parity, according to the parity of  $\frac{1}{2} \dim \ker(J_1 + J_2) \in \mathbb{Z}$ .*

**Definition 4.2.** [29, p. 193], [14] Two orthogonal complex structures  $J_1, J_2$  on a real Hilbert space  $\mathcal{V}$  are called *equivalent* (written  $J_1 \sim J_2$ ) if their difference is Hilbert-Schmidt. An equivalence class of complex structures on  $\mathcal{V}$  (resp. on  $\mathcal{V} \oplus \mathbb{R}$ ) is called an even (resp. odd) *polarization* of  $\mathcal{V}$ .

By Theorem 4.1 the  $\mathbb{Z}_2$ -graded  $C^*$ -algebra  $\mathbb{K}(\mathcal{S}_J)$  depends only on the equivalence class of  $J$ , in the sense that there exists a canonical identification  $\mathbb{K}(\mathcal{S}_{J_1}) \cong \mathbb{K}(\mathcal{S}_{J_2})$  whenever  $J_1 \sim J_2$ . That is, any polarization of  $\mathcal{V}$  determines a Dixmier-Douady algebra.

**4.2. Skew-adjoint Fredholm operators.** Suppose  $D$  is a real skew-adjoint (possibly unbounded) Fredholm operator on  $\mathcal{V}$ , with dense domain  $\text{dom}(D) \subset \mathcal{V}$ . In particular  $D$  has a finite-dimensional kernel, and 0 is an isolated point of the spectrum. Let  $J_D$  denote the real skew-adjoint operator,

$$J_D = i \text{sign}\left(\frac{1}{i}D\right)$$

(using functional calculus for the self-adjoint operator  $\frac{1}{i}D$ ). Thus  $J_D$  is an orthogonal complex structure on  $\ker(D)^{\perp}$ , and vanishes on  $\ker(D)$ . If  $\ker(D) = 0$ , we may also write  $J_D = \frac{D}{|D|}$ . The same definition of  $J_D$  also applies to complex skew-adjoint Fredholm operators. We have:

**Proposition 4.3.** *Let  $D$  be a (real or complex) skew-adjoint Fredholm operator, and  $Q$  a skew-adjoint Hilbert-Schmidt operator. Then  $J_{D+Q} - J_D$  is Hilbert-Schmidt.*

The following simple proof was shown to us by Gian-Michele Graf.

*Proof.* Choose  $\epsilon > 0$  so that the spectrum of  $D, D + Q$  intersects the set  $|z| < 2\epsilon$  only in  $\{0\}$ . Replacing  $D$  with  $D + i\epsilon$  if necessary, and noting that  $J_{D+i\epsilon} - J_D$  has finite rank, we may thus assume that 0 is not in the spectrum of  $D$  or of  $D + Q$ . One then has the following presentation of  $J_D$  as a Riemannian integral of the resolvent  $R_z(D) = (D - z)^{-1}$ ,

$$J_D = -\frac{1}{\pi} \int_{-\infty}^{\infty} R_t(D) dt,$$

convergent in the strong topology. Using a similar expression for  $J_{D+Q}$  and the second resolvent identity  $R_t(D + Q) - R_t(D) = -R_t(D + Q) Q R_t(D)$ , we obtain

$$J_{D+Q} - J_D = \frac{1}{\pi} \int_{-\infty}^{\infty} R_t(D + Q) Q R_t(D) dt.$$

Let  $a > 0$  be such that the spectrum of  $D, D + Q$  does not meet the disk  $|z| \leq a$ . Then  $\|R_t(D)\|, \|R_t(D + Q)\| \leq (t^2 + a^2)^{-1/2}$  for all  $t \in \mathbb{R}$ . Hence

$$\|R_t(D + Q) Q R_t(D)\|_{HS} \leq \frac{1}{t^2 + a^2} \|Q\|_{HS},$$

using  $\|AB\|_{HS} \leq \|A\| \|B\|_{HS}$ . Since  $\int (t^2 + a^2)^{-1} dt = \pi/a$ , we obtain the estimate

$$(14) \quad \|J_{D+Q} - J_D\|_{HS} \leq \frac{1}{a} \|Q\|_{HS}. \quad \square$$

A real skew-adjoint Fredholm operator  $D$  on  $\mathcal{V}$  will be called of *even* (resp. *odd*) *type* if  $\ker(D)$  has even (resp. odd) dimension. As in [14, Section 3.1], we associate to any  $D$  of even type the even polarization defined by the orthogonal complex structures  $J \in \mathcal{O}(\mathcal{V})$  such that  $J - J_D$  is Hilbert-Schmidt. For  $D$  of odd type, we similarly obtain an odd polarization by viewing  $J_D$  as an operator on  $\mathcal{V} \oplus \mathbb{R}$  (equal to 0 on  $\mathbb{R}$ ).

Two skew-adjoint real Fredholm operators  $D_1, D_2$  on  $\mathcal{V}$  will be called *equivalent* (written  $D_1 \sim D_2$ ) if they define the same polarization of  $\mathcal{V}$ , and hence the same Dixmier-Douady algebra  $\mathcal{A}$ . Equivalently,  $D_i$  have the same parity and  $J_{D_1} - J_{D_2}$  is Hilbert-Schmidt. In particular,  $D \sim D + Q$  whenever  $Q$  is a skew-adjoint Hilbert-Schmidt operator. In the even case, we can always choose  $Q$  so that  $D + Q$  is invertible, while in the odd case we can choose such a  $Q$  after passing to  $\mathcal{V} \oplus \mathbb{R}$ .

*Remark 4.4.* The estimate (14) show that for fixed  $D$  (such that  $D, D + Q$  have trivial kernel), the difference  $J_{D+Q} - J_D \in \mathcal{L}_{HS}(\mathcal{X})$  depends continuously on  $Q$  in the Hilbert-Schmidt norm. On the other hand, it also depends continuously on  $D$  relative to the norm resolvent topology [27, page 284].

This follows from the integral representation of  $J_{D+Q} - J_D$ , together with resolvent identities such as

$$R_t(D') - R_t(D) = R_t(D')R_1(D')^{-1}(R_1(D') - R_1(D))R_1(D)^{-1}R_t(D).$$

giving estimates  $\|R_t(D') - R_t(D)\| \leq (t^2 + a^2)^{-1} \|R_1(D') - R_1(D)\|$  for  $a > 0$  such that the spectrum of  $D, D'$  does not meet the disk of radius  $a$ .

**4.3. Polarizations of bundles of real Hilbert spaces.** Let  $\mathcal{V} \rightarrow M$  be a bundle of real Hilbert spaces, with typical fiber  $\mathcal{X}$  and with structure group  $O(\mathcal{X})$  (using the norm topology). A polarization on  $\mathcal{V}$  is a family of polarizations on  $\mathcal{V}_m$ , depending continuously on  $m$ . To make this precise, fix an orthogonal complex structure  $J_0 \in O(\mathcal{X})$ , and let  $\mathcal{L}_{\text{res}}(\mathcal{X})$  be the Banach space of bounded linear operators  $S$  such that  $[S, J_0]$  is Hilbert-Schmidt, with norm  $\|S\| + \|[S, J_0]\|_{HS}$ . Define the *restricted orthogonal group*  $O_{\text{res}}(\mathcal{X}) = O(\mathcal{X}) \cap \mathcal{L}_{\text{res}}(\mathcal{X})$ , with the subspace topology. It is a Banach Lie group, with Lie algebra  $\mathfrak{o}_{\text{res}}(\mathcal{X}) = \mathfrak{o}(\mathcal{X}) \cap \mathcal{L}_{\text{res}}(\mathcal{X})$ . The unitary group  $U(\mathcal{X}) = U(\mathcal{X}, J_0)$  relative to  $J_0$ , equipped with the norm topology is a Banach subgroup of  $O_{\text{res}}(\mathcal{X})$ . For more details on the restricted orthogonal group, we refer to Araki [5] or Pressley-Segal[25].

**Definition 4.5.** An even *polarization* of the real Hilbert space bundle  $\mathcal{V} \rightarrow M$  is a reduction of the structure group  $O(\mathcal{X})$  to the restricted orthogonal group  $O_{\text{res}}(\mathcal{X})$ . An odd polarization of  $\mathcal{V}$  is an even polarization of  $\mathcal{V} \oplus \mathbb{R}$ .

Thus, a polarization is described by a system of local trivializations of  $\mathcal{V}$  whose transition functions are continuous maps into  $O_{\text{res}}(\mathcal{X})$ . Any global complex structure on  $\mathcal{V}$  defines a polarization, but not all polarizations arise in this way.

**Proposition 4.6.** *Suppose  $\mathcal{V} \rightarrow M$  comes equipped with a polarization. For  $m \in M$  let  $\mathcal{A}_m$  be the Dixmier-Douady algebra defined by the polarization on  $\mathcal{V}_m$ . Then  $\mathcal{A} = \bigcup_{m \in M} \mathcal{A}_m$  is a Dixmier-Douady bundle.*

*Proof.* We consider the case of an even polarization (for the odd case, replace  $\mathcal{V}$  with  $\mathcal{V} \oplus \mathbb{R}$ ). By assumption, the bundle  $\mathcal{V}$  has a system of local trivializations with transition functions in  $O_{\text{res}}(\mathcal{X})$ . Let  $\mathcal{S}_0$  be the spinor module over  $\mathbb{C}l(\mathcal{X})$  defined by  $J_0$ , and  $\text{PU}(\mathcal{S}_0)$  the projective unitary group with the strong operator topology. A version of the Shale-Stinespring theorem [24, Theorem 3.3.5] says that an orthogonal transformation is implemented as a unitary transformation of  $\mathcal{S}_0$  if and only if it lies in  $O_{\text{res}}(\mathcal{X})$ , and in this case the implementer is unique up to scalar. According to Araki [5, Theorem 6.10(7)], the resulting group homomorphism  $O_{\text{res}}(\mathcal{X}) \rightarrow \text{PU}(\mathcal{S}_0)$  is continuous. That is,  $\mathcal{A}$  admits the structure group  $\text{PU}(\mathcal{S}_0)$  with the strong topology.  $\square$

In terms of the principal  $O_{\text{res}}(\mathcal{X})$ -bundle  $\mathcal{P} \rightarrow M$  defined by the polarization of  $\mathcal{V}$ , the Dixmier-Douady bundle is an associated bundle

$$\mathcal{A} = \mathcal{P} \times_{O_{\text{res}}(\mathcal{X})} \mathbb{K}(\mathcal{S}_0).$$

**4.4. Families of skew-adjoint Fredholm operators.** Suppose now that  $D = \{D_m\}$  is a family of (possibly unbounded) real skew-adjoint Fredholm operators on  $\mathcal{V}_m$ , depending continuously on  $m \in M$  in the norm resolvent sense [27, page 284]. That is, the bounded operators  $(D_m - I)^{-1} \in \mathcal{L}(\mathcal{V}_m)$  define a continuous section of the bundle  $\mathcal{L}(\mathcal{V})$  with the norm topology. The map  $m \mapsto \dim \ker(D_m)$  is locally constant mod 2. The family  $D$  will be called of even (resp. odd) type if all  $\dim \ker(D_m)$  are even (resp. odd). Each  $D_m$  defines an even (resp. odd) polarization of  $\mathcal{V}_m$ , given by the complex structures on  $\mathcal{V}_m$  or  $\mathcal{V}_m \oplus \mathbb{R}$  whose difference with  $J_{D_m}$  is Hilbert-Schmidt.

**Proposition 4.7.** *Let  $D = \{D_m\}$  be a family of (possibly unbounded) real skew-adjoint Fredholm operators on  $\mathcal{V}_m$ , depending continuously on  $m \in M$  in the norm resolvent sense. Then the corresponding family of polarizations on  $\mathcal{V}_m$  depends continuously on  $m$  in the sense of Definition 4.5. That is,  $D$  determines a polarization of  $\mathcal{V}$ .*

*Proof.* We assume that the family  $D$  is of even type. (The odd case is dealt with by adding a copy of  $\mathbb{R}$ .) We will show the existence of a system of local trivializations

$$\phi_\alpha: \mathcal{V}|_{U_\alpha} = U_\alpha \times \mathcal{X}$$

and skew-adjoint Hilbert-Schmidt perturbations  $Q_\alpha \in \Gamma(\mathcal{L}_{HS}(\mathcal{V}|_{U_\alpha}))$  of  $D|_{U_\alpha}$ , continuous in the Hilbert-Schmidt norm<sup>1</sup>, so that

- (i)  $\ker(D_m + Q_\alpha|_m) = 0$  for all  $m \in U_\alpha$ , and
- (ii)  $\phi_\alpha \circ J_{D+Q_\alpha} \circ \phi_\alpha^{-1} = J_0$ .

The transition functions  $\chi_{\alpha\beta} = \phi_\beta \circ \phi_\alpha^{-1}: U_\alpha \cap U_\beta \rightarrow \mathcal{O}(\mathcal{X})$  will then take values in  $\mathcal{O}_{\text{res}}(\mathcal{X})$ : Indeed, by Proposition 4.3 the difference  $J_{D+Q_\beta} - J_{D+Q_\alpha}$  is Hilbert-Schmidt, and (using (14) and Remark 4.4) it is a continuous section of  $\mathcal{L}_{HS}(\mathcal{V})$  over  $U_\alpha \cap U_\beta$ . Conjugating by  $\phi_\alpha$ , and using (ii) it follows that

$$(15) \quad \chi_{\alpha\beta}^{-1} \circ J_0 \circ \chi_{\alpha\beta} - J_0 : U_\alpha \cap U_\beta \rightarrow \mathcal{L}(\mathcal{X})$$

takes values in Hilbert-Schmidt operators, and is continuous in the Hilbert-Schmidt norm. Hence the  $\chi_{\alpha\beta}$  are continuous functions into  $\mathcal{O}_{\text{res}}(\mathcal{X})$ .

It remains to construct the desired system of local trivializations. It suffices to construct such a trivialization near any given  $m_0 \in M$ . Pick a continuous family of skew-adjoint Hilbert-Schmidt operators  $Q$  so that  $\ker(D_{m_0} + Q_{m_0}) = 0$ . (We may even take  $Q$  of finite rank.) Hence  $J_{D_{m_0} + Q_{m_0}}$  is a complex structure. Choose an isomorphism  $\phi_{m_0}: \mathcal{V}_{m_0} \rightarrow \mathcal{X}$  intertwining  $J_{D_{m_0} + Q_{m_0}}$  with  $J_0$ , and extend to a local trivialization  $\phi: \mathcal{V}|_U \rightarrow U \times \mathcal{X}$  over a neighborhood  $U$  of  $m_0$ . We may assume that  $\ker(D_m + Q_m) = 0$  for  $m \in U$ , defining complex structures  $J_m = \phi_m \circ J_{D_m + Q_m} \circ \phi_m^{-1}$ . By construction  $J_{m_0} = J_0$ , and hence  $\|J_m - J_0\| < 2$  after  $U$  is replaced by a

<sup>1</sup>The sub-bundle  $\mathcal{L}_{HS}(\mathcal{V}) \subset \mathcal{L}(\mathcal{V})$  carries a topology, where a sections is continuous at  $m \in M$  if its expression in a local trivialization of  $\mathcal{V}$  near  $m$  is continuous. (This is independent of the choice of trivialization.)



smaller neighborhood if necessary. By [24, Theorem 3.2.4], Condition (ii) guarantees that

$$g_m = (I - J_m J_0) |I - J_m J_0|^{-1}$$

gives a well-defined continuous map  $g: U \rightarrow \mathcal{O}(\mathcal{X})$  with  $J_m = g_m J_0 g_m^{-1}$ . Hence, replacing  $\phi$  with  $g \circ \phi$  we obtain a local trivialization satisfying (i), (ii).  $\square$

To summarize: A continuous family  $D = \{D_m\}$  of skew-adjoint real Fredholm operators on  $\mathcal{V}$  determines a polarization of  $\mathcal{V}$ . The fibers  $\mathcal{P}_m$  of the associated principal  $\mathcal{O}_{\text{res}}(\mathcal{X})$ -bundle  $\mathcal{P} \rightarrow M$  defining the polarization are given as the set of isomorphisms of real Hilbert spaces  $\phi_m: \mathcal{V}_m \rightarrow \mathcal{X}$  such that  $J_0 - \phi_m J_{D_m} \phi_m^{-1}$  is Hilbert-Schmidt. In turn, the polarization determines a Dixmier-Douady bundle  $\mathcal{A} \rightarrow M$ .

We list some elementary properties of this construction:

- (a) Suppose  $\mathcal{V}$  has finite rank. Then  $\mathcal{A} = \mathbb{C}l(\mathcal{V})$  if the rank is even, and  $\mathcal{A} = \mathbb{C}l(\mathcal{V} \oplus \mathbb{R})$  if the rank is odd. In both cases,  $\mathcal{A}$  is canonically Morita isomorphic to  $\widetilde{\mathbb{C}l}(V)$ .
- (b) If  $\ker(D) = 0$  everywhere, the complex structure  $J = D|D|^{-1}$  gives a global a spinor module  $\mathcal{S}$ , defining a Morita trivialization

$$\mathcal{S}: \mathbb{C} \dashrightarrow \mathcal{A}.$$

- (c) If  $\mathcal{V} = \mathcal{V}' \oplus \mathcal{V}''$  and  $D = D' \oplus D''$ , the corresponding Dixmier-Douady algebras satisfy  $\mathcal{A} \cong \mathcal{A}' \otimes \mathcal{A}''$ , provided the kernels of  $D'$  or  $D''$  are even-dimensional. If both  $D', D''$  have odd-dimensional kernels, we obtain  $\mathcal{A} \otimes \mathbb{C}l(\mathbb{R}^2) \cong \mathcal{A}' \otimes \mathcal{A}''$ . In any case,  $\mathcal{A}$  is canonically Morita isomorphic to  $\mathcal{A}' \otimes \mathcal{A}''$ .
- (d) Combining the three items above, it follows that if  $\mathcal{V}' = \ker(D)$  is a sub-bundle of  $\mathcal{V}$ , then there is a canonical Morita isomorphism

$$\widetilde{\mathbb{C}l}(\mathcal{V}') \dashrightarrow \mathcal{A}.$$

- (e) Given a  $G$ -equivariant family of skew-adjoint Fredholm operators (with  $G$  a compact Lie group) one obtains a  $G$ -Dixmier-Douady bundle.

Suppose  $D_1, D_2$  are two families of skew-adjoint Fredholm operators as in Proposition 4.7. We will call these families equivalent and write  $D_1 \sim D_2$  if they define the same polarization of  $\mathcal{V}$ , and therefore the same Dixmier-Douady bundle  $\mathcal{A} \rightarrow M$ . We stress that different polarizations can induce *isomorphic* Dixmier-Douady bundles, however, the isomorphism is usually not canonical.

## 5. FROM DIRAC STRUCTURES TO DIXMIER-DOUADY BUNDLES

We will now use the constructions from the last Section to associate to every Dirac structure  $(\mathbb{V}, E)$  over  $M$  a Dixmier-Douady bundle  $\mathcal{A}_E \rightarrow M$ , and to every strong Dirac morphism  $(\Theta, \omega): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E')$  a Morita morphism. The construction is functorial ‘up to 2-isomorphisms’.

### 5.1. The Dixmier-Douady algebra associated to a Dirac structure.

Let  $(\mathbb{V}, E)$  be a Dirac structure over  $M$ . Pick a Euclidean metric on  $V$ , and let  $\mathcal{V} \rightarrow M$  be the bundle of real Hilbert spaces with fibers

$$\mathcal{V}_m = L^2([0, 1], V_m).$$

Let  $A \in \Gamma(\mathcal{O}(V))$  be the orthogonal section corresponding to  $E$ , as in Section 2.4. Define a family  $D_E = \{(D_E)_m, m \in M\}$  of operators on  $\mathcal{V}$ , where  $(D_E)_m = \frac{\partial}{\partial t}$  with domain

$$(16) \quad \text{dom}((D_E)_m) = \{f \in \mathcal{V}_m \mid \dot{f} \in \mathcal{V}_m, f(1) = -A_m f(0)\}.$$

The condition that the distributional derivative  $\dot{f}$  lies in  $L^2 \subset L^1$  implies that  $f$  is absolutely continuous; hence the boundary condition  $f(1) = -A_m f(0)$  makes sense. The unbounded operators  $(D_E)_m$  are closed and skew-adjoint (see e.g. [27, Chapter VIII]). By Proposition A.4 in the Appendix, the family  $D_E$  is continuous in the norm resolvent sense, hence it defines a Dixmier-Douady bundle  $\mathcal{A}_E$  by Proposition 4.7.

The kernel of the operator  $(D_E)_m$  is the intersection of  $V_m \subset \mathcal{V}_m$  (embedded as constant functions) with the domain (16). That is,

$$\ker((D_E)_m) = \ker(A_m + I) = V_m \cap E_m$$

**Proposition 5.1.** *Suppose  $E \cap V$  is a sub-bundle of  $V$ . Then there is a canonical Morita isomorphism*

$$\widetilde{\mathbb{C}1}(E \cap V) \dashrightarrow \mathcal{A}_E.$$

*In particular there are canonical Morita isomorphisms*

$$\mathbb{C} \dashrightarrow \mathcal{A}_{V^*}, \quad \widetilde{\mathbb{C}1}(V) \dashrightarrow \mathcal{A}_V.$$

*Proof.* Since  $\ker(D_E) \cong E \cap V$  is then a sub-bundle of  $\mathcal{V}$ , the assertion follows from item (d) in Section 4.4.  $\square$

*Remark 5.2.* The definition of  $\mathcal{A}_E$  depends on the choice of a Euclidean metric on  $V$ . However, since the space of Euclidean metrics is contractible, the bundles corresponding to two choices are related by a canonical 2-isomorphism class of isomorphisms. See Example 3.4.

*Remark 5.3.* The Dixmier-Douady class  $\text{DD}(\mathcal{A}_E) = (x, y)$  is an invariant of the Dirac structure  $(\mathbb{V}, E)$ . It may be constructed more directly as follows: Choose  $V'$  such that  $V \oplus V' \cong X \times \mathbb{R}^N$  is trivial. Then  $E \oplus (V')^*$  corresponds to a section of the orthogonal bundle, or equivalently to a map  $f: X \rightarrow \mathcal{O}(N)$ . The class  $\text{DD}(\mathcal{A}_E)$  is the pull-back under  $f$  of the class over  $\mathcal{O}(N)$  whose restriction to each component is a generator of  $H^3(\cdot, \mathbb{Z})$  respectively  $H^1(\cdot, \mathbb{Z}_2)$ . (See Proposition 6.2 below.) However, not all classes in  $H^3(X, \mathbb{Z}) \times H^1(X, \mathbb{Z}_2)$  are realized as such pull-backs.

The following Proposition shows that the polarization defined by  $D_E$  depends very much on the choice of  $E$ , while it is not affected by perturbations of  $D_E$  by skew-adjoint multiplication operators  $M_\mu$ . Let  $L^\infty([0, 1], \mathfrak{o}(V))$

denote the Banach bundle with fibers  $L^\infty([0, 1], \mathfrak{o}(V_m))$ . Its continuous sections  $\mu$  are given in local trivialization of  $V$  by continuous maps to  $L^\infty([0, 1], \mathfrak{o}(X))$ . Fiberwise multiplication by  $\mu$  defines a continuous homomorphism

$$L^\infty([0, 1], \mathfrak{o}(V)) \rightarrow \mathcal{L}(\mathcal{V}), \quad \mu \mapsto M_\mu.$$

**Proposition 5.4.** (a) *Let  $E, E'$  be two Lagrangian sub-bundles of  $V$ . Then  $D_E \sim D_{E'}$  if and only if  $E = E'$ .*

(b) *Let  $\mu \in \Gamma(L^\infty([0, 1], \mathfrak{o}(V)))$ , defining a continuous family of skew-adjoint multiplication operators  $M_\mu \in \Gamma(\mathcal{L}(\mathcal{V}))$ . For any Lagrangian sub-bundle  $E \subset \mathbb{V}$  one has*

$$D_E + M_\mu \sim D_E.$$

The proof is given in the Appendix, see Propositions A.2 and A.3.

**5.2. Paths of Lagrangian sub-bundles.** Suppose  $E_s$ ,  $s \in [0, 1]$  is a path of Lagrangian sub-bundles of  $V$ , and  $A_s \in \Gamma(\mathcal{O}(V))$  the resulting path of orthogonal transformations. In Example 3.4, we remarked that there is a path of isomorphisms  $\phi_s: \mathcal{A}_{E_0} \rightarrow \mathcal{A}_{E_s}$  with  $\phi_0 = \text{id}$ , and the 2-isomorphism class of the resulting isomorphism  $\phi_1: \mathcal{A}_{E_0} \rightarrow \mathcal{A}_{E_1}$  does not depend on the choice of  $\phi_s$ . It is also clear from the discussion in Example 3.4 that the isomorphism defined by a concatenation of two paths is 2-isomorphic to the composition of the isomorphisms defined by the two paths.

If the family  $E_s$  is differentiable, there is a distinguished choice of the isomorphism  $\mathcal{A}_{E_0} \rightarrow \mathcal{A}_{E_1}$ , as follows.

**Proposition 5.5.** *Suppose that  $\mu_s := -\frac{\partial A_s}{\partial s} A_s^{-1}$  defines a continuous section of  $L^\infty([0, 1], \mathfrak{o}(V))$ . Let  $M_\gamma \in \Gamma(\mathcal{O}(\mathcal{V}))$  be the orthogonal transformation given fiberwise by pointwise multiplication by  $\gamma_t = A_t A_0^{-1}$ . Then*

$$M_\gamma \circ D_{E_0} \circ M_\gamma^{-1} = D_{E_1} + M_\mu \sim D_{E_1}.$$

Thus  $M_\gamma$  induces an isomorphism  $\mathcal{A}_{E_0} \rightarrow \mathcal{A}_{E_1}$ .

*Proof.* We have

$$f(1) = -A_0 f(0) \Leftrightarrow (M_\gamma f)(1) = -A_1 (M_\gamma f)(0),$$

which shows  $M_\gamma(\text{dom}(D_{E_0})) = \text{dom}(D_{E_1})$ , and

$$A_t A_0^{-1} \frac{\partial}{\partial t} (A_0 A_t^{-1} f) = \frac{\partial f}{\partial t} + \mu_t f.$$

□

**Examples 5.6.** (a) Suppose  $E$  corresponds to  $A = \exp(a)$  with  $a \in \Gamma(\mathfrak{o}(V))$ . Then  $A_s = \exp(sa)$  defines a path from  $A_0 = I$  and  $A_1 = A$ . Hence we obtain an isomorphism  $\mathcal{A}_{V^*} \rightarrow \mathcal{A}_E$ . (The 2-isomorphism class of this isomorphism may depend on the choice of  $a$ .)

- (b) Any 2-form  $\omega \in \Gamma(\wedge^2 V^*)$  defines an orthogonal transformation of  $\mathbb{V}$ , given by  $(v, \alpha) \mapsto (v, \alpha - \iota_v \omega)$ . Let  $E^\omega$  be the image of the Lagrangian subbundle  $E \subset \mathbb{V}$  under this transformation. The corresponding orthogonal transformations  $A, A^\omega$  are related by

$$A^\omega = (A - \omega(A - I))(I - \omega(A - I))^{-1},$$

where we identified the 2-form  $\omega$  with the corresponding skew-adjoint map  $\omega \in \Gamma(\mathfrak{o}(V))$ . Replacing  $\omega$  with  $s\omega$ , one obtains a path  $E_s$  from  $E_0 = E$  to  $E_1 = E^\omega$ , defining an isomorphism  $\mathcal{A}_E \rightarrow \mathcal{A}_{E^\omega}$ .

**5.3. The Dirac-Dixmier-Douady functor.** Having assigned a Dixmier-Douady bundle to every Dirac structure on a Euclidean vector bundle  $V$ ,

$$(17) \quad (\mathbb{V}, E) \rightsquigarrow \mathcal{A}_E$$

we will now associate a Morita morphism to every strong Dirac morphism:

$$(18) \quad \left( (\Theta, \omega): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}', E') \right) \rightsquigarrow \left( (\Phi, \mathcal{E}): \mathcal{A}_E \dashrightarrow \mathcal{A}_{E'} \right).$$

Here  $\Phi: M \rightarrow M'$  is underlying the map on the base. Theorem 5.7 below states that (18) is compatible with compositions ‘up to 2-isomorphism’. Thus, if we take the morphisms for the category of Dixmier-Douady bundles to be the 2-isomorphism classes of Morita morphisms, and if we include the Euclidean metric on  $V$  as part of a Dirac structure, the construction (17), (18) defines a functor. We will call this the *Dirac-Dixmier-Douady functor*.

The Morita isomorphism  $\mathcal{E}: \mathcal{A}_E \dashrightarrow \Phi^* \mathcal{A}_{E'} = \mathcal{A}_{\Phi^* E'}$  in (18) is defined as a composition

$$(19) \quad \mathcal{A}_E \dashrightarrow \mathcal{A}_{E \oplus \Phi^*(V')^*} \cong \mathcal{A}_{V^* \oplus \Phi^* E'} \dashrightarrow \mathcal{A}_{\Phi^* E'},$$

where the middle map is induced by the path  $E_s$  from  $E_0 = E \oplus \Phi^*(V')^*$  to  $E_1 = V^* \oplus \Phi^* E'$ , constructed as in Subsection 2.2. By composing with the Morita isomorphisms  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E \oplus \Phi^*(V')^*}$  and  $\mathcal{A}_{V^* \oplus \Phi^* E'} \dashrightarrow \mathcal{A}_{E'}$  this gives the desired Morita morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E'}$ .

**Theorem 5.7.** *i) The composition of the Morita morphisms  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E'}$  and  $\mathcal{A}_{E'} \dashrightarrow \mathcal{A}_{E''}$  defined by two strong Dirac morphisms  $(\Theta, \omega)$  and  $(\Theta', \omega')$  is 2-isomorphic to the Morita morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E''}$  defined by  $(\Theta', \omega') \circ (\Theta, \omega)$ . ii) The Morita morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_E$  defined by the Dirac morphism  $(\text{id}_V, 0): (\mathbb{V}, E) \dashrightarrow (\mathbb{V}, E)$  is 2-isomorphic to the identity.*

*Proof.* i) By pulling everything back to  $M$ , we may assume that  $M = M' = M''$  and that  $\Theta, \Theta'$  induce the identity map on the base. As in Section 2.2, consider the three Lagrangian subbundles

$$E_{00} = E \oplus (V')^* \oplus W^*, \quad E_{10} = V^* \oplus E' \oplus W^*, \quad E_{01} = V^* \oplus (V')^* \oplus E''$$

of  $\mathbb{V} \oplus \mathbb{V}' \oplus \mathbb{V}''$ . We have canonical Morita isomorphisms

$$\mathcal{A}_E \dashrightarrow \mathcal{A}_{E_{00}}, \quad \mathcal{A}_{E'} \dashrightarrow \mathcal{A}_{E_{10}}, \quad \mathcal{A}_{E''} \dashrightarrow \mathcal{A}_{E_{01}}.$$

The morphism (19) may be equivalently described as a composition

$$\mathcal{A}_E \dashrightarrow \mathcal{A}_{E_{00}} \cong \mathcal{A}_{E_{10}} \dashrightarrow \mathcal{A}_{E'},$$

since the path from  $E_{00}$  to  $E_{10}$  (constructed as in Subsection 2.2) is just the direct sum of  $W^*$  with the standard path from  $E \oplus (V')^*$  to  $V^* \oplus E'$ . Similarly, one describes the morphism  $\mathcal{A}_{E'} \dashrightarrow \mathcal{A}_{E''}$  as

$$\mathcal{A}_{E'} \dashrightarrow \mathcal{A}_{E_{10}} \cong \mathcal{A}_{E_{01}} \dashrightarrow \mathcal{A}_{E''}.$$

The composition of the Morita morphisms  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E'} \dashrightarrow \mathcal{A}_{E''}$  defined by  $(\Theta, \omega)$ ,  $(\Theta', \omega')$  is hence given by

$$\mathcal{A}_E \dashrightarrow \mathcal{A}_{E_{10}} \cong \mathcal{A}_{E_{01}} \cong \mathcal{A}_{E_{01}} \dashrightarrow \mathcal{A}_{E''}.$$

The composition  $\mathcal{A}_{E_{10}} \cong \mathcal{A}_{E_{01}} \cong \mathcal{A}_{E_{01}}$  is 2-isomorphic to the isomorphism defined by the concatenation of standard paths from  $E_{00}$  to  $E_{10}$  to  $E_{01}$ . As observed in Section 2.2 this concatenation is homotopic to the standard path from  $E_{00}$  to  $E_{01}$ , which defines the morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E''}$  corresponding to  $(\Theta', \omega') \circ (\Theta, \omega)$ .

ii) We will show that the Morita morphism  $\mathcal{A}_E \dashrightarrow \mathcal{A}_{E_0} \cong \mathcal{A}_{E_1} \dashrightarrow \mathcal{A}_E$  defined by  $(\text{id}_V, 0)$  is homotopic to the identity. Here  $E_0 = E \oplus V^*$ ,  $E_1 = V^* \oplus E$ , and the isomorphism  $\mathcal{A}_{E_0} \cong \mathcal{A}_{E_1}$  is defined by the standard path  $E_t$  connecting  $E_0, E_1$ . By definition,  $E_t$  is the forward image of  $E$  under the morphism  $(j_t, 0): \mathbb{V} \dashrightarrow \mathbb{V} \oplus \mathbb{V}$  where

$$j_t: V \rightarrow V \oplus V, \quad y \mapsto ((1-t)y, ty).$$

It is convenient to replace  $j_t$  by the isometry,

$$\tilde{j}_t = (t^2 + (1-t)^2)^{-1/2} j_t.$$

This is homotopic to  $j_t$  (e.g. by linear interpolation), hence the resulting path  $\tilde{E}_t$  defines the same 2-isomorphism class of isomorphisms  $\mathcal{A}_{E_0} \rightarrow \mathcal{A}_{E_1}$ .

The splitting of  $V \oplus V$  into  $V_t := \text{ran}(\tilde{j}_t)$  and  $V_t^\perp$  defines a corresponding orthogonal splitting of  $\mathbb{V} \oplus \mathbb{V}$ . The subspace  $\tilde{E}_t$  is the direct sum of the intersections

$$\tilde{E}_t \cap \mathbb{V}_t^\perp = \text{ann}(V_t) = (V_t^\perp)^*, \quad \tilde{E}_t \cap \mathbb{V}_t =: \tilde{E}'_t.$$

This defines a Morita isomorphism

$$\mathcal{A}_{\tilde{E}_t} \dashrightarrow \mathcal{A}_{\tilde{E}'_t}$$

On the other hand, the isometric isomorphism  $V \rightarrow V_t$  given by  $\tilde{j}_t$  extends to an isomorphism  $\mathbb{V} \rightarrow \mathbb{V}_t$ , taking  $E$  to  $\tilde{E}'_t$ . Hence  $\mathcal{A}_{\tilde{E}'_t} \cong \mathcal{A}_E$  canonically. In summary, we obtain a family of Morita isomorphisms

$$\mathcal{A}_E \dashrightarrow \mathcal{A}_{E_0} \cong \mathcal{A}_{\tilde{E}_t} \dashrightarrow \mathcal{A}_{\tilde{E}'_t} \cong \mathcal{A}_E.$$

For  $t = 1$  this is the Morita isomorphism defined by  $(\text{id}_V, 0)$ , while for  $t = 0$  it is the identity map  $\mathcal{A}_E \rightarrow \mathcal{A}_E$ .  $\square$

**5.4. Symplectic vector bundles.** Suppose  $V \rightarrow M$  is a vector bundle, equipped with a fiberwise symplectic form  $\omega \in \Gamma(\wedge^2 V^*)$ . Given a Euclidean metric  $B$  on  $V$ , the 2-form  $\omega$  is identified with a skew-adjoint operator  $R_\omega$ , defining a complex structure  $J_\omega = R_\omega/|R_\omega|$  and a resulting spinor module  $\mathcal{S}_\omega: \mathbb{C} \dashrightarrow \mathbb{C}l(V)$ . (We may work with  $\mathbb{C}l(V)$  rather than  $\widetilde{\mathbb{C}l(V)}$ , since  $V$  has even rank.)

**Proposition 5.8.** *The Morita isomorphism*

$$S_\omega^{\text{op}}: \mathbb{C}l(V) \dashrightarrow \mathbb{C}$$

defined by the  $\text{Spin}_c$ -structure  $S_\omega$  is 2-isomorphic to the Morita isomorphism  $\mathbb{C}l(V) \dashrightarrow \mathcal{A}_V$ , followed by the Morita isomorphism  $\mathcal{A}_V \dashrightarrow \mathbb{C}$  defined by the strong Dirac morphism  $(0, \omega): (\mathbb{V}, V) \dashrightarrow (0, 0)$  (cf. Example 2.1(c)).

*Proof.* Consider the standard path for the Dirac morphism  $(0, \omega): (\mathbb{V}, E) \dashrightarrow (0, 0)$ ,

$$E_t = \{((1-t)v, \alpha) \mid t\nu_\omega + (1-t)\alpha = 0\} \subset \mathbb{V},$$

defining  $\mathcal{A}_V = \mathcal{A}_{E_0} \cong \mathcal{A}_{E_1} = \mathcal{A}_{V^*} \dashrightarrow \mathbb{C}$ . The path of orthogonal transformations defined by  $E_t$  is

$$A_t = \frac{tR_\omega - \frac{1}{2}(1-t)^2}{tR_\omega + \frac{1}{2}(1-t)^2}.$$

We will replace  $A_t$  with a more convenient path  $\tilde{A}_t$ ,

$$\tilde{A}_t = -\exp(t\pi J_\omega).$$

We claim that this is homotopic to  $A_t$  with the same endpoints. Clearly  $A_0 = -I = -\tilde{A}_0$  and  $A_1 = I = \tilde{A}_1$ . By considering the action on any eigenspace of  $R_\omega$ , one checks that the spectrum of both  $J_\omega A_t$  and  $J_\omega \tilde{A}_t$  is contained in the half space  $\text{Re}(z) \geq 0$ , for all  $t \in [0, 1]$ . Hence

$$(20) \quad J_\omega A_t + I, \quad J_\omega \tilde{A}_t + I$$

are invertible for all  $t \in [0, 1]$ . The Cayley transform  $C \mapsto (C - I)/(C + I)$  gives a diffeomorphism from the set of all  $C \in \text{O}(V)$  such that  $C + I$  is invertible onto the vector space  $\mathfrak{o}(V)$ . By using the linear interpolation of the Cayley transforms one obtains a homotopy between  $J_\omega A_t$ ,  $J_\omega \tilde{A}_t$ , and hence of  $A_t, \tilde{A}_t$ .

By Proposition 5.5, the path  $\tilde{A}_t$  defines an orthogonal transformation  $M_\gamma \in \text{O}(\mathcal{V})$ , taking the complex structure  $J_0$  for  $E_0 = V^*$  to a complex structure  $J_1 = M_\gamma \circ J_0 \circ M_\gamma^{-1}$  in the equivalence class defined by  $D_{E_1}$ . Consider the orthogonal decomposition  $\mathcal{V} = \mathcal{V}' \oplus \mathcal{V}''$  with  $\mathcal{V}' = \ker(D_V) \cong V$ . Let  $J''$  be the complex structure on  $\mathcal{V}''$  defined by  $D_V$ , and put  $J' = J_\omega$ . Since

$$M_\gamma \circ D_{V^*} \circ M_\gamma^{-1} = D_V + \pi J_\omega.$$

we see that  $J_1 = J' \oplus J''$ , hence  $\mathcal{S}_1 = \mathcal{S}' \otimes \mathcal{S}'' = \mathcal{S}_\omega \otimes \mathcal{S}''$ . The Morita isomorphism  $\mathbb{C}l(V) \dashrightarrow \mathcal{A}_V$  is given by the bimodule  $\mathcal{E} = \mathcal{S}'' \otimes \mathbb{C}l(V)$ .

Since  $\mathbb{C}l(\mathcal{V}) = \mathcal{S}_\omega \otimes \mathcal{S}_\omega^{\text{op}}$ , it follows that  $\mathcal{E} = \mathcal{S}'' \otimes \mathbb{C}l(V) = \mathcal{S}_1 \otimes \mathcal{S}_\omega^{\text{op}}$ , and

$$\mathcal{S}_1^{\text{op}} \otimes_{\mathcal{A}_V} \mathcal{E} = \mathcal{S}_\omega^{\text{op}}.$$

□

## 6. THE DIXMIER-DOUADY BUNDLE OVER THE ORTHOGONAL GROUP

**6.1. The bundle  $\mathcal{A}_{\text{O}(X)}$ .** As a special case of our construction, let us consider the tautological Dirac structure  $(\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)})$  for a Euclidean vector space  $X$ . Let  $\mathcal{A}_{\text{O}(X)}$  be the corresponding Dixmier-Douady bundle; its restriction to  $\text{SO}(X)$  will be denoted  $\mathcal{A}_{\text{SO}(X)}$ . The Dirac morphism  $(\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}) \times (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)}) \dashrightarrow (\mathbb{V}_{\text{O}(X)}, E_{\text{O}(X)})$  gives rise to a Morita morphism

$$\text{pr}_1^* \mathcal{A}_{\text{O}(X)} \otimes \text{pr}_2^* \mathcal{A}_{\text{O}(X)} \dashrightarrow \mathcal{A}_{\text{O}(X)},$$

which is associative up to 2-isomorphisms.

**Proposition 6.1.** (a) *There is a canonical Morita morphism  $\mathbb{C} \dashrightarrow \mathcal{A}_{\text{O}(X)}$  with underlying map the inclusion of the group unit,  $\{I\} \hookrightarrow \text{O}(X)$ .*  
 (b) *For any orthogonal decomposition  $X = X' \oplus X''$ , there is a canonical Morita morphism*

$$\text{pr}_1^* \mathcal{A}_{\text{O}(X')} \otimes \text{pr}_2^* \mathcal{A}_{\text{O}(X'')} \dashrightarrow \mathcal{A}_{\text{O}(X)}$$

*with underlying map the inclusion  $\text{O}(X') \times \text{O}(X'') \hookrightarrow \text{O}(X)$ .*

*Proof.* The Proposition follows since the restriction of  $E_{\text{O}(X)}$  to  $I$  is  $X^*$ , while the restriction to  $\text{O}(X') \times \text{O}(X'')$  is  $E_{\text{O}(X')} \times E_{\text{O}(X'')}$ . □

The action of  $\text{O}(X)$  by conjugation lifts to an action on the bundle  $\mathbb{V}_{\text{O}(X)}$ , preserving the Dirac structure  $E_{\text{O}(X)}$ . Hence  $\mathcal{A}_{\text{O}(X)}$  is an  $\text{O}(X)$ -equivariant Dixmier-Douady bundle.

The construction of  $\mathcal{A}_{\text{O}(X)}$ , using the family of boundary conditions given by orthogonal transformations, is closely related to a construction given by Atiyah-Segal in [6], who also identify the resulting Dixmier-Douady class. The result is most nicely stated for the restriction to  $\text{SO}(X)$ ; for the general case use an inclusion  $\text{O}(X) \hookrightarrow \text{SO}(X \oplus \mathbb{R})$ .

**Proposition 6.2.** [6, Proposition 5.4] *Let  $(x, y) = \text{DD}(\mathcal{A}_{\text{SO}(X)})$  be the Dixmier-Douady class.*

- (a) *For  $\dim X \geq 3$ ,  $\dim X \neq 4$  the class  $x$  generates  $H^3(\text{SO}(X), \mathbb{Z}) = \mathbb{Z}$ .*
- (b) *For  $\dim X \geq 2$  the class  $y$  generates  $H^1(\text{SO}(X), \mathbb{Z}_2) = \mathbb{Z}_2$ .*

Atiyah-Segal's proof uses an alternative construction  $\mathcal{A}_{\text{SO}(X)}$  in terms of loop groups (see below). Another argument is sketched in Appendix B.

**6.2. Pull-back under exponential map.** Let  $(\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)})$  be as in Section 2.7, and let  $\mathcal{A}_{\mathfrak{o}(X)}$  be the resulting  $O(X)$ -equivariant Dixmier-Douady bundle. Since  $E_{\mathfrak{o}(X)}|_a = \text{Gr}_a$ , its intersection with  $X \subset \mathbb{X}$  is trivial, and so  $\mathcal{A}_{\mathfrak{o}(X)}$  is Morita trivial. Recall the Dirac morphism  $(\Pi, -\varpi): (\mathbb{V}_{\mathfrak{o}(X)}, E_{\mathfrak{o}(X)}) \dashrightarrow (\mathbb{V}_{O(X)}, E_{O(X)})$ , with underlying map  $\exp: \mathfrak{o}(X) \rightarrow O(X)$ . We had shown that it is a strong Dirac morphism over the subset  $\mathfrak{o}(X)_{\natural}$  where the exponential map has maximal rank, or equivalently where  $\Pi_a = (I - e^{-a})/a$  is invertible. One hence obtains a Morita morphism

$$\mathcal{A}_{\mathfrak{o}(X)}|_{\mathfrak{o}(X)_{\natural}} \dashrightarrow \mathcal{A}_{O(X)}.$$

Together with the Morita trivialization  $\mathbb{C} \dashrightarrow \mathcal{A}_{O(X)}$ , this gives a Morita trivialization of  $\exp^* \mathcal{A}_{O(X)}$  over  $\mathfrak{o}(X)_{\natural}$ .

On the other hand,  $\exp^* E_{O(X)}$  is the Lagrangian sub-bundle of  $\mathfrak{o}(X) \times \mathbb{X}$  defined by the map  $a \mapsto \exp(a) \in O(X)$ . Replacing  $\exp(a)$  with  $\exp(sa)$ , one obtains a homotopy  $E_s$  between  $E_1 = \exp^* E_{O(X)}$  and  $E_0 = X^*$ , hence another Morita trivialization of  $\exp^* \mathcal{A}_{O(X)}$  (defined over all of  $\mathfrak{o}(X)$ ). Let  $L \rightarrow \mathfrak{o}(X)_{\natural}$  be the  $O(X)$ -equivariant line bundle relating these two Morita trivializations.

**Proposition 6.3.** *Over the component containing 0, the line bundle  $L \rightarrow \mathfrak{o}(X)_{\natural}$  is  $O(X)$ -equivariantly trivial. In other words, the two Morita trivializations of  $\exp^* \mathcal{A}_{O(X)}|_{\natural}$  are 2-isomorphic over the component of  $\mathfrak{o}(X)_{\natural}$  containing 0.*

*Proof.* The linear retraction of  $\mathfrak{o}(X)$  onto the origin preserves the component of  $\mathfrak{o}(X)_{\natural}$  containing 0. Hence it suffices to show that the  $O(X)$ -action on the fiber of  $L$  at 0 is trivial. But this is immediate since both Morita trivializations of  $\exp^* \mathcal{A}_{O(X)}$  at  $0 \in \mathfrak{o}(X)_{\natural}$  coincide with the obvious Morita trivialization of  $\mathcal{A}_{O(X)}|_e$ .  $\square$

**6.3. Construction via loop groups.** The bundle  $\mathcal{A}_{SO(X)}$  has the following description in terms of loop groups (cf. [6]). Fix a Sobolev level  $s > 1/2$ , and let  $\mathcal{P}SO(X)$  denote the Banach manifold of paths  $\gamma: \mathbb{R} \rightarrow SO(X)$  of Sobolev class  $s + 1/2$  such that  $\pi(\gamma) := \gamma(t+1)\gamma(t)^{-1}$  is constant. (Recall that for manifolds  $Q, P$ , the maps  $Q \rightarrow P$  of Sobolev class greater than  $k + \dim Q/2$  are of class  $C^k$ .) The map

$$\pi: \mathcal{P}SO(X) \rightarrow SO(X), \quad \gamma \mapsto \pi(\gamma)$$

is an  $SO(X)$ -equivariant principal bundle, with structure group the loop group  $L SO(X) = \pi^{-1}(e)$ . Here elements of  $SO(X)$  acts by multiplication from the left, while loops  $\lambda \in L SO(X)$  acts by  $\gamma \mapsto \gamma\lambda^{-1}$ . Let  $\mathcal{X} = L^2([0, 1], X)$  carry the complex structure  $J_0$  defined by  $\frac{\partial}{\partial t}$  with anti-periodic boundary conditions, and let  $\mathcal{S}_0$  be the resulting spinor module. The action of the group  $L SO(X)$  on  $\mathcal{X}$  preserves the polarization defined by  $J_0$ , and defines a continuous map  $L SO(X) \rightarrow O_{\text{res}}(\mathcal{X})$ . Using its composition with the map  $O_{\text{res}}(\mathcal{X}) \rightarrow \text{PU}(\mathcal{S}_0)$ , we have:



**Proposition 6.4.** *The Dixmier-Douady bundle  $\mathcal{A}_{\mathrm{SO}(X)}$  is an associated bundle  $\mathcal{P}\mathrm{SO}(X) \times_{L\mathrm{SO}(X)} \mathbb{K}(\mathcal{S}_0)$ .*

*Proof.* Given  $\gamma \in \mathcal{P}\mathrm{SO}(X)$ , consider the operator  $M_\gamma$  on  $\mathcal{X} = L^2([0, 1], X)$  of pointwise multiplication by  $\gamma$ . As in Proposition 5.5, we see that  $M_\gamma$  takes the boundary conditions  $f(1) = -f(0)$  to  $(M_\gamma f)(1) = -\pi(\gamma)(M_\gamma f)(0)$ , and induces an isomorphism  $\mathbb{K}(\mathcal{S}_0) = \mathcal{A}_I \rightarrow \mathcal{A}_{\pi(\gamma)}$ . This defines a map

$$\mathcal{P}\mathrm{SO}(X) \times \mathbb{K}(\mathcal{S}_0) \rightarrow \mathcal{A}_{\mathrm{SO}(X)}$$

with underlying map  $\pi: \mathcal{P}\mathrm{SO}(X) \rightarrow \mathrm{SO}(X)$ . This map is equivariant relative to the action of  $L\mathrm{SO}(X)$ , and descends to the desired bundle isomorphism.  $\square$

In particular  $\pi^* \mathcal{A}_{\mathrm{SO}(X)} = \mathcal{P}\mathrm{SO}(X) \times \mathbb{K}(\mathcal{S}_0)$  has a Morita trivialization defined by the trivial bundle  $\mathcal{E}_0 = \mathcal{P}\mathrm{SO}(X) \times \mathcal{S}_0$ . The Morita trivialization is  $\widehat{L\mathrm{SO}(X)} \times \mathrm{SO}(X)$ -equivariant, using the central extension of the loop group obtained by pull-back of the central extension  $\mathrm{U}(\mathcal{S}_0) \rightarrow \mathrm{PU}(\mathcal{S}_0)$ .

## 7. Q-HAMILTONIAN $G$ -SPACES

In this Section, we will apply the correspondence between Dirac structures and Dixmier-Douady bundles to the theory of group-valued moment maps [2]. Most results will be immediate consequences of the functoriality properties of this correspondence. Throughout this Section,  $G$  denotes a Lie group, with Lie algebra  $\mathfrak{g}$ . We denote by  $\xi^L, \xi^R \in \mathfrak{X}(G)$  the left, right invariant vector fields defined by the Lie algebra element  $\xi \in \mathfrak{g}$ , and by  $\theta^L, \theta^R \in \Omega^1(G, \mathfrak{g})$  the Maurer-Cartan forms, defined by  $\iota(\xi^L)\theta^L = \iota(\xi^R)\theta^R = \xi$ . For sake of comparison, we begin with a quick review of ordinary Hamiltonian  $G$ -spaces from the Dirac geometry perspective.

**7.1. Hamiltonian  $G$ -spaces.** A Hamiltonian  $G$ -space is a triple  $(M, \omega_0, \Phi_0)$  consisting of a  $G$ -manifold  $M$ , an invariant 2-form  $\omega_0$  and an equivariant moment map  $\Phi_0: M \rightarrow \mathfrak{g}^*$  such that

- (i)  $d\omega_0 = 0$ ,
- (ii)  $\iota(\xi_M)\omega_0 = -d\langle \Phi_0, \xi \rangle$ ,  $\xi \in \mathfrak{g}$ ,
- (iii)  $\ker(\omega_0) = 0$ .

Conditions (ii) and (iii) may be rephrased in terms of Dirac morphisms. Let  $E_{\mathfrak{g}^*} \subset \mathbb{T}\mathfrak{g}^*$  be the Dirac structure spanned by the sections

$$e_0(\xi) = (\xi^\sharp, \langle d\mu, \xi \rangle), \quad \xi \in \mathfrak{g}.$$

Here  $\xi^\sharp \in \mathfrak{X}(\mathfrak{g}^*)$  is the vector field generating the co-adjoint action (i.e.  $\xi^\sharp|_\mu = (\mathrm{ad}_\xi)^* \mu$ ), and  $\langle d\mu, \xi \rangle \in \Omega^1(\mathfrak{g}^*)$  denotes the 1-form defined by  $\xi$ . Then Conditions (ii), (iii) hold if and only if

$$(d\Phi_0, \omega_0): (\mathbb{T}M, TM) \dashrightarrow (\mathbb{T}\mathfrak{g}^*, E_{\mathfrak{g}^*})$$

is a strong Dirac morphism. Using the Morita isomorphism  $\widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathcal{A}_{TM}$ , and putting  $\mathcal{A}_{\mathfrak{g}^*}^{\text{Spin}} := \mathcal{A}_{E_{\mathfrak{g}^*}}$  we obtain a  $G$ -equivariant Morita morphism

$$(\Phi_0, \mathcal{E}_0): \widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathcal{A}_{\mathfrak{g}^*}^{\text{Spin}}.$$

Since  $E_{\mathfrak{g}^*} \cap T\mathfrak{g}^* = 0$ , the zero Dirac morphism  $(T\mathfrak{g}^*, E_{\mathfrak{g}^*}) \dashrightarrow (0, 0)$  is strong, hence it defines a Morita trivialization  $\mathcal{A}_{\mathfrak{g}^*}^{\text{Spin}} \dashrightarrow \mathbb{C}$ . From Proposition 5.8, we see that the resulting equivariant  $\text{Spin}_c$ -structure  $\widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathbb{C}$  is 2-isomorphic to the  $\text{Spin}_c$ -structure defined by the symplectic form  $\omega_0$ . (Since symplectic manifolds are even-dimensional, we may work with  $\mathbb{C}l(TM)$  in place of  $\widetilde{\mathbb{C}l}(TM)$ .)

**7.2. q-Hamiltonian  $G$ -spaces.** An  $\text{Ad}(G)$ -invariant inner product  $B$  on  $\mathfrak{g}$  defines a closed bi-invariant 3-form

$$\eta = \frac{1}{12} B(\theta^L, [\theta^L, \theta^L]) \in \Omega^3(G).$$

A q-Hamiltonian  $G$ -manifold [2] is a  $G$ -manifold  $M$ , together with an invariant 2-form  $\omega$ , and an equivariant *moment map*  $\Phi: M \rightarrow G$  such that

- (i)  $d\omega = -\Phi^*\eta$ ,
- (ii)  $\iota(\xi_M)\omega = -\frac{1}{2}\Phi^*B((\theta^L + \theta^R), \xi)$
- (iii)  $\ker(\omega) \cap \ker(d\Phi) = 0$  everywhere.

The simplest examples of q-Hamiltonian  $G$ -spaces are the conjugacy classes in  $G$ , with moment map the inclusion  $\Phi: \mathcal{C} \hookrightarrow G$ . Again, the definition can be re-phrased in terms of Dirac structures. Let  $E_G \subset \mathbb{T}G$  be the Lagrangian sub-bundle spanned by the sections

$$e(\xi) = (\xi^\sharp, \frac{1}{2}B(\theta^L + \theta^R, \xi)), \quad \xi \in \mathfrak{g}.$$

Here  $\xi^\sharp = \xi^L - \xi^R \in \mathfrak{X}(G)$  is the vector field generating the conjugation action.  $E_G$  is the *Cartan-Dirac structure* introduced by Alekseev, Ševera and Strobl [7, 30]. As shown by Bursztyn-Crainic [7], Conditions (ii) and (iii) above hold if and only if

$$(d\Phi, \omega): (\mathbb{T}M, TM) \dashrightarrow (\mathbb{T}G, E_G)$$

is a strong Dirac morphism. Let

$$\mathcal{A}_G^{\text{Spin}} := \mathcal{A}_{E_G}$$

be the  $G$ -equivariant Dixmier-Douady bundle over  $G$  defined by the Cartan-Dirac structure. The strong Dirac morphism  $(d\Phi, \omega)$  determines a Morita morphism  $\mathcal{A}_{TM} \dashrightarrow \mathcal{A}_G^{\text{Spin}}$ . Since  $\mathcal{A}_{TM}$  is naturally Morita isomorphic to  $\widetilde{\mathbb{C}l}(TM)$  we obtain a distinguished 2-isomorphism class of  $G$ -equivariant Morita morphisms

$$(21) \quad (\Phi, \mathcal{E}): \widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathcal{A}_G^{\text{Spin}}.$$

**Definition 7.1.** The Morita morphism (21) is called the *canonical twisted  $\text{Spin}_c$ -structure* for the q-Hamiltonian  $G$ -space  $(M, \omega, \Phi)$ .

*Remarks 7.2.* (a) Equation (21) generalizes the usual  $\text{Spin}_c$ -structure for a symplectic manifold. Indeed, if  $G = \{e\}$  we have  $\mathcal{A}_G^{\text{Spin}} = \mathbb{C}$ , and a  $q$ -Hamiltonian  $G$ -space is just a symplectic manifold. Proposition 5.8 shows that the composition  $\widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathcal{A}_{TM} \dashrightarrow \mathbb{C}$  in that case is 2-isomorphic to the Morita trivialization defined by an  $\omega$ -compatible almost complex structure.

(b) The tensor product  $\widetilde{\mathbb{C}l}(TM) \otimes \widetilde{\mathbb{C}l}(TM) = \widetilde{\mathbb{C}l}(TM \oplus TM)$  is canonically Morita trivial (see Section 3.5). Hence, the twisted  $\text{Spin}_c$ -structure on a  $q$ -Hamiltonian  $G$ -space defines a  $G$ -equivariant Morita trivialization

$$(22) \quad \mathbb{C} \dashrightarrow \Phi^*(\mathcal{A}_G^{\text{Spin}})^{\otimes 2}.$$

One may think of (22) as the counterpart to the canonical line bundle. Indeed, for  $G = \{e\}$ , (22) is a Morita isomorphism from the trivial bundle over  $M$  to itself. It is thus given by a Hermitian line bundle, and from (a) above one sees that this is the *canonical line bundle* associated to the  $\text{Spin}_c$ -structure of  $(M, \omega)$ .

*Remark 7.3.* In terms of the trivialization  $TG = G \times \mathfrak{g}$  given by the left-invariant vector fields  $\xi^L$ , the Cartan-Dirac structure  $(\mathbb{T}G, E_G)$  is just the pull-back of the tautological Dirac structure  $(\mathbb{V}_{\mathcal{O}(\mathfrak{g})}, E_{\mathcal{O}(\mathfrak{g})})$  under the adjoint action  $\text{Ad}: G \rightarrow \mathcal{O}(\mathfrak{g})$ . Similarly,  $\mathcal{A}_G^{\text{Spin}}$  is simply the pull-back of  $\mathcal{A}_{\mathcal{O}(\mathfrak{g})} \rightarrow \mathcal{O}(\mathfrak{g})$  under the map  $\text{Ad}: G \rightarrow \mathcal{O}(\mathfrak{g})$ .

In many cases  $q$ -Hamiltonian  $G$ -spaces have even dimension, so that we may use the usual Clifford algebra bundle  $\mathbb{C}l(TM)$  in (21):

**Proposition 7.4.** *Let  $(M, \omega, \Phi)$  be a connected  $q$ -Hamiltonian  $G$ -manifold. Then  $\dim M$  is even if and only if  $\text{Ad}_{\Phi(m)} \in \text{SO}(\mathfrak{g})$  for all  $m \in M$ . In particular, this is the case if  $G$  is connected.*

*Proof.* This is proved in [4], but follows much more easily from the following Dirac-geometric argument. The parity of the Lagrangian sub-bundle  $TM \subset \mathbb{T}M$  is given by  $(-1)^{\dim M} = \pm 1$ . By Proposition 2.2, the parity is preserved under strong Dirac morphisms. Hence it coincides with the parity of  $E_G$  over  $\Phi(M)$ , and by Remark 7.3 this is the same as the parity of the tautological Dirac structure  $E_{\mathcal{O}(\mathfrak{g})}$  over  $\text{Ad}(\Phi(M)) \subset \mathcal{O}(\mathfrak{g})$ . The latter is given by  $\det(\text{Ad}_\Phi) = \pm 1$ . This shows  $\det(\text{Ad}_\Phi) = (-1)^{\dim M}$ .  $\square$

As a noteworthy special case, we have:

**Corollary 7.5.** *A conjugacy class  $\mathcal{C} = \text{Ad}(G)g \subset G$  of a compact Lie group  $G$  is even-dimensional if and only if  $\det(\text{Ad}_g) = 1$ .*

**7.3. Stiefel-Whitney classes.** The existence of a  $\text{Spin}_c$ -structure on a symplectic manifold implies the vanishing of the third integral Stiefel-Whitney class  $W^3(M) = \tilde{\beta}(w_2(M))$ , while of course  $w_1(M) = 0$  by orientability. For  $q$ -Hamiltonian spaces we have the following statement:

**Corollary 7.6.** *For any  $q$ -Hamiltonian  $G$ -space,*

$$W^3(M) \equiv \tilde{\beta}(w_2(M)) = \Phi^*x, \quad w_1(M) = \Phi^*y.$$

where  $(x, y) \in H^3(G, \mathbb{Z}) \times H^1(G, \mathbb{Z}_2)$  is the Dixmier-Douady class of  $\mathcal{A}_G^{\text{Spin}}$ . A similar statement holds for the  $G$ -equivariant Stiefel-Whitney classes.

*Remarks 7.7.* (a) The result gives in particular a description of  $w_1(\mathcal{C})$  and  $\tilde{\beta}(w_2(\mathcal{C}))$  for all conjugacy classes  $\mathcal{C} \subset G$  of a compact Lie group.

- (b) If  $G$  is simply connected, so that  $H^1(G, \mathbb{Z}_2) = 0$ , it follows that  $w_1(M) = 0$ . Hence  $q$ -Hamiltonian spaces for simply connected groups are orientable. In fact, there is a canonical orientation [4].
- (c) Suppose  $G$  is simple and simply connected. Then  $x$  is  $h^\vee$  times the generator of  $H^3(G, \mathbb{Z}) = \mathbb{Z}$ , where  $h^\vee$  is the dual Coxeter number of  $G$ . This follows from Remark 7.3, since

$$\text{Ad}^*: H^3(\text{SO}(\mathfrak{g}), \mathbb{Z}) = \mathbb{Z} \rightarrow H^3(G, \mathbb{Z}) = \mathbb{Z}$$

is multiplication by  $h^\vee$ . We see that a conjugacy class  $\mathcal{C}$  of  $G$  admits a  $\text{Spin}_c$ -structure if and only if the pull-back of the generator of  $H^3(G, \mathbb{Z})$  is  $h^\vee$ -torsion. Examples of conjugacy classes not admitting a  $\text{Spin}_c$ -structure may be found in [20].

**7.4. Fusion.** Let  $\text{mult}: G \times G \rightarrow G$  be the group multiplication, and denote by  $\sigma \in \Omega^2(G \times G)$  the 2-form

$$(23) \quad \sigma = -\frac{1}{2}B(\text{pr}_1^* \theta^L, \text{pr}_2^* \theta^R)$$

where  $\text{pr}_j: G \times G \rightarrow G$  are the two projections. By [1, Theorem 3.9] the pair  $(d \text{mult}, \sigma)$  define a strong  $G$ -equivariant Dirac morphism

$$(d \text{mult}, \sigma): (\mathbb{T}G, E_G) \times (\mathbb{T}G, E_G) \dashrightarrow (\mathbb{T}G, E_G).$$

This can also be seen using Remark 7.3 and Proposition 2.5, since left trivialization of  $\mathbb{T}G$  intertwines  $d \text{mult}$  with the map  $\Sigma$  from (6), taking (23) to the 2-form  $\sigma$  on  $V_{\text{O}(\mathfrak{g})} \times V_{\text{O}(\mathfrak{g})}$ . It induces a Morita morphism

$$(24) \quad (\text{mult}, \mathcal{E}): \text{pr}_1^* \mathcal{A}_G^{\text{Spin}} \otimes \text{pr}_2^* \mathcal{A}_G^{\text{Spin}} \dashrightarrow \mathcal{A}_G^{\text{Spin}}.$$

If  $(M, \omega, \Phi)$  is a  $q$ -Hamiltonian  $G \times G$ -space, then  $M$  with diagonal  $G$ -action, 2-form  $\omega_{\text{fus}} = \omega + \Phi^* \sigma$ , and moment map  $\Phi_{\text{fus}} = \text{mult} \circ \Phi: M \rightarrow G$  defines a  $q$ -Hamiltonian  $G$ -space

$$(25) \quad (M, \omega_{\text{fus}}, \Phi_{\text{fus}}).$$

The space (25) is called the *fusion* of  $(M, \omega, \Phi)$ . Conditions (ii), (iii) hold since

$$(26) \quad (d\Phi_{\text{fus}}, \omega_{\text{fus}}) = (d \text{mult}, \sigma) \circ (d\Phi, \omega)$$

is a composition of strong Dirac morphisms, while (i) follows from  $d\sigma = \text{mult}^* \eta - \text{pr}_1^* \eta - \text{pr}_2^* \eta$ . The Dirac-Dixmier-Douady functor (Theorem 5.7) shows that the twisted  $\text{Spin}_c$ -structures are compatible with fusion, in the following sense:

**Proposition 7.8.** *The Morita morphism  $\widetilde{\mathbb{C}1}(TM) \dashrightarrow \mathcal{A}_G^{\text{Spin}}$  for the  $q$ -Hamiltonian  $G$ -space  $(M, \omega_{\text{fus}}, \Phi_{\text{fus}})$  is equivariantly 2-isomorphic to the composition of Morita morphisms*

$$\widetilde{\mathbb{C}1}(TM) \dashrightarrow \text{pr}_1^* \mathcal{A}_G^{\text{Spin}} \otimes \text{pr}_2^* \mathcal{A}_G^{\text{Spin}} \dashrightarrow \mathcal{A}_G^{\text{Spin}}$$

defined by the twisted  $\text{Spin}_c$ -structure for  $(M, \omega, \Phi)$ , followed by (24).

**7.5. Exponentials.** Let  $\exp: \mathfrak{g} \rightarrow G$  be the exponential map. The pull-back  $\exp^* \eta$  is equivariantly exact, and admits a canonical primitive  $\varpi \in \Omega^2(\mathfrak{g})$  defined by the homotopy operator for the linear retraction onto the origin.

*Remark 7.9.* Explicit calculation shows [3] that  $\varpi$  is the pull-back of the 2-form (denoted by the same letter)  $\varpi \in \Gamma(\wedge^2 V_{\mathfrak{o}(\mathfrak{g})}^*) \cong C^\infty(\mathfrak{o}(\mathfrak{g}), \wedge^2 \mathfrak{g}^*)$  from Section 2.7 under the adjoint map,  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{o}(\mathfrak{g})$ . Using the inner product to identify  $\mathfrak{g}^* \cong \mathfrak{g}$ , the Dirac structure  $E_{\mathfrak{g}^*} \equiv E_{\mathfrak{g}}$  is the pull-back of the Dirac structure  $E_{\mathfrak{o}(\mathfrak{g})}$  by the map  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{o}(\mathfrak{g})$ .

The differential of the exponential map together with the 2-form  $\varpi$  define a Dirac morphism

$$(\text{d exp}, -\varpi): (\mathbb{T}\mathfrak{g}, E_{\mathfrak{g}}) \dashrightarrow (\mathbb{T}G, E_G)$$

which is a strong Dirac morphism over the open subset  $\mathfrak{g}_{\neq}$  where  $\exp$  has maximal rank. See [1, Proposition 3.12], or Proposition 2.6 above.

Let  $(M, \Phi_0, \omega_0)$  be a Hamiltonian  $G$ -space with  $\Phi_0(M) \subset \mathfrak{g}_{\neq}$ , and  $\Phi = \exp \Phi_0$ ,  $\omega = \omega_0 - \Phi_0^* \varpi$ . Then  $(\text{d}\Phi, \omega) = (\text{d exp}, -\varpi) \circ (\text{d}\Phi_0, \omega_0)$  is a strong Dirac morphism, hence  $(M, \omega, \Phi)$  is a  $q$ -Hamiltonian  $G$ -space. It is called the *exponential* of the Hamiltonian  $G$ -space  $(M, \omega_0, \Phi_0)$ .

The canonical twisted  $\text{Spin}_c$ -structure for  $(M, \omega, \Phi)$  can be composed with the Morita trivialization  $\Phi^* \mathcal{A}_G^{\text{Spin}} = \Phi_0^* \exp^* \mathcal{A}_G^{\text{Spin}} \dashrightarrow \mathbb{C}$  defined by the Morita trivialization of  $\exp^* \mathcal{A}_G^{\text{Spin}}$ , to produce an ordinary equivariant  $\text{Spin}_c$ -structure. On the other hand, we have the equivariant  $\text{Spin}_c$ -structure defined by the symplectic form  $\omega_0$ .

**Proposition 7.10.** *Suppose  $(M, \omega_0, \Phi_0)$  is a Hamiltonian  $G$ -space, such that  $\Phi_0$  takes values in the zero component of  $\mathfrak{g}_{\neq} \subset \mathfrak{g}$ . Let  $(M, \omega, \Phi)$  be its exponential. Then the composition<sup>2</sup>*

$$\widetilde{\mathbb{C}1}(TM) \dashrightarrow \Phi^* \mathcal{A}_G^{\text{Spin}} \dashrightarrow \mathbb{C}$$

is 2-isomorphic to the Morita morphism  $\widetilde{\mathbb{C}1}(TM) \dashrightarrow \mathbb{C}$  given by the canonical  $\text{Spin}_c$ -structure for  $\omega_0$ .

*Proof.* Proposition 6.3 shows that over the zero component of  $\mathfrak{g}_{\neq}$ , the Morita trivialization of  $\exp^* \mathcal{A}_G^{\text{Spin}}$  is 2-isomorphic to the composition of the Morita

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<sup>2</sup>We could also write  $\mathbb{C}1(TM)$  in place of  $\widetilde{\mathbb{C}1}(TM)$  since  $\dim M$  is even.

isomorphism  $\mathcal{A}_{\mathfrak{g}}^{\text{Spin}} \dashrightarrow \mathcal{A}_G^{\text{Spin}}$  induced by  $(d \exp, -\varpi)$ , with the Morita trivialization of  $\mathcal{A}_{\mathfrak{g}}^{\text{Spin}}$  (induced by the Dirac morphism  $(T\mathfrak{g}^*, E_{\mathfrak{g}}) \dashrightarrow (0, 0)$ ). The result now follows from Theorem 5.7.  $\square$

**7.6. Reduction.** In this Section, we will show that the canonical twisted  $\text{Spin}_c$ -structure is well-behaved under reduction. Let  $(M, \omega, \Phi)$  be a  $q$ -Hamiltonian  $K \times G$ -space. Thus  $\Phi$  has two components  $\Phi_K, \Phi_G$ , taking values in  $K, G$  respectively. Suppose  $e \in G$  a regular value of  $\Phi_G$ , so that  $Z = \Phi_G^{-1}(e)$  is a smooth  $K \times G$ -invariant submanifold. Let  $\iota: Z \rightarrow M$  be the inclusion. The moment map condition shows that the  $G$ -action is locally free on  $Z$ , and that  $\iota^*\omega$  is  $G$ -basic. Let us assume for simplicity that the  $G$ -action on  $Z$  is actually free. Then

$$M_{\text{red}} = Z/G$$

is a smooth  $K$ -manifold, the  $G$ -basic 2-form  $\iota^*\omega$  descends to a 2-form  $\omega_{\text{red}}$  on  $M_{\text{red}}$ , and the restriction  $\Phi|_Z$  descends to a smooth  $K$ -equivariant map  $\Phi_{\text{red}}: M_{\text{red}} \rightarrow K$ .

**Proposition 7.11.** [2] *The triple  $(M_{\text{red}}, \omega_{\text{red}}, \Phi_{\text{red}})$  is a  $q$ -Hamiltonian  $K$ -space. In particular, if  $K = \{e\}$  it is a symplectic manifold.*

We wish to relate the canonical twisted  $\text{Spin}_c$ -structures for  $M_{\text{red}}$  to that for  $M$ . We need:

**Lemma 7.12.** *There is a  $G \times K$ -equivariant Morita morphism*

$$(27) \quad \widetilde{\mathbb{C}l}(TM)|_Z \dashrightarrow \widetilde{\mathbb{C}l}(TM_{\text{red}}),$$

with underlying map the quotient map  $\pi: Z \rightarrow M_{\text{red}}$ .

*Proof.* Consider the exact sequences of vector bundles over  $Z$ ,

$$(28) \quad 0 \rightarrow Z \times \mathfrak{g} \rightarrow TZ \rightarrow \pi^*TM_{\text{red}} \rightarrow 0,$$

where the first map is inclusion of the generating vector fields, and

$$(29) \quad 0 \rightarrow TZ \rightarrow TM|_Z \rightarrow Z \times \mathfrak{g}^* \rightarrow 0,$$

where the map  $TM|_Z \rightarrow \mathfrak{g}^* \cong \mathfrak{g} = T_eG$  is the restriction  $(d\Phi)|_Z$ . (We are writing  $\mathfrak{g}^*$  in (29) to avoid confusion with the copy of  $\mathfrak{g}$  in (28).) The Euclidean metric on  $TM$  gives orthogonal splittings of both exact sequences, hence it gives a  $K \times G$ -equivariant direct sum decomposition

$$(30) \quad TM|_Z = \pi^*TM_{\text{red}} \oplus Z \times (\mathfrak{g} \oplus \mathfrak{g}^*).$$

The standard symplectic structure

$$(31) \quad \omega_{\mathfrak{g} \oplus \mathfrak{g}^*}((v_1, \mu_1), (v_2, \mu_2)) = \mu_1(v_2) - \mu_2(v_1)$$

defines a  $K \times G$ -equivariant  $\text{Spin}_c$ -structure on  $Z \times (\mathfrak{g} \oplus \mathfrak{g}^*)$ , and gives the desired equivariant Morita isomorphism.  $\square$

Note that the restriction of the Morita morphism  $\widetilde{\mathbb{C}l}(TM) \dashrightarrow \mathcal{A}_{K \times G}^{\text{Spin}}$  to  $Z \subset M$  takes values in  $\mathcal{A}_{K \times G}^{\text{Spin}}|_{K \times \{e\}}$ . Let

$$(32) \quad \mathcal{A}_{K \times G}^{\text{Spin}}|_{K \times \{e\}} \dashrightarrow \mathcal{A}_K^{\text{Spin}}$$

be the Morita isomorphism defined by the Morita trivialization of  $\mathcal{A}_G^{\text{Spin}}|_{\{e\}}$ . The twisted  $\text{Spin}_c$ -structure for  $(M, \omega, \Phi)$  descends to the twisted  $\text{Spin}_c$ -structure for the  $G$ -reduced space  $(M_{\text{red}}, \omega_{\text{red}}, \Phi_{\text{red}})$ , in the following sense.

**Theorem 7.13** (Reduction). *Suppose  $(M, \omega, \Phi)$  is a  $q$ -Hamiltonian  $K \times G$ -manifold, such that  $e$  is a regular value of  $\Phi_G$  and such that  $G$  acts freely on  $\Phi_G^{-1}(e)$ . The diagram of  $K \times G$ -equivariant Morita morphisms*

$$\begin{array}{ccc} \widetilde{\mathbb{C}l}(TM)|_Z & \dashrightarrow & \mathcal{A}_{K \times G}^{\text{Spin}}|_{K \times \{e\}} \\ \downarrow & & \downarrow \\ \widetilde{\mathbb{C}l}(TM_{\text{red}}) & \dashrightarrow & \mathcal{A}_K^{\text{Spin}} \end{array}$$

commutes up to equivariant 2-isomorphism. Here the vertical maps are given by (27) and (32).

The proof uses the following normal form result for  $TM|_Z$ .

**Lemma 7.14.** *For a suitable choice of invariant Euclidean metric on  $TM$ , the decomposition  $TM|_Z = \pi^*TM_{\text{red}} \oplus Z \times (\mathfrak{g} \oplus \mathfrak{g}^*)$  from (30) is compatible with the 2-forms. That is,*

$$\omega|_Z = \pi^*\omega_{\text{red}} \oplus \omega_{\mathfrak{g} \oplus \mathfrak{g}^*}.$$

*Proof.* We will construct  $K \times G$ -equivariant splittings of the exact sequences (28) and (29) so that (30) is compatible with the 2-forms. (One may then take an invariant Euclidean metric on  $TM|_Z$  for which these splittings are orthogonal, and extend to  $TM$ .) Begin with an arbitrary  $K \times G$ -invariant splitting

$$TM|_Z = TZ \oplus F.$$

Since  $F \cap \ker(\omega) = 0$ , the sub-bundle  $F^\omega \subset TM|_Z$  (the set of vectors  $\omega$ -orthogonal to all vectors in  $F$ ) has codimension  $\text{codim}(F^\omega) = \dim F = \dim \mathfrak{g}$ . The moment map condition shows that  $\omega$  is non-degenerate on  $F \oplus Z \times \mathfrak{g}$ . Hence  $(Z \times \mathfrak{g}) \cap F^\omega = 0$ , and therefore

$$TM|_Z = (Z \times \mathfrak{g}) \oplus F^\omega.$$

Let  $\phi: TM|_Z \rightarrow Z \times \mathfrak{g}$  be the projection along  $F^\omega$ . The subspace

$$F' = \{v - \frac{1}{2}\phi(v) \mid v \in F\}$$

is again an invariant complement to  $TZ$  in  $TM|_Z$ , and it is *isotropic* for  $\omega$ . Indeed, if  $v_1, v_2 \in F'$ ,

$$\omega(v_1 - \frac{1}{2}\phi(v_1), v_2 - \frac{1}{2}\phi(v_2)) = \frac{1}{2}\omega(v_1, v_2 - \phi(v_2)) + \frac{1}{2}\omega(v_1 - \phi(v_1), v_2)$$

vanishes since  $v_i - \phi(v_i) \in F^\omega$ . The restriction of  $(d\Phi_G)|_Z: TM|_Z \rightarrow \mathfrak{g}^*$  to  $F'$  identifies  $F' = Z \times \mathfrak{g}^*$ . We have hence shown the existence of an invariant decomposition  $TM|_Z = TZ \oplus Z \times \mathfrak{g}^*$  where the second summand is embedded as an  $\omega$ -isotropic subspace, and such that  $(d\Phi_G)|_Z$  is projection to the second summand. From the  $G$ -moment map condition

$$\iota(\xi_M)\omega|_Z = -\frac{1}{2}\Phi_G^*B((\theta^L + \theta^R)|_Z, \xi) = -B((d\Phi_G)|_Z, \xi), \quad \xi \in \mathfrak{g},$$

we see that the induced 2-form on the sub-bundle  $Z \times (\mathfrak{g} \oplus \mathfrak{g}^*)$  is just the standard one,  $\omega_{\mathfrak{g} \oplus \mathfrak{g}^*}$ . The  $\omega$ -orthogonal space  $Z \times (\mathfrak{g} \oplus \mathfrak{g}^*)^\omega$  defines a complement to  $Z \times \mathfrak{g} \subset TZ$ , and is hence identified with  $\pi^*TM_{\text{red}}$ .  $\square$

*Proof of Theorem 7.13.* Let  $\Theta: TM|_Z \dashrightarrow TM_{\text{red}}$  be the bundle morphism given by projection to the first summand in (30), followed by the quotient map. Then

$$(\Theta, \omega_{\mathfrak{g} \oplus \mathfrak{g}^*}): (TM|_Z, TM|_Z) \dashrightarrow (TM_{\text{red}}, TM_{\text{red}}),$$

is a strong Dirac morphism, and the resulting Morita morphism  $\mathcal{A}_{TM|_Z} \dashrightarrow \mathcal{A}_{TM_{\text{red}}}$  fits into a commutative diagram

$$(33) \quad \begin{array}{ccc} \widetilde{\mathbb{C}l}(TM)|_Z & \dashrightarrow & \mathcal{A}_{TM|_Z} \\ \downarrow & & \downarrow \\ \widetilde{\mathbb{C}l}(TM_{\text{red}}) & \dashrightarrow & \mathcal{A}_{TM_{\text{red}}} \end{array}$$

On the other hand, letting  $\text{pr}_1: T(K \times G)|_{K \times \{e\}} \rightarrow TK$  be projection to the first summand, we have

$$(\text{pr}_1, 0) \circ (d\Phi|_Z, \omega|_Z) = (d\Phi_{\text{red}}, \omega_{\text{red}}) \circ (\Theta, \omega_{\mathfrak{g} \oplus \mathfrak{g}^*}),$$

so that the resulting diagram of Morita morphisms

$$(34) \quad \begin{array}{ccc} \mathcal{A}_{TM|_Z} & \dashrightarrow & \mathcal{A}_{K \times G}^{\text{Spin}}|_{K \times \{e\}} \\ \downarrow & & \downarrow \\ \mathcal{A}_{TM_{\text{red}}} & \dashrightarrow & \mathcal{A}_K^{\text{Spin}} \end{array}$$

commutes up to 2-isomorphism. Placing (33) next to (34), the Theorem follows.  $\square$

*Remark 7.15.* If  $e$  is a regular value of  $\Phi_G$ , but the action of  $G$  on  $Z$  is not free, the reduced space  $M_{\text{red}}$  is usually an orbifold. The Theorem extends to this situation with obvious modifications.

*Remark 7.16.* Reduction at more general values  $g \in G$  may be expressed in terms of reduction at  $e$ , using the *shifting trick*: Let  $G_g \subset G$  be the centralizer of  $g$ , and  $\text{Ad}(G)g^{-1} \cong G/G_{g^{-1}}$  the conjugacy class of  $g^{-1}$ . Then

$$M//_g G := \Phi_G^{-1}(g)/G_g = (M \times \text{Ad}(G)g^{-1})//G$$



where  $M \times \text{Ad}(G).g^{-1}$  is the fusion product. Again, one finds that  $g$  is a regular value of  $\Phi_G$  if and only if the  $G_g$ -action on  $\Phi^{-1}(g)$  is locally free, and if the action is free then  $M//_g G$  is a  $q$ -Hamiltonian  $K$ -space.

## 8. HAMILTONIAN $LG$ -SPACES

In his 1988 paper, Freed [15] argued that for a compact, simple and simply connected Lie group  $G$ , the canonical line bundle over the Kähler manifold  $LG/G$  (and over the other coadjoint orbits of the loop group) is a  $\widehat{LG}$ -equivariant Hermitian line bundle  $K \rightarrow LG/G$ , where the central circle of  $\widehat{LG}$  acts with a weight  $-2h^\vee$ , where  $h^\vee$  is the dual Coxeter number. In [21], this was extended to more general Hamiltonian  $LG$ -spaces.

In this Section we will use the correspondence between Hamiltonian  $LG$ -spaces and  $q$ -Hamiltonian  $G$ -spaces to give a new construction of the canonical line bundle, in which it is no longer necessary to assume  $G$  simply connected. We begin by recalling the definition of a Hamiltonian  $LG$ -space. Let  $G$  be a compact Lie group, with a given invariant inner product  $B$  on its Lie algebra. We fix  $s > 1/2$ , and take the loop group  $LG$  to be the Banach Lie group of maps  $S^1 \rightarrow G$  of Sobolev class  $s + 1/2$ . Its Lie algebra  $L\mathfrak{g}$  consists of maps  $S^1 \rightarrow \mathfrak{g}$  of Sobolev class  $s + 1/2$ . We denote by  $L\mathfrak{g}^*$  the  $\mathfrak{g}$ -valued 1-forms on  $S^1$  of Sobolev class  $s - 1/2$ , with the gauge action  $g \cdot \mu = \text{Ad}_g(\mu) - g^* \theta^R$ . A *Hamiltonian  $LG$ -manifold* is a Banach manifold  $N$  with an action of  $LG$ , an invariant (weakly) symplectic 2-form  $\sigma \in \Omega^2(N)$ , and a smooth  $LG$ -equivariant map  $\Psi: N \rightarrow L\mathfrak{g}^*$  satisfying the moment map condition

$$\iota(\xi^\sharp)\sigma = -d\langle \Psi, \xi \rangle, \quad \xi \in L\mathfrak{g}.$$

Here the pairing between elements of  $L\mathfrak{g}^*$  and of  $L\mathfrak{g}$  is given by the inner product  $B$  followed by integration over  $S^1$ .

Suppose now that  $G$  is connected, and let  $\mathcal{P}G$  be the space of paths  $\gamma: \mathbb{R} \rightarrow G$  of Sobolev class  $s+1/2$  such that  $\pi(\gamma) = \gamma(t+1)\gamma(t)^{-1}$  is constant. The map  $\pi: \mathcal{P}G \rightarrow G$  taking  $\gamma$  to this constant is a  $G$ -equivariant principal  $LG$ -bundle, where  $a \in G$  acts by  $\gamma \mapsto a\gamma$  and  $\lambda \in LG$  acts by  $\gamma \mapsto \gamma\lambda^{-1}$ . One has  $\mathcal{P}G/G \cong L\mathfrak{g}^*$  with quotient map  $\gamma \mapsto \gamma^{-1}\dot{\gamma}dt$ . Let  $\tilde{N} \rightarrow N$  be the principal  $G$ -bundle obtained by pull-back of the bundle  $\mathcal{P}G \rightarrow L\mathfrak{g}^*$ , and  $\tilde{\Psi}: \tilde{N} \rightarrow \mathcal{P}G$  the lifted moment map. Then  $\tilde{\Psi}$  is  $LG \times G$ -equivariant. Since the  $LG$ -action on  $\mathcal{P}G$  is a principal action, the same is true for the action on  $\tilde{N}$ . Assuming that  $\Psi$  (hence  $\tilde{\Psi}$ ) is *proper*, one obtains a smooth *compact* manifold  $M = \tilde{N}/LG$  with an induced  $G$ -map  $\Phi: M \rightarrow G = \mathcal{P}G/LG$ .

$$\begin{array}{ccc} \tilde{N} & \xrightarrow{\tilde{\Psi}} & \mathcal{P}G \\ \pi_M \downarrow & & \downarrow \pi_G \\ M & \xrightarrow{\Phi} & G \end{array}$$

In [2], it was shown how to obtain an invariant 2-form  $\omega$  on  $M$ , making  $(M, \omega, \Phi)$  into a q-Hamiltonian  $G$ -spaces. This construction sets up a 1-1 correspondence between Hamiltonian  $LG$ -spaces with proper moment maps and q-Hamiltonian spaces.

As noted in Remark 7.2, the canonical twisted  $\text{Spin}_c$ -structure for  $(M, \omega, \Phi)$  defines a  $G$ -equivariant Morita trivialization of the bundle  $\mathcal{E}: \mathbb{C} \dashrightarrow \Phi^* \mathcal{A}_G^{\text{Spin}^{\otimes 2}}$  over  $M$ . On the other hand, let  $\widehat{LG}^{\text{Spin}}$  be the pull-back of the basic central extension  $L\widehat{SO}(\mathfrak{g})$  under the adjoint action. By the discussion in Section 6.3, the pull-back bundle  $\mathcal{A}_G^{\text{Spin}}$  to  $\mathcal{P}G$  has a canonical  $\widehat{LG}^{\text{Spin}} \times G$ -equivariant Morita trivialization,

$$\mathcal{S}_0: \mathbb{C} \dashrightarrow \pi_G^* \mathcal{A}_G^{\text{Spin}},$$

where the central circle of  $\widehat{LG}^{\text{Spin}}$  acts with weight 1. Tensoring  $\mathcal{S}_0$  with itself, and pulling everything back to  $\widehat{N}$  we obtain two Morita trivializations  $\pi_M^* \mathcal{E}$  and  $\widetilde{\Psi}^*(\mathcal{S}_0 \otimes \mathcal{S}_0)$  of the Dixmier-Douady bundle  $\mathcal{C}$  over  $\widehat{N}$ , given by the pull-back of  $\mathcal{A}_G^{\text{Spin}^{\otimes 2}}$  under  $\Phi \circ \pi_M = \pi_G \circ \widetilde{\Psi}$ . Let

$$\widetilde{K} := \text{Hom}_{\mathcal{C}}(\widetilde{\Psi}^*(\mathcal{S}_0 \otimes \mathcal{S}_0), \pi_M^* \mathcal{E})$$

Then  $\widetilde{K}$  is a  $\widehat{LG}^{\text{Spin}} \times G$ -equivariant Hermitian line bundle, where the central circle in  $\widehat{LG}^{\text{Spin}}$  acts with weight  $-2$ . Its quotient  $K = \widetilde{K}/G$  is the desired *canonical bundle* for the Hamiltonian  $LG$ -manifold  $N$ .

*Remark 8.1.* For  $G$  simple and simply connected, the central extension  $\widehat{LG}^{\text{Spin}}$  is the  $\mathfrak{h}^\vee$ -th power of the ‘basic central’ extension  $\widehat{LG}$ . We may thus also think of  $K_N$  as a  $\widehat{LG}$ -equivariant line bundle where the central circle acts with weight  $-2\mathfrak{h}^\vee$ .

The canonical line bundle is well-behaved under symplectic reduction. That is, if  $e$  is a regular value of  $\Phi$  then  $0 \in L\mathfrak{g}^*$  is also a regular value of  $\Psi$ , and  $\Phi^{-1}(e) \cong \Psi^{-1}(0)$  as  $G$ -spaces. Assume that  $G$  acts freely on these level sets, so that  $M//G = N//G$  is a symplectic manifold. The canonical line bundle for  $M//G$  is simply  $K_{M//G} = K_N|_{\Psi^{-1}(0)}/G$ . As in [21], one can sometimes use this fact to compute the canonical line bundle over moduli spaces of flat  $G$ -bundles over surfaces.

## APPENDIX A. BOUNDARY CONDITIONS

In this Section, we will prove several facts about the operator  $\frac{\partial}{\partial t}$  on the complex Hilbert-space  $L^2([0, 1], \mathbb{C}^n)$ , with boundary conditions defined by  $A \in \text{U}(n)$ ,

$$\text{dom}(D_A) = \{f \in L^2([0, 1], \mathbb{C}^n) \mid \dot{f} \in L^2([0, 1], \mathbb{C}^n), f(1) = -Af(0)\}.$$

Let  $e^{2\pi i\lambda^{(1)}}, \dots, e^{2\pi i\lambda^{(n)}}$  be the eigenvalues of  $A$ , with corresponding normalized eigenvectors  $v^{(1)}, \dots, v^{(n)} \in \mathbb{C}^n$ . Then the spectrum of  $D_A$  is given by

the eigenvalues  $2\pi i(\lambda^{(r)} + k - \frac{1}{2})$ ,  $k \in \mathbb{Z}$ ,  $r = 1, \dots, n$  with eigenfunctions

$$\phi_k^{(r)}(t) = \exp(2\pi i(\lambda^{(r)} + k - \frac{1}{2})t) v^{(r)}.$$

We define  $J_A = i \operatorname{sign}(-iD_A)$ ; this coincides with  $J_A = D_A/|D_A|$  if  $D_A$  has trivial kernel.

**Proposition A.1.** *Let  $A, A' \in \mathbf{U}(n)$ . Then  $J_{A'} - J_A$  is Hilbert-Schmidt if and only if  $A' = A$ .*

*Proof.* Suppose  $A' \neq A$ . Let  $\Pi, \Pi'$  be the orthogonal projection operators onto  $\ker(J_A - i)$ ,  $\ker(J_{A'} - i)$ . It suffices to show that  $\Pi' - \Pi$  is not Hilbert-Schmidt, i.e. that  $(\Pi' - \Pi)^2$  is not trace class. Since

$$(\Pi - \Pi')^2 = \Pi(I - \Pi')\Pi + (I - \Pi)\Pi'(I - \Pi).$$

is a sum of two positive operators, it suffices to show that  $\Pi(I - \Pi')\Pi$  is not trace class. Let  $\phi_l'^{(s)}$  be the eigenfunctions of  $D_{A'}$ , defined similar to those for  $D_A$ , with eigenvalues  $2\pi i(\lambda'^{(s)} + l - \frac{1}{2})$ . Indicating the eigenvalues and eigenfunctions for  $A'$  by a prime  $'$ , we have

$$\operatorname{tr}(\Pi(I - \Pi')\Pi) = \sum \left| \langle \phi_k^{(r)}, \phi_l'^{(s)} \rangle \right|^2$$

where the sum is over all  $k, r, l, s$  satisfying  $\lambda^{(r)} + k - \frac{1}{2} > 0$  and  $\lambda'^{(s)} + l - \frac{1}{2} \leq 0$ . But

$$\left| \langle \phi_k^{(r)}, \phi_l'^{(s)} \rangle \right|^2 = \left| \frac{\langle v^{(r)}, v'^{(s)} \rangle (e^{2\pi i(\lambda'^{(s)} - \lambda^{(r)})} - 1)}{2\pi(\lambda'^{(s)} - \lambda^{(r)} + l - k)} \right|^2.$$

Since  $A' \neq A$ , we can choose  $r, s$  such that

$$e^{2\pi i\lambda^{(r)}} \neq e^{2\pi i\lambda'^{(s)}} \quad \text{and} \quad \langle v^{(r)}, v'^{(s)} \rangle \neq 0.$$

For such  $r, s$ , the enumerator is a non-zero constant, and the sum over  $k, l$  is divergent.  $\square$

**Proposition A.2.** *Given  $A, A' \in \mathbf{U}(n)$ , let*

$$\gamma: [0, 1] \rightarrow \operatorname{Mat}_n(\mathbb{C})$$

*be a continuous map with*

$$A'\gamma(0) = \gamma(1)A,$$

*and such that  $\dot{\gamma} \in L^\infty([0, 1], \operatorname{Mat}_n(\mathbb{C}))$ . Let  $M_\gamma$  be the bounded operator on  $L^2([0, 1], \mathbb{C}^n)$  given as multiplication by  $\gamma$ . Then*

$$M_\gamma J_A - J_{A'} M_\gamma$$

*is Hilbert-Schmidt.*

*Proof.* This is a mild extension of Proposition(6.3.1) in Pressley-Segal [25, page 82], and we will follow their line of argument. Using the notation from the proof of Proposition A.1, it suffices to show that  $M_\gamma \Pi - \Pi' M_\gamma$  is Hilbert-Schmidt, or equivalently that both  $(I - \Pi')M_\gamma \Pi$  and  $\Pi' M_\gamma (I - \Pi)$  are

Hilbert-Schmidt. We will give the argument for  $\Pi' M_\gamma(I - \Pi)$ , the discussion for  $(I - \Pi') M_\gamma \Pi$  is similar. We must prove that

$$\begin{aligned} \operatorname{tr}((\Pi' M_\gamma(I - \Pi))(\Pi' M_\gamma(I - \Pi))^*) &= \operatorname{tr}(\Pi' M_\gamma(I - \Pi) M_\gamma^*) \\ &= \sum |\langle \phi_k^{(r)} | M_\gamma | \phi_l^{(s)} \rangle|^2 < \infty, \end{aligned}$$

where the sum is over all  $k, r$  with  $\lambda^{(r)} + k - \frac{1}{2} > 0$  and over all  $l, s$  with  $\lambda^{(s)} + l - \frac{1}{2} \leq 0$ . Changing the sum by only finitely many terms, we may replace this with a summation over all  $k, r, l, s$  such that  $k > 0$  and  $l \leq 0$ . Since  $\langle \phi_k^{(r)} | M_\gamma | \phi_l^{(s)} \rangle = \langle \phi_{k+n}^{(r)} | M_\gamma | \phi_{l+n}^{(s)} \rangle$  for all  $n \in \mathbb{Z}$ , and since there are  $m$  terms with fixed  $k - l = m$ , the assertion is equivalent to

$$(35) \quad \sum_{r,s} \sum_{m>0} m |\langle \phi_0^{(r)} | M_\gamma | \phi_m^{(s)} \rangle|^2 < \infty.$$

To obtain this estimate, we use  $\dot{\gamma} \in L^\infty([0, 1], \operatorname{Mat}_n(\mathbb{C}))$ . We have

$$\sum_{r,s} \sum_{m \in \mathbb{Z}} |\langle \phi_0^{(r)} | M_{\dot{\gamma}} | \phi_m^{(s)} \rangle|^2 = \sum_r \|M_{\dot{\gamma}}^* \phi_0^{(r)}\|^2 < \infty.$$

An integration by parts shows

$$\begin{aligned} \langle \phi_0^{(r)} | M_{\dot{\gamma}} | \phi_m^{(s)} \rangle &= -2\pi i (\lambda^{(s)} - \lambda^{(r)} + m) \langle \phi_0^{(r)} | M_\gamma | \phi_m^{(s)} \rangle \\ &\quad + \langle \phi_0^{(r)}(1) | \gamma(1) | \phi_m^{(s)}(1) \rangle - \langle \phi_0^{(r)}(0) | \gamma(0) | \phi_m^{(s)}(0) \rangle. \end{aligned}$$

The boundary terms cancel since  $A' \gamma(0) = \gamma(1) A$ , and

$$\phi_0^{(r)}(1) = -A' \phi_0^{(r)}(0), \quad \phi_m^{(s)}(1) = -A \phi_m^{(s)}(0).$$

Hence we obtain

$$\sum_{r,s} \sum_{m \in \mathbb{Z}} (\lambda^{(s)} - \lambda^{(r)} + m)^2 |\langle \phi_0^{(r)} | M_\gamma | \phi_m^{(s)} \rangle|^2 < \infty$$

which implies (35).  $\square$

**Proposition A.3.** *Let  $A \in \operatorname{U}(n)$ , and let  $\mu \in L^\infty([0, 1], \mathfrak{u}(n))$ . Consider  $D_{A,\mu} = D_A + M_\mu$  with domain equal to that of  $D_A$ , and define  $J_{A,\mu}$  similar to  $J_A$ . Then  $J_{A,\mu} - J_A$  is Hilbert-Schmidt.*

*Proof.* Let  $\gamma \in C([0, 1], \operatorname{U}(n))$  be the solution of the initial value problem  $\dot{\gamma} \gamma^{-1} = -\mu$  with  $\gamma(0) = I$ . Let  $A = \gamma(1) A'$ . The operator  $M_\gamma$  of multiplication by  $\gamma$  takes  $\operatorname{dom}(D_{A'})$  to  $\operatorname{dom}(D_A)$ , and

$$M_\gamma D_{A'} M_\gamma^{-1} = D_A - \dot{\gamma} \gamma^{-1} = D_{A,\mu}.$$

Hence  $M_\gamma J_{A'} M_\gamma^{-1} = J_{A,\mu}$ . By Proposition A.2,  $M_\gamma J_{A'} M_\gamma^{-1}$  differs from  $J_A$  by a Hilbert-Schmidt operator.  $\square$

Let us finally consider the continuity properties of the family of operators  $D_A$ ,  $A \in \operatorname{U}(n)$ . Recall [27, Chapter VIII] that the *norm resolvent topology* on the set of unbounded skewadjoint operators on a Hilbert space is defined by declaring that a net  $D_i$  converges to  $D$  if and only if  $R_1(D_i) = (D_i -$

$I)^{-1} \rightarrow R_1(D) = (D - I)^{-1}$  in norm. This then implies that  $R_z(D_i) \rightarrow R_z(D)$  in norm, for any  $z$  with non-zero real part, and in fact  $f(D_i) \rightarrow f(D)$  in norm for any bounded continuous function  $f$ . For bounded operators, convergence in the norm resolvent topology is equivalent to convergence in the norm topology.

**Proposition A.4.** *The map  $A \mapsto D_A$  is continuous in the norm resolvent topology.*

*Proof.* We will use that  $\|R_1(D)\| = \|(D - I)^{-1}\| < 1$  for any skew-adjoint operator  $D$ . Let us check continuity at any given  $A \in \mathfrak{U}(n)$ . Given  $a \in \mathfrak{u}(n)$ , let us write  $D_a = D_{\exp(a)A}$ . We will prove continuity at  $A$  by showing that

$$\|R_1(D_a) - R_1(D_0)\| \leq 3\|a\|.$$

Let  $U_a \in \mathfrak{U}(\mathcal{V})$  be the operator of pointwise multiplication by  $\exp(ta) \in \mathfrak{U}(V)$ . Then

$$\|U_a - U_0\| = \sup_{t \in [0,1]} \|\exp(ta) - I\| \leq \|a\|.$$

The operator  $U_a$  takes the domain of  $D_0$  to that of  $D_a$ , since  $f(1) = -Af(0)$  implies  $(U_a f)(1) = \exp(a)f(1) = -\exp(a)Af(0)$ . Furthermore,

$$D_a = U_a(D_0 + M_a)U_a^{-1}$$

Hence

$$R_1(D_a) = U_a R_1(D_0 + M_a) U_a^{-1}.$$

The second resolvent identity  $R_1(D_0 + M_a) - R_1(D_0) = R_1(D_0 + M_a)M_a R_1(D_0)$  shows

$$\|R_1(D_0 + M_a) - R_1(D_0)\| \leq \|M_a\| = \|a\|.$$

Hence

$$\begin{aligned} \|R_1(D_a) - R_1(D_0)\| &= \|U_a R_1(D_0 + M_a) U_a^{-1} - U_0 R_1(D_0) U_0^{-1}\| \\ &\leq \|(U_a - U_0) R_1(D_0 + M_a) U_a^{-1}\| + \|U_0 R_1(D_0 + M_a) (U_a^{-1} - U_0^{-1})\| \\ &\quad + \|U_0 (R_1(D_0 + M_a) - R_1(D_0)) U_0^{-1}\| \\ &\leq 2\|a\| \|R_1(D_0 + M_a)\| + \|R_1(D_0 + M_a) - R_1(D_0)\| < 3\|a\|. \quad \square \end{aligned}$$

## APPENDIX B. THE DIXMIER-DOUADY BUNDLE OVER $S^1$

Let  $S^1 = \mathbb{R}/\mathbb{Z}$  carry the *trivial* action of  $S^1$ . The Morita isomorphism classes of  $S^1$ -equivariant Dixmier-Douady bundles  $\mathcal{A} \rightarrow S^1$  are labeled by their class

$$\text{DD}_{S^1}(\mathcal{A}) \in H_{S^1}^3(S^1, \mathbb{Z}) \times H^1(S^1, \mathbb{Z}_2).$$

The bundle corresponding to  $x \in H_{S^1}^3(S^1, \mathbb{Z}) = H_{S^1}^2(\text{pt}, \mathbb{Z}) = \mathbb{Z}$  and  $y \in H^1(S^1, \mathbb{Z}_2) = H^0(\text{pt}, \mathbb{Z}_2) = \mathbb{Z}_2$  may be described as follows. Let  $L_{(x,y)} \cong \mathbb{C}$  be the  $\mathbb{Z}_2$ -graded  $S^1$ -representation, of parity given by the parity of  $y$ , and with  $S^1$ -weight given by  $x$ . Choose a  $\mathbb{Z}_2$ -graded  $S^1$ -equivariant Hilbert space

$\mathcal{H}$  with an equivariant isomorphism  $\tau: \mathcal{H} \rightarrow \mathcal{H} \otimes L$  preserving  $\mathbb{Z}_2$ -gradings. Then  $\tau$  induces an  $S^1$ -equivariant  $*$ -homomorphism

$$\bar{\tau}: \mathbb{K}(\mathcal{H}) \rightarrow \mathbb{K}(\mathcal{H} \otimes L) = \mathbb{K}(\mathcal{H}),$$

preserving  $\mathbb{Z}_2$ -gradings. The bundle  $\mathcal{A} \rightarrow S^1$  with Dixmier-Douady class  $(x, y)$  is obtained from the trivial bundle  $[0, 1] \times \mathbb{K}(\mathcal{H})$ , using  $\bar{\tau}$  to glue  $\{0\} \times \mathbb{K}(\mathcal{H})$  and  $\{1\} \times \mathbb{K}(\mathcal{H})$ . Given another choice  $\mathcal{H}', \tau'$ , one obtains a Morita isomorphism  $\mathcal{E}: \mathcal{A} \rightarrow \mathcal{A}'$ , where  $\mathcal{E}$  is obtained from a similar boundary identification for  $[0, 1] \times \mathbb{K}(\mathcal{H}', \mathcal{H})$ .

A convenient choice of  $H, \tau$  defining the bundle with  $x = 1, y = 1$  is as follows. Let  $\mathcal{H}$  be a Hilbert space with orthonormal basis of the form  $s_K$ , indexed by the subsets  $K = \{k_1, k_2, \dots\} \subset \mathbb{Z}$  such that  $k_1 > k_2 > \dots$  and  $k_l = k_{l+1} + 1$  for  $l$  sufficiently large. Let

$$m_K = \#\{k \in K \mid k > 0\} - \#\{k \in \mathbb{Z} - K \mid k \leq 0\}.$$

Let  $\mathcal{H}$  carry the  $S^1$ -action such that  $s_K$  is a weight vector of weight  $m_K$ , and a  $\mathbb{Z}_2$ -grading, defined by the weight spaces of even/odd weight. Let  $\tau(K) = \{k + 1 \mid k \in K\}$ . Then  $m_{\tau(K)} = m_K + 1$ , hence the automorphism  $\tau: \mathcal{H} \rightarrow \mathcal{H}$  taking  $s_K$  to  $s_{\tau(K)}$  has the desired properties.

The Hilbert space  $\mathcal{H}$  can also be viewed as a spinor module. Let  $\mathcal{V}$  be a real Hilbert space, with complexification  $\mathcal{V}^{\mathbb{C}}$ , and let  $f_k, k \in \mathbb{Z}$  be vectors such that  $f_k$  together with  $f_k^*$  are an orthonormal basis. The elements  $s_K$  for  $K = \{k_1, k_2, \dots\}$  with  $k_1 > k_2 > \dots$  are written as formal infinite wedge products

$$s_K = f_{k_1} \wedge f_{k_2} \wedge \dots$$

suggesting the action of the Clifford algebra:  $\varrho(f_k)$  acts by exterior multiplication, while  $\varrho(f_k^*)$  acts by contraction. The automorphism  $\tau \in U(\mathcal{H})$  is an implementer of the orthogonal transformation  $T \in O(V)$ ,

$$(36) \quad T f_k = f_{k+1}, \quad T f_k^* = f_{k+1}^*.$$

Let us denote the resulting Dixmier-Douady bundle by  $\mathcal{A}_{(1,1)}$ .

**Proposition B.1.** *The Dixmier-Douady bundle  $\mathcal{A}_{(1,1)} \rightarrow S^1$  is equivariantly isomorphic to the Dixmier-Douady bundle  $\mathcal{A} \rightarrow \mathrm{SO}(2) \cong S^1$ , constructed as in Section 6.*

*Proof.* For  $s \in \mathbb{R}$ , let  $A_s \in \mathrm{SO}(2)$  be the matrix of rotation by  $2\pi s$ , and let  $D_s$  be the skew-adjoint operator  $\frac{\partial}{\partial t}$  on  $L^2([0, 1], \mathbb{R}^2)$  with boundary conditions  $f(1) = -A_s f(0)$ . The operator  $D_0$  has an orthonormal system of eigenvectors  $f_k, f_k^*, k \in \mathbb{Z}$  given by

$$f_k(t) = e^{2\pi i(k - \frac{1}{2})t} u,$$

with  $u = \frac{1}{\sqrt{2}}(1, i)$ . The eigenvalues for  $f_k, f_k^*$  are  $\pm 2\pi i(k - \frac{1}{2})$ . We see that the  $+i$  eigenspace of  $J = D_0/|D_0|$  is given by

$$\mathcal{V}_+ = \mathrm{span}\{\dots, f_3, f_2, f_1, f_0^*, f_{-1}^*, \dots\}.$$

There is a unique isomorphism of  $\mathbb{C}l(\mathcal{V})$ -modules  $\mathcal{S}_J \rightarrow \mathcal{H}$  taking the ‘vacuum vector’  $1 \in \mathcal{S}_J = \overline{\wedge \mathcal{V}_+}$  to the ‘vacuum vector’  $f_0 \wedge f_{-1} \wedge \cdots$ .

For  $s \in \mathbb{R}$ , define orthogonal transformations  $U_s \in O(\mathcal{V})$ , where  $U_s$  is pointwise multiplication by  $t \mapsto A_{st}$ . On  $f_k$  the operator  $U_s$  acts as multiplication by  $e^{2\pi i s t}$ , and on  $f_k^*$  as multiplication by  $e^{-2\pi i s t}$ . Hence

$$f_k^{(s)} = U_s f_k, \quad (f_k^{(s)})^* = U_s f_k^*$$

are the eigenvectors of  $D_s$ , with shifted eigenvalues  $\pm 2\pi i(k - \frac{1}{2} + s)$ . The complex structure

$$J_s = U_s J U_s^{-1}$$

differs from  $J_{D_s} = i \operatorname{sign}(-iD_s)$  by a finite rank operator. Hence, letting  $\mathcal{S}_s$  denote the  $\mathbb{C}l(\mathcal{V})$ -module defined by  $J_s$ , the fiber of  $\mathcal{A} \rightarrow \operatorname{SO}(2)$  at  $A(s)$  may be described as  $\mathbb{K}(\mathcal{S}_s)$ . The orthogonal transformation  $U_s$  extends to an orthogonal transformation of  $\overline{\wedge \mathcal{V}}$ , taking  $\mathcal{S} = \overline{\wedge \mathcal{V}_+}$  to  $\mathcal{S}_s = \overline{\wedge \mathcal{V}_{+,s}}$ , where  $\mathcal{V}_{\pm,s} = U_s \mathcal{V}_{\pm}$ . Hence each  $\mathcal{S}_s$  is identified with  $\mathcal{S} \cong \mathcal{H}$  as a Hilbert space (not as a  $\mathbb{C}l(\mathcal{V})$ -module). The identification  $\mathbb{K}(\mathcal{S}_0) \cong \mathbb{K}(\mathcal{S}_1)$  is given by the choice of any isomorphism of  $\mathbb{C}l(\mathcal{V})$ -modules  $\mathcal{S}_0 \rightarrow \mathcal{S}_1$ . In terms of the identifications with  $\mathcal{H}$ , such an isomorphism is given by an implementer of the orthogonal transformation  $U_1$ . The proof is completed by the observation that  $U_1 = T$  (cf. (36)), which is implemented by  $\tau$ .  $\square$

We are now in position to outline an alternative argument for the computation of the Dixmier-Douady class of  $\mathcal{A}_{\operatorname{SO}(n)}$ , Proposition 6.2. Note that  $\mathcal{A}_{\operatorname{SO}(n)}$  is equivariant under the conjugation action of  $\operatorname{SO}(n)$ . One has  $H_{\operatorname{SO}(n)}^3(\operatorname{SO}(n), \mathbb{Z}) = \mathbb{Z}$  for  $n \geq 2$ ,  $n \neq 4$ , and the natural maps to ordinary cohomology are isomorphisms for  $n \geq 3$ ,  $n \neq 4$ . Similarly  $H_{\operatorname{SO}(n)}^1(\operatorname{SO}(n), \mathbb{Z}_2) = \mathbb{Z}_2$  for  $n \geq 2$ , and the natural map to  $H^1(\operatorname{SO}(n), \mathbb{Z}_2)$  is an isomorphism. On the other hand, the map  $H_{\operatorname{SO}(n)}^3(\operatorname{SO}(n), \mathbb{Z}) \rightarrow H_{\operatorname{SO}(2)}^3(\operatorname{SO}(2), \mathbb{Z})$  (defined by the inclusion  $\operatorname{SO}(2) \hookrightarrow \operatorname{SO}(n)$  as the upper left corner) is an isomorphism for  $n \geq 2$ ,  $n \neq 4$ , and likewise for  $H^1(\cdot, \mathbb{Z}_2)$ . It hence suffices to check that the bundle over  $\operatorname{SO}(2)$  has *equivariant* Dixmier-Douady class  $(1, 1) \in \mathbb{Z} \times \mathbb{Z}_2$ . But this is clear from our very explicit description of  $\mathcal{A}_{\operatorname{SO}(2)}$ .

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