

# A nonsmooth approach to Einstein's theory of gravity

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### Example (Inspiring positive signature developments)

In metric(-measure) geometry with positive signature, there are theories of

- sectional curvature bounds based on triangle comparison ([Aleksandrov](#)...)
- pointed Gromov-Hausdorff limits of manifolds under lower Ricci and upper dimensional bounds ([Fukaya](#), [Gromov](#), [Cheeger-Colding](#), ...)
- Ricci lower bounds via displacement convexity of entropy ([Bakry-Emery](#), [Lott-Sturm-Villani](#), [Ambrosio-Gigli-Savare](#), ...)

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Can something similar be done in Lorentzian geometry?

- tidal forces ([Kunzinger-Sämman '18](#))
- convergence of spaces ([Müller 22+](#), [Minguzzi-Suhr 22+](#))
- Einstein eq ([M 20](#), [Mondino-Suhr 23](#), [Cavalletti-Mondino 20+](#), [Braun](#)

# Elliptic v hyperbolic geometry (c.f. BBCGMORS octet)

ELLIPTIC:  $\mathbf{R}^n$  equipped with Euclidean norm  $\|v\|_E := (\sum v_i^2)^{1/2}$

- $\|v + w\|_E \leq \|v\|_E + \|w\|_E$

HYPERBOLIC: Minkowski space  $\mathbf{R}^n$  equipped with the *hyperbolic 'norm'*

$$\|v\|_F := \begin{cases} (v_1^2 - \sum_{i \geq 2} v_i^2)^{1/2} & v \in F := \left\{ v \in \mathbf{R}^n \mid v_1 \geq (\sum_{i \geq 2} v_i^2)^{1/2} \right\} \\ -\infty & \text{else} \end{cases}$$

- $\|v + w\|_F \geq \|v\|_F + \|w\|_F$

the *future*  $F \subset \mathbf{R}^n$  is a convex cone;  $v \in F$  called *causal* or *future-directed*

- $v$  is *timelike* if  $v \in F \setminus \partial F$
- $v$  is *lightlike (or null)* if  $v \in \partial F \setminus \{0\}$
- (•  $v$  is *spacelike* iff  $\pm v \notin F$  and *past-directed* if  $-v \in F$ )
- smooth *curves* are called *timelike (etc.)* if all tangents are timelike (etc.)

# A crash course in differential geometry: action principles

Manifold  $M^n$  with symmetric nondegenerate smooth tensor field  $g_{ij} = g_{ji}$

RIEMANNIAN:  $(g_{ij}) > 0$  defines Euclidean norm on each tangent space

- its geometry is also encoded in the (symmetric) distance function

$$d(x, y)^q := \inf_{\sigma(0)=x, \sigma(1)=y} \int \|\dot{\sigma}_t\|_{E_g}^q dt \quad q > 1$$

LORENTZIAN:  $g \sim (+1, -1, \dots, -1)$  defines hyperbolic norm on  $T_x M$

- its asymmetric geometry is also encoded in the time-separation function

$$\ell(x, y)^q := \sup_{\sigma(0)=x, \sigma(1)=y} \int \|\dot{\sigma}_t\|_{F_g}^q dt \quad 0 < q < 1$$

- $(-\infty)^q := -\infty$  so  $\ell(x, y) = -\infty$  unless a causal curve links  $x$  to  $y$
- extremizers are independent of  $q$ ; they are called *geodesics*
- $\ell(x, z) \geq \ell(x, y) + \ell(y, z)$  (analog of the triangle inequality  $d$  satisfies)

# Concave $p$ -energy: trading linearity for ellipticity

Additional conditions are imposed to ensure  $\ell \neq +\infty$  and extremizers exist

- complete or *proper* (boundedly compact) in the Riemannian case
- *globally hyperbolic* in the Lorentzian case (i.e. compact diamonds, future  $F$  varies continuously over  $M$ , no closed future-directed curves)

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**Concave Hamiltonian**  $H(w) = \frac{1}{p} \|w\|_{F^*}^p$  and **Lagrangian**  $L(v) = \frac{1}{q} \|v\|_F^q$  satisfy  $DH = (DL)^{-1}$  if  $p^{-1} + q^{-1} = 1$  (here  $p < 0$  since  $0 < q < 1$ )

- note  $-L = (-H)^*$  jumps to  $+\infty$  across future cone boundary  $\partial F$  (but  $-H$  diverges continuously at the boundary of the dual cone  $F^*$ )
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**Beran Braun Calisti Gigli M. Ohanyan Rott Sämann** (octet):

extremizers of  $p$ -Dirichlet energy  $u \mapsto \int_M H(du) d\text{vol}_g$  rel. to compactly supported perturbations satisfy a **new degenerate elliptic nonlinear** PDE

- trade linearity of d'Alembertian for ellipticity of  **$p$ -d'Alembertian!**
- gives new (elliptic) approach to Eschenburg-Galloway splitting theorem

# The Riemann curvature tensor

Given (timelike) geodesics  $(\sigma_s)_{s \in [0,1]}$  and  $(\tau_t)_{t \in [0,1]}$  with  $\sigma_0 = \tau_0$  and  $\dot{\sigma}_0 - \dot{\tau}_0 \in F \setminus \partial F$ ,

$$\ell(\sigma_s, \tau_t)^2 = \|s\dot{\sigma}_0 - t\dot{\tau}_0\|_{F_g}^2 - \frac{\text{Sec}}{6} s^2 t^2 + O((|s| + |t|)^5)$$

where sectional curvature  $\text{Sec} = R(\dot{\sigma}_0, \dot{\tau}_0, \dot{\sigma}_0, \dot{\tau}_0)$  is quadratic in  $\dot{\sigma}_0 \wedge \dot{\tau}_0$  and measures the leading order correction to Pythagoras

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- polarization of this quadratic form gives the *Riemann* tensor  $R(\cdot, \cdot, \cdot, \cdot)$
- its trace  $\text{Ric}_{ik} = g^{jl} R_{ijkl}$  yields the *Ricci* tensor;  $\text{Ric}(v, v)$  measures the correction to Pythagoras averaged over all triangles including side  $v$
- second trace  $R = g^{ik} \text{Ric}_{ik}$  yields the *scalar curvature*; in the elliptic case it gives leading order correction to the area of a sphere of radius  $r$  (and the volume of a ball of radius  $r$ )
- $d\text{vol}_g(x) = \sqrt{|\det(g)|} d^n x$  in coordinates; (in the Riemannian case it coincides with the  $n$ -dimensional Hausdorff measure associated to  $d$ )

# General relativity: Einstein's gravity and field equation

Gravity not a force, but rather a manifestation of curvature of spacetime  
“Spacetime tells matter how to move” (along timelike/null geodesics... )

Field equation “Matter tells spacetime how to bend”

*geometry* = *physics*

curvature = flux of energy and momentum

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$$\text{Ric}_{ij} - \frac{1}{2}Rg_{ij} = 8\pi T_{ij} \quad (\text{replaces } \Delta\phi = \rho \text{ and } F = -\nabla\phi)$$

- just integrate this local conservation law for  $T_{ij}(x)$  to find  $g_{ij} \dots$

What if matter distribution is unknown?

# Energy conditions and singularity theorems

**WEC** (weak energy condition):  $T(v, v) \geq 0$  for all **future**  $v \in F$  (physical)

**SEC** (strong energy condition):  $\text{Ric}(v, v) \geq 0$  for all **future**  $v \in F$  (less " )

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[Cosmological constant (dark matter):  $\geq (n-1)Kg(v, v)$ ]

**Hawking '66** (big bang type) singularity theorem:

SEC + mean curvature bound  $H_\Sigma \geq h > 0$  on a suitable hypersurface  $\Sigma$   
implies finite-time singularities along all timelike geodesics through  $\Sigma$

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**Kasue '83**:  $\Omega \subset \mathbf{R}^n$  with  $H_{\partial\Omega} > h > 0$  bounds radius of largest ball in  $\Omega$

**Burtscher-Ketterer-M.-Woolgar '20**: extend to  $CD(K, N)$  setting; in  $RCD(K, N)$  setting equality only if  $\Omega =$  ball or cone

**Penrose '65** (stellar collapse type) singularity theorem

**NEC** + trapped codimension-2 compact surface  $S$  + suitable noncompact hypersurface  $\Sigma$  imply finite-time singularity along some **null** geodesic

**Open**: genuinely nonsmooth version?



# A nonsmooth null energy condition

( Idea: reformulate the **null energy condition** in a **timelike** way

## Lemma

*Any smooth Riemannian manifold admits  $k \in C(M)$  such that  $\text{Ric}(v, v) \geq k(x)g(v, v)$  for all  $v \in T_x M$ .*

## Proof.

$$k(x) = \inf_{v \in T_x M} \frac{\text{Ric}(v, v)}{g(v, v)}.$$



RMK: Can't hold in Lorentzian setting, even for  $v \in F$ , unless NEC holds.

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## Theorem ((M. 23+) Not only sufficient, but necessary)

$\text{NEC} \Leftrightarrow \exists k \in C(M)$  such that every timelike vector  $v \in T_x M$  satisfies

$$\text{Ric}(v, v) \geq k(x)g(v, v).$$

i.e. **NEC** holds iff manifold admits a **variable lower bound on timelike Ricci**

What about the nonsmooth setting?

(please forget the foregoing)

## Definition (Time-separation function)

On a set  $M$  of events, a *time-separation function* refers to  $\ell : M \times M \rightarrow \{-\infty\} \cup [0, \infty)$  satisfying the reverse triangle inequality and antisymmetry:  $\forall x, y, z \in M$

$$\ell(x, y) \geq \ell(x, z) + \ell(z, y) \quad (1)$$

$$\min\{\ell(x, y), \ell(y, x)\} > -\infty \Leftrightarrow x = y. \quad (2)$$

Remark: (1) + (2)  $\Rightarrow \ell(x, x) = 0$ ; (2) gives the arrow of time

## Example (Minkowski space)

$M = R^{1,3}$  with  $\ell(x, y) = \|y - x\|_F$

## Example (Smooth globally hyperbolic Lorentzian manifolds)

## Example (Causal spaces $(M, \leq, \ll)$ à la Kronheimer and Penrose '67)

A time-separation function gives a nested partial order  $\leq$  and preorder  $\ll$

$$M_{\leq}^2 = \{(x, y) \in M^2 \mid \ell(x, y) \geq 0\}$$
$$M_{\ll}^2 = \{ \quad " \quad \mid \ell(x, y) > 0 \}$$

## Definition (Causal & timelike futures; causal diamonds and emeralds)

We say  $y$  lies in the *causal future* of  $x$  and write  $x \leq y$  if  $\ell(x, y) \geq 0$ ; we say  $y$  lies in the *timelike future* of  $x$  and write  $x \ll y$  if  $\ell(x, y) > 0$ . Also

$$J^+(x) = \{y \in M \mid \ell(x, y) \geq 0\} = \textit{future} \qquad J^+(X) = \bigcup_{x \in X} J^+(x)$$
$$J^-(z) = \{y \in M \mid \ell(y, z) \geq 0\} = \textit{past} \qquad J^-(Z) = \bigcup_{z \in Z} J^-(z)$$
$$J(x, z) = J^+(x) \cap J^-(z) \qquad J(X, Z) = J^+(X) \cap J^-(Z)$$
$$= \textit{diamond} \qquad \qquad \qquad = \textit{emerald}$$

and similarly  $I^\pm(y)$  and  $I(X, Z)$  but with strict inequalities  $\ell > 0$ .

## Definition (Causal and timelike paths)

A **path**  $s \mapsto \sigma(s) \in M$  is called **causal** if and only if  $\ell(\sigma(s), \sigma(t)) \geq 0$  for all  $s \leq t$ , and **timelike** if and only if  $\ell(\sigma(s), \sigma(t)) > 0$  for all  $s < t$ .

## Definition (Lorentzian length of a causal path)

The (*negative*)  **$\ell$ -length** of a causal path  $\sigma : [a, b] \rightarrow M$  is defined by

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The (*negative*)  **$\ell$ -length** of a causal path  $\sigma : [a, b] \rightarrow M$  is defined by

$$\begin{aligned} L_{-\ell}(\sigma) &:= \sup_{k \in \mathbf{N}} \sup_{a=t_0 \leq t_1 \leq \dots \leq t_k=b} - \sum_{i=1}^k \ell(\sigma(t_{i-1}), \sigma(t_i)) \\ &\geq -\ell(\sigma(a), \sigma(b)) \end{aligned}$$

by the triangle inequality.

## Definition ( $\ell$ -path)

A path  $\sigma : [0, 1] \rightarrow M$  is called an  $\ell$ -path if and only if

$$\ell(\sigma(s), \sigma(t)) = (t - s)\ell(\sigma(0), \sigma(1)) > 0 \quad \forall 0 \leq s < t \leq 1.$$

We denote the set of  $\ell$ -paths by  $\text{TPath}^\ell(M)$ .

- the above shows each  $\ell$ -path minimizes  $L_\ell$  relative to its endpoints



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## Definition

$(M, \ell)$  is *timelike  $\ell$ -path space* if each  $x \ll y$  are endpoints of an  $\ell$ -path.

- Kunzinger and Sämann's *regular globally hyperbolic Lorentzian length spaces* provide a rich class of examples of timelike  $\ell$ -path spaces
- to achieve this, they need a (metrizable) topology

## a variation on Kunzinger & Sämman (hereafter K-S)

### Definition (Metric spacetime)

A metric space  $(M, d)$  equipped with its metric topology and a time-separation function  $\ell$  is called a *metric spacetime*

### Definition (Causal curve)

A nonconstant causal *path* is called a causal *curve* if it is  *$d$ -Lipschitz*.

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A nonconstant causal *path* is called a causal *curve* if it is *d-Lipschitz*.

## Definition (Non-total imprisoning)

A metric spacetime  $(M, d, \ell)$  is *non-total imprisoning* if each compact  $K \subset M$  has a bound  $\sup L_d(\sigma) < \infty$  on  $d$ -length of causal curves  $\sigma$  in  $K$ .

## Definition (Globally hyperbolic)

A metric spacetime  $(M, d, \ell)$  is *globally hyperbolic* if it is *non-total imprisoning* and the causal diamond  $J(x, y)$  is *compact* for each  $x, y \in M$ .

## Definition (Timelike curve-connected; Lorentzian geodesic space)

A metric spacetime is *timelike curve-connected* iff each  $x \ll y$  are connected by a timelike curve; it is a *Lorentzian geodesic space* iff each  $x < y$  are connected by a causal curve  $\sigma$  with  $L_{-\ell}(\sigma) = -\ell(\sigma(0), \sigma(1))$ .

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## Theorem (M. 23+: Characterizing Lorentzian length spaces “LLS”)

Assuming global hyperbolicity, a metric spacetime  $(M, d, \ell)$  is an *LLS* iff it is (a) a *timelike curve-connected* (b) *Lorentzian geodesic space*; (c)  $\ell$  is upper semicontinuous; (d)  $\ell_+ = \max\{\ell, 0\}$  is continuous and (e)  $I^\pm(x)$  both *nonempty*  $\forall x \in M$ .

- modelled on manifolds *without* boundary
- In such spaces, *K-S* showed that metric topology coincides with the order topology induced by  $\ll$ ; this implies *g.h. LLS's are independent of  $d$ !*
- *Burtscher & Garcia-Hevelling 21+* characterize global hyperbolicity of an LLS via existence of Cauchy time functions (and surfaces)

- Unfortunately, it's not clear that all  $\ell$ -paths are **continuous**!

### Definition (Regular(ly localizable))

An LLS is **regular** (or **regularly localizable**) if for any  $L_{-\ell}$ -minimizing causal curve,  $L_{-\ell}(\sigma|_{[a,b]}) = 0$  with  $\sigma|_{[a,b]}$  **non-constant** implies  $L_{-\ell}(\sigma) = 0$ .

### Lemma (M. 23+)

*In a globally hyperbolic regular LLS, each  $\ell$ -path is continuous.*

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### Lemma (M. 23+)

*In a **globally hyperbolic regular LLS**, each  $\ell$ -path is **continuous**.*

### Corollary (Relating $\ell$ -paths to $L_{-\ell}$ -extremizers)

*In a globally hyperbolic regularly localizable Lorentzian length space:*

- Every  $\ell$ -path becomes a  $d$ -Lipschitz  $L_{-\ell}$ -minimizing curve after a continuous increasing (not necessarily Lipschitz) reparameterization.*
- K-S**: Conversely, every  $L_{-\ell}$ -minimizing curve with timelike separated endpoints becomes an  $\ell$ -path after a similar reparameterization.*



- For convenience, we deal only with metric spacetimes  $(M, d, \ell)$  which are **closed Lorentzian geodesic subsets** of **globally hyperbolic regular Lorentzian length spaces** (= g.h.r. LLS).

Now that timelike geodesics exist:

- given a triple  $x \ll y \ll z$  of timelike related events, we can compare the Lorentzian length of a bisector to that of the Minkowski triangle with the same Lorentzian sidelengths
- and similarly for generalized bisectors (i.e. ratios other than 1 : 1)

- K-S define  $\text{T-Sec}(M, d, \ell) \geq 0$  if our generalized bisector is longer (and  $\text{T-Sec}(M, d, \ell) \leq 0$  if it is shorter) for all such timelike triangles
- they define  $\pm \text{T-Sec}(M, d, \ell) \geq k \in \mathbf{R}$  analogously by comparing to timelike triangles in constant curvature Lorentzian spaces
- they also give causal sectional curvature bounds and show such bounds prevent branching of  $\ell$ -geodesics:

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### Definition (timelike nonbranching)

$(M, \ell)$  *timelike nonbranching* if for all  $\tilde{\sigma}, \sigma \in \text{TPath}^\ell$  with  $\sigma|_{[\frac{1}{3}, \frac{2}{3}]} = \tilde{\sigma}|_{[\frac{1}{3}, \frac{2}{3}]}$  then  $\tilde{\sigma} = \sigma$ ;

- **Andersson-Howard '03, Alexander-Bishop '08** shows **consistency** of these definitions with smooth timelike sectional curvature bounds on Lorentzian manifolds
- **Kunzinger-Steinbauer '22, Minguzzi-Suhr '22+** show **stability** of similar bounds
- **Beran-Ohanyan-Rott-Solis '22+**:  $\text{T-Sec}(M, d, \ell) \geq 0$  and existence of a timelike line implies **geometric splitting** of  $(M, d, \ell)$

To pass from sectional to Ricci curvature / Einstein eq requires averaging:

### Definition (Optimal transport distance between measures)

- Given metric spaces  $(M^\pm, d^\pm)$ , let  $\mathcal{P}(M)$  denote the Borel probability measures on  $M$  and  $\mathcal{P}_c(M)$  those with compact support.
- *Push-forward*: given  $G : M^- \rightarrow M^+$  Borel and  $\mu^- \in \mathcal{P}(M^-)$ , define  $\mu^+ = G_{\#}\mu^- \in \mathcal{P}(M^+)$  by  $\mu^+(B) = \mu^-(G^{-1}(B))$  for all  $B \subset M^+$ .
- Letting  $\pi^\mp(x^-, x^+) = x^\mp$  denote the projection from  $M^- \times M^+$  onto its left and right factors, set  $\Gamma(\mu^-, \mu^+) = \{\gamma \in \mathcal{P}(M^- \times M^+) \mid \pi_{\#}^\pm \gamma = \mu^\pm\}$ .

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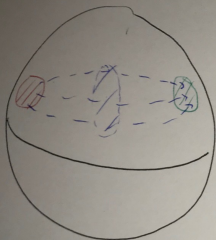
### Definition (Optimal transport distance between measures)

- Given metric spaces  $(M^\pm, d^\pm)$ , let  $\mathcal{P}(M)$  denote the Borel probability measures on  $M$  and  $\mathcal{P}_c(M)$  those with compact support.
- *Push-forward*: given  $G : M^- \rightarrow M^+$  Borel and  $\mu^- \in \mathcal{P}(M^-)$ , define  $\mu^+ = G_{\#}\mu^- \in \mathcal{P}(M^+)$  by  $\mu^+(B) = \mu^-(G^{-1}(B))$  for all  $B \subset M^+$ .
- Letting  $\pi^\mp(x^-, x^+) = x^\mp$  denote the projection from  $M^- \times M^+$  onto its left and right factors, set  $\Gamma(\mu^-, \mu^+) = \{\gamma \in \mathcal{P}(M^- \times M^+) \mid \pi_{\#}^\pm \gamma = \mu^\pm\}$ .
- Given  $p \in [1, \infty)$  and  $M = M^\pm$ , the *p-Kantorovich-Rubinstein-Wasserstein distance*  $d_p$  between  $\mu^\pm \in \mathcal{P}(M)$  defined by

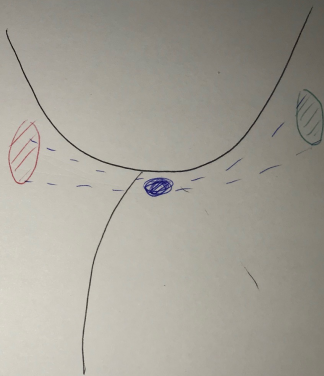
$$d_p(\mu^-, \mu^+) := \inf_{\gamma \in \Gamma(\mu^+, \mu^-)} \left( \int_{M^2} d(x, y)^p d\gamma(x, y) \right)^{1/p} \quad (3)$$

is well-known to metrize convergence against functions growing no faster than  $d(x, \cdot)^p$  provided  $(M, d)$  is *Polish* (i.e. complete and separable), in which case the inf is attained.

- If  $(M, d)$  is a geodesic space so is  $(\mathcal{P}_c(M), d_p)$ .



$R_c \geq 0$



$R_c \leq 0$

## Definition (Causal and timelike measures)

In a Polish g.h.r LLS  $(M, d, \ell)$ , given  $\mu, \nu \in \mathcal{P}_c(M)$  and  $q \in (0, 1]$  set

$$\Gamma_{\leq}(\mu, \nu) := \{\gamma \in \Gamma(\mu, \nu) \mid \gamma[M_{\leq}^2] = 1\} = \{\text{causal measures}\}$$

$$\Gamma_{\ll}(\mu, \nu) := \{ \quad " \quad \mid \gamma[M_{\ll}^2] = 1\} = \{\text{timelike measures}\}$$

## Lemma (Lift time-separation from events to measures)

$$\ell_q(\mu, \nu) := \max_{\gamma \in \Gamma_{\leq}(\mu, \nu)} \left( \int_{M^2} \ell(x, y)^q d\gamma(x, y) \right)^{1/q} \quad (4)$$

makes  $(\mathcal{P}_c(M), \ell_q)$  into a *timelike  $\ell_q$ -path space*. Not all such  $\ell_q$ -paths are  $d_1$ -continuous;

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## Definition (timelike $q$ -dualizability)

Let  $\Gamma^q = \Gamma^q(\mu, \nu)$  denote the set of maximizers. Then

- $(\mu, \nu)$  are **timelike  $q$ -dualizable** if  $\Gamma_{\ll}^q := \Gamma^q \cap \Gamma_{\ll}(\mu, \nu)$  is **non-empty**
- $(\mu, \nu)$  are **strongly** timelike  $q$ -dualizable if, in addition,  $\Gamma^q \subset \Gamma_{\ll}(\mu, \nu)$



## Definition (Polish / proper metric-measure spacetime)

A *metric-measure spacetime* refers to a Lorentzian geodesic closed subset  $(M, d, \ell)$  of a g.h.r. LLS, equipped with a Borel measure  $m \geq 0$ , finite on bounded sets. It's called *Polish* if complete and separable, and *proper* if all bounded subsets  $X \subset M$  are compact.

## Example (Smooth metric-measure spacetimes)

Any smooth, connected, Hausdorff, time-oriented,  $n$ -dimensional Lorentzian manifold  $(M^n, g)$  of signature  $(+ - \dots -)$  is second-countable (Ozeki-Nomizu '61) and its topology comes from a complete Riemannian metric  $\tilde{g}$  (Geroch '68). With the distance  $d_{\tilde{g}}$  and time-separation function  $\ell_g$  induced by  $\tilde{g}$  and  $g$  respectively, is a *proper g.h.r. LLS* provided it has no closed causal curves and causal diamonds  $J(x, y)$  are compact. Letting  $V \in C^\infty(M)$  and  $\text{vol}_g$  denote its Lorentzian volume, setting  $dm = e^{-V} d\text{vol}_g$  makes it a proper metric-measure spacetime. We call such spaces *smooth metric-measure spacetimes*.

# Synthetic timelike Ricci bounds

Desiderata:

- consistency (with the analogous smooth bounds)
- stability (preservation under suitable limits)
- consequences (e.g. Hawking-type singularity theorem)

## Definition (Entropy)

We define the relative *entropy* by

$$H(\mu \mid m) := \begin{cases} \int_M \rho \log \rho dm & \text{if } \mu \in \mathcal{P}_c^{ac}(M) \text{ and } \rho := \frac{d\mu}{dm}, \\ +\infty & \text{if } \mu \in \mathcal{P}_c(M) \setminus \mathcal{P}_c^{ac}(M). \end{cases}$$

- our sign convention is opposite to that of the physicists' entropy

Definition (TCD versus **wTCD**; e.g.  $K = 0 = 1/N$ )

For  $(K, N, q) \in \mathbf{R} \times (0, \infty] \times (0, 1]$  write  $(M, d, \ell, m) \in \mathbf{wTCD}_q^e(K, N)$  if and only if every **strongly timelike**  $q$ -dualizable finite entropy pair  $\mu_0, \mu_1 \in \mathcal{P}_c(M)$  admit a maximizer  $\gamma \in \Gamma_{\ll}^q$  and **corresponding**  $\ell_q$ -path  $(\mu_t)_{t \in [0,1]}$  along which the entropy  $t \in [0, 1] \mapsto h(t) := H(\mu_t \mid m)$  is upper-semicontinuous and distributionally solves the semiconvexity inequality

$$h''(t) \geq \frac{h'(t)^2}{N} + K \|\ell\|_{L^2(\gamma)}^2.$$

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**Cavalletti-Mondino '20+** prove all **limits** of  $\mathbf{TCD}_q^e(K, N)$  space in a suitable (pointed measured weak) sense lie in  $\mathbf{wTCD}_q^e(K, N)$  if  $N < \infty$

Fixing  $x_j \in \text{spt } m_j$  where  $m_j$  is a Radon measure, we say

$(M_j, d_j, \ell_j, m_j, x_j) \rightarrow_{pmGL} (M_\infty, d_\infty, \ell_\infty, m_\infty, x_\infty)$  iff all  $(M_j, d_j, \ell_j, m_j, x_j)$  embed  $d$ -continuously and  $\ell$ -isometrically into a single proper g.h.r. LLS  $(X, d, \ell)$  and after this embedding,  $d(x_j, x_\infty) \rightarrow 0$  and the measures  $m_j \rightarrow m_\infty$  converge weakly against continuous compactly supported test functions: i.e.

$$\lim_{j \rightarrow \infty} \int_X \phi dm_j = \int_X \phi dm_\infty \quad \forall \phi \in C_c(X).$$

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- although the limit of  $TCD_q^e(K, N)$  spaces is only  $wTCD_q^e(K, N)$ , Braun '22+ shows ( $q$ -essentially) **timelike nonbranching**  $wTCD_q^e(K, N)$  spaces are  $TCD_q^e(K, N)$ . Hence a limit of timelike nonbranching  $wTCD_q^e(K, N)$  spaces is  $wTCD_q^e(K, N)$ .

# Positive energy $\Leftrightarrow$ displacement convexity of entropy

DEF ( $N$ -Bakry-Emery modified Ricci tensor; cf. [Erbar-Kuwada-Sturm'15](#))

Given  $N \neq n$  and  $V \in C^\infty(M^n)$  define

$$R_{ij}^{(N,V)} := R_{ij} + \nabla_i \nabla_j V - \frac{1}{N-n} (\nabla_i V)(\nabla_j V)$$

THM ([M '20 Consistency](#)) Fix  $(K, N, q) \in \mathbf{R} \times (0, \infty] \times (0, 1)$  and a smooth metric-measure spacetime  $(M^n, g)$  with  $dm = e^{-V} d\text{vol}_g$ . Then  $(M, d_{\tilde{g}}, \ell_g, m) \in (w)TCD_q^e(K, N)$  if and only if either

- (a)  $N = n$ ,  $V = \text{const}$  and  $R_{ij} v^i v^j \geq K$  for all unit timelike  $(v, x) \in TM$ ,
- (b)  $N > n$  and  $R_{ij}^{(N,V)} v^i v^j \geq K$  for all unit timelike vectors  $(v, x) \in TM$ .

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[Mondino-Suhr '23](#) Use entropic convexity to say also when equality holds, giving a weak (but unstable) solution concept for Einstein field equation.

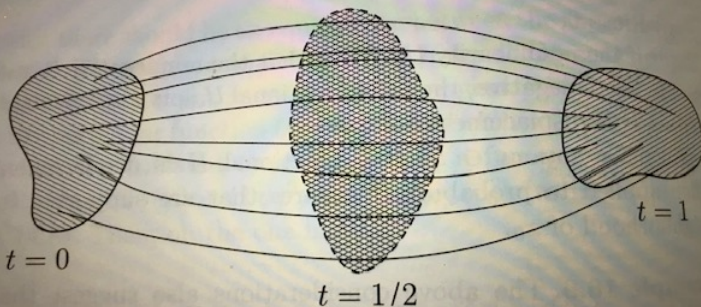
[Akdemir-Cavalletti-Colinet-M.-Santarcangelo '21](#)

$CD_p(K, N) \cap \{\text{nonbranching}\}$  is independent of  $p > 1$



# Lazy Gas Experiment (M. 94, Villani 09)

16 Displacement convexity 1



Action minimizing paths satisfy pressureless Euler equation.

## Braun 23:

- $N = \infty$
- alternative definitions of  $(w)TCD_q^{(*)}(K, N)$  based on convexity properties of a power-law entropy (instead of  $H(\mu | m)$ ) along  $\ell_q$ -paths

$$S_N(\mu) := -N \int_M \left(\frac{d\mu}{dm}\right)^{1-\frac{1}{N}} dm$$

- equivalence of most of these various definitions to  $TCD_q^e(K, N)$  assuming ( $q$ -essential) timelike nonbranching

## Cavalletti-Mondino '22:

- asked for a synthetic formulation of the null energy condition (NEC)
- stronger physical motivation; more widely satisfied
- forms a key hypothesis in the Penrose singularity theorem for stellar collapse

## Theorem (M' 23+)

Fix a smooth spacetime  $(M^n, g)$  with signature  $(+ - \dots -)$  and symmetric 2-tensor field  $Q$ . Then

$$Q(v, v) \geq 0 \quad \forall (v, x) \in TM \text{ with } g(v, v) = 0$$

holds if and only if each compact subdomain  $X \subset M^n$  admits a timelike lower bound  $K = K_X$  for  $Q$ , i.e.

$$Q(v, v) \geq Kg(v, v) \quad \forall (v, x) \in TX \text{ with } g(v, v) > 0$$

Taking  $Q = \text{Ric}^{(N, V)}$  (or  $Q_{ab} = 8\pi T_{ab}$  if Einstein holds) motivates

## Definition (A synthetic null energy-dimension condition)

Given  $(N, q) \in (0, \infty] \times (0, 1)$ , a metric-measure spacetime  $(M, d, \ell, m)$  satisfies  $wNC_q^{(e)}(N)$  if and only if each compact subset  $X \subset M$  admits a bound  $K = K_X \in \mathbf{R}$  such that  $J(X, X) \in wTCD_q^{(e)}(K, N)$ .

- in other words, the null energy condition is equivalent to a variable lower (semicontinuous) bound  $k(x)$  on the timelike Ricci curvature
- Consistency with smooth (NEC) +  $(n \leq N)$ : follows from theorem above
- for ( $q$ -essentially) timelike nonbranching spaces  $wNC_q^e(N) = NC_q^*(N)$
- Consequences: many of [Cavalletti & Mondino](#)'s nice properties (timelike Bishop-Gromov and Brunn-Minkowski inequalities, needle decomposition, etc) of nonsmooth  $wTCD_q^{(e)}(K, N)$  spacetimes are therefore inherited directly by  $wNC_q^{(e)}(N)$  spacetimes; c.f. [Braun-M.](#) (in progress)
- (In)stability: on the other hand, any stability result appears hopeless unless we are will to assume some **uniformity in  $j$**  of the lower bound  $k(\cdot)$  along the sequence  $(M_j, d_j, \ell_j, m_j, x_j)$

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- **BBCGMORS**: infinitesimally Minkowski refinement of  $TCD_q^e$ , analogous to [Ambrosio-Gigli-Savarè's](#) infinitesimally Hilbertian refinement  $RCD$  of  $CD$
- OPEN: it is natural to wonder if a Penrose singularity theorem can hold in this nonsmooth setting? (c.f. [Graf '20](#) on  $g \in C^1$  spacetimes  $(M^n, g)$ , [Ketterer '23+](#) entropic convexity derivation on  $g \in C^\infty$  spacetimes)

## Example (Instability displaying topology change)

Fix a slab  $M := \{(x^1, \dots, x^n) \in \mathbf{R}_1^n \mid x^1 \in [-1, 1]\}$  of Minkowski space, with its usual metric  $g$  and  $\tilde{g}$  but  $dm_j(x) = e^{jg(x,x)} d\text{vol}_g(x)$ .

Then  $(M, d_{\tilde{g}}, \ell_g, \hat{m}_j, 0) \rightarrow (M, d_{\tilde{g}}, \ell_g, m_\infty, 0)$  where  $m_\infty = \frac{1}{2}(\delta_z + \delta_{-z})$  and  $z = (1, 0, \dots, 0)$ . Here  $d\hat{m}_j(x) = dm_j(x) / \int_M dm_j$ .

Moreover  $(M, d_{\tilde{g}}, \ell_g, \hat{m}_j, 0) \in NC_q^e(\infty)$  if and only if  $j < \infty$

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Moreover  $(M, d_{\tilde{g}}, \ell_g, \hat{m}_j, 0) \in NC_q^e(\infty)$  if and only if  $j < \infty$  (and  $\text{spt } \mu_j$  is connected if and only if  $j < \infty$ )

## Proof.

$$\text{Ric}_x^{N,V}(w, w) = 0 + (-j)g(w, w) - \frac{1}{N-n}g(w, x)^2.$$



OPEN: Might the weak or dominant energy condition be stable?

## A few references

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THANK YOU