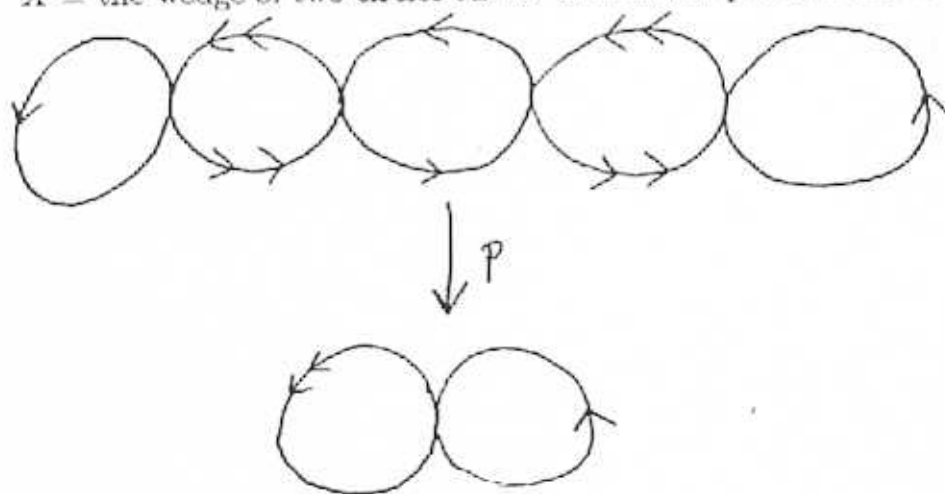


## Covering Spaces

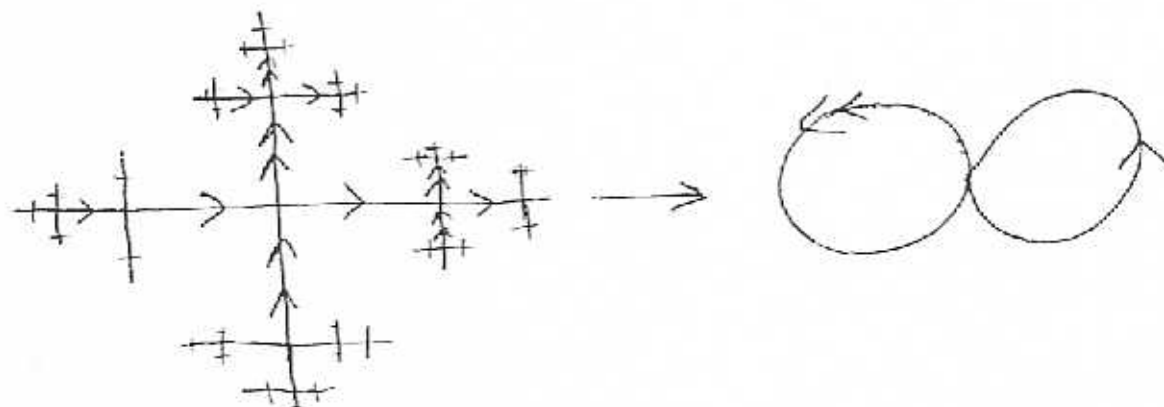
*Definition.* Let  $X, \tilde{X}$  be connected, locally arc-wise connected spaces, and  $p : \tilde{X} \rightarrow X$  a continuous function. Then the pair  $(\tilde{X}, p)$  is a *covering space* of  $X$  iff for all  $x \in X$  there exists an open set  $U$  containing  $x$  such that  $p$  restricted to each component of  $p^{-1}(U)$  is a homeomorphism onto  $U$ .

*Example.* Let  $X = S^1$ ,  $\tilde{X} = \mathbb{R}^1$ , and  $p : \mathbb{R}^1 \rightarrow S^1$  be defined by  $p(t) = (\cos t, \sin t)$ .

*Example.*  $X \cong$  the wedge of two circles and  $\tilde{X}$  is as in the picture below.

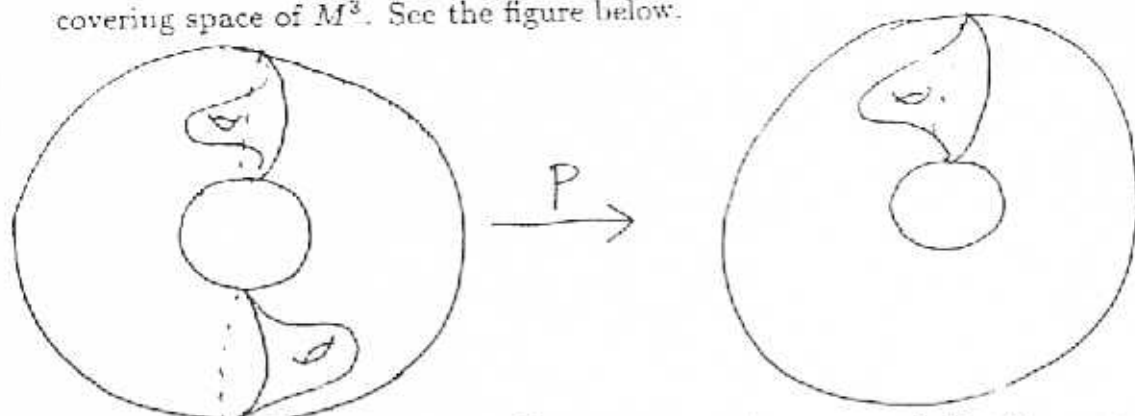


*Example.*



*Example.* Let  $\Sigma^2$  be a PL non-separating, two-sided, properly embedded surface in a connected 3-manifold  $M^3$ . Gluing two copies of  $M^3 - N(\Sigma^2)$  together gives a

covering space of  $M^3$ . See the figure below.



**Theorem CS.1.** Let  $(\tilde{X}, p)$  be a covering space of  $X$ . If  $x, y \in X$ , then  $|p^{-1}(x)| = |p^{-1}(y)|$ .

*Definition.* If  $(\tilde{X}, p)$  is a covering space of a space  $X$  and  $n = |p^{-1}(x)|$  for some  $x \in X$ , then  $(\tilde{X}, p)$  is called an  $n$ -fold cover.

*Exercise.* Find two 2-fold covers of the Klein bottle.

*Definition.* Given a covering space  $(\tilde{X}, p)$  of  $X$  and a continuous function  $f : Y \rightarrow X$ , then a continuous function  $\tilde{f} : Y \rightarrow \tilde{X}$  is called a *lift of  $f$*  if  $p \circ \tilde{f} = f$ .

**Theorem CS.2.** If  $(\tilde{X}, p)$  is a cover of  $X$ ,  $Y$  is connected, and  $f, g : Y \rightarrow \tilde{X}$  are continuous functions such that  $p \circ f = p \circ g$ , then  $\{y \mid f(y) = g(y)\}$  is empty or all of  $Y$ .

**Theorem CS.3.** Let  $(\tilde{X}, p)$  be a cover of  $X$  and let  $f$  be a path in  $X$ . Then for each  $x_0 \in \tilde{X}$  such that  $p(x_0) = f(0)$ , there exists a unique lift  $\tilde{f}$  of  $f$  satisfying  $\tilde{f}(0) = x_0$ .

**Theorem CS.4.** (Homotopy Lifting Lemma). Let  $(\tilde{X}, p)$  be a cover of  $X$  and  $\alpha, \beta$  two paths in  $X$ . If  $\tilde{\alpha}, \tilde{\beta}$  are lifts of  $\alpha, \beta$  satisfying  $\tilde{\alpha}(0) = \tilde{\beta}(0)$ , then  $\tilde{\alpha} \sim \tilde{\beta}$  iff  $\alpha \sim \beta$ .

**Theorem CS.5.** Let  $(\tilde{X}, p)$  be a cover of  $X$ ,  $\alpha$  a loop in  $X$ , and  $\tilde{x}_0 \in \tilde{X}$  such that  $p(\tilde{x}_0) = \alpha(0)$ . Then  $\alpha$  lifts to a loop based at  $\tilde{x}_0$  iff  $[\alpha] \in p_*(\pi_1(\tilde{X}, \tilde{x}_0))$ .

*Exercise.*  $\pi_1(S^1) \cong \mathbb{Z}$ .

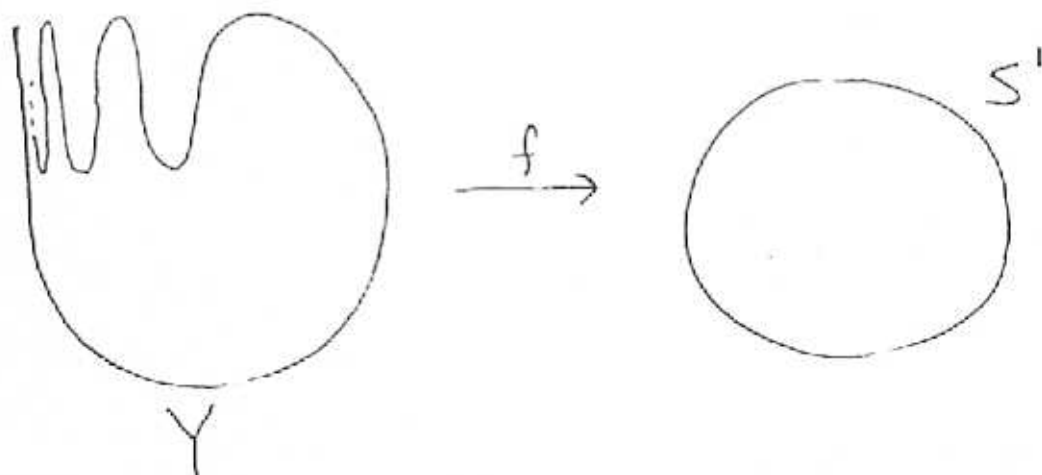
**Theorem CS.6.** If  $(\tilde{X}, p)$  is a cover of  $X$ , then  $p_*$  is a monomorphism (i.e., 1-1) from  $\pi_1(\tilde{X})$  into  $\pi_1(X)$ .

**Theorem CS.7.** Let  $(\tilde{X}, p)$  be a cover of  $X$ ,  $x_0 \in X$ , and  $\tilde{X}$  be path connected. Fix  $\tilde{x}_0 \in p^{-1}(x_0)$ . Then a subgroup  $H$  of  $\pi_1(X)$  is in  $\{p_*(\pi_1(\tilde{X}, \tilde{x}_0))\}_{p(\tilde{x})=x_0}$  iff  $H$  is a conjugate of  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$ .

**Theorem CS.8.** Let  $(\tilde{X}, p)$  be a cover of  $X$  and let  $\tilde{X}$  be path connected. Choose  $x \in X$ , then  $|p^{-1}(x)| = |\pi_1(x) : p_*(\pi_1(\tilde{x}))|$ .

*Example.* Find a 3-fold cover  $(\tilde{X}, p)$  of  $S^1$  and describe  $p_*(\pi_1(\tilde{X}))$ .

*Exercise.* Let  $X = S^1$ ,  $\tilde{X} = \mathbb{R}$ ,  $(\tilde{X}, p)$  be a covering space of  $X$ , and  $Y$  as in the figure. When does a map  $f : Y \rightarrow X$  not have a lift?



**Theorem CS.9.** Let  $(\tilde{X}, p)$  be a covering space of  $X$  and  $\tilde{x}_0 \in \tilde{X}$ ,  $x_0 \in X$  with  $p(\tilde{x}_0) = x_0$ . Also let  $f : Y \rightarrow X$  be continuous where  $Y$  is connected and locally arc-wise connected and  $y_0 \in Y$  such that  $f(y_0) = x_0$ . Then there is a lift  $\tilde{f} : Y \rightarrow \tilde{X}$  such that  $p \circ \tilde{f} = f$  and  $\tilde{f}(y_0) = \tilde{x}_0$  iff  $f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(\tilde{X}, \tilde{x}_0))$ . Furthermore,  $\tilde{f}$  is unique.

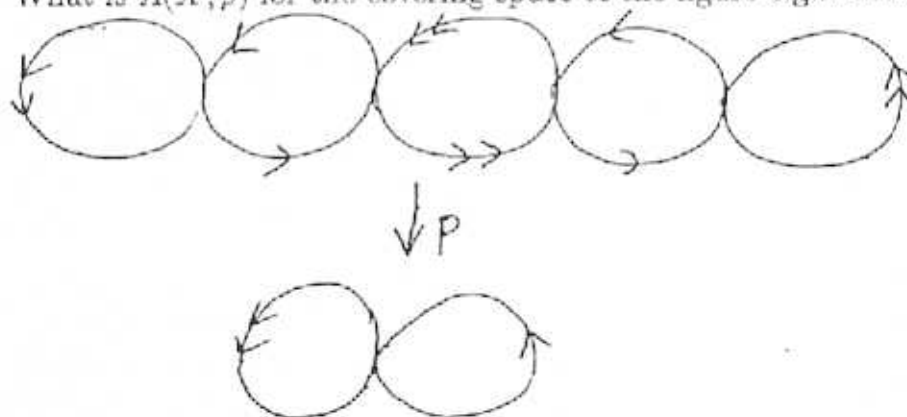
**Definition.** Let  $(\tilde{X}_1, p_1)$  and  $(\tilde{X}_2, p_2)$  be covering spaces of  $X$ . Then a map  $f : \tilde{X}_1 \rightarrow \tilde{X}_2$  such that  $p_2 \circ f = p_1$  is called a *homomorphism*. If there exists a

homomorphism  $g : \tilde{X}_2 \rightarrow \tilde{X}_1$  such that  $f$  and  $g$  are inverses, then  $f$  is called an *isomorphism*.

**Theorem CS.10.** Let  $(\tilde{X}_1, p_1)$  and  $(\tilde{X}_2, p_2)$  be covering spaces of  $X$ . Let  $\tilde{x}_1 \in \tilde{X}_1$  and  $\tilde{x}_2 \in \tilde{X}_2$  such that  $p_1(\tilde{x}_1) = p_2(\tilde{x}_2)$ . Then there is an isomorphism  $f : \tilde{X}_1 \rightarrow \tilde{X}_2$  with  $f(\tilde{X}_1) = \tilde{X}_2$  iff  $p_*(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_*(\pi_1(\tilde{X}_2, \tilde{x}_2))$ .

**Definition.** Let  $(\tilde{X}, p)$  be a covering space. Then an isomorphism from  $\tilde{X}$  to itself is called a *covering transformation*. The group of covering transformations is denoted  $A(\tilde{X}, p)$ .

**Exercise.** What is  $A(\tilde{X}, p)$  for the covering space of the figure eight shown below.



**Theorem CS.11.** If  $(\tilde{X}, p)$  is a covering space of  $X$  and  $f \in A(\tilde{X}, p)$ , then  $f = Id_{\tilde{X}}$  iff  $f$  has a fixed point.

**Definition.** Let  $(\tilde{X}, p)$  be a covering space of  $X$ . If  $p_*(\pi_1(\tilde{X})) \triangleleft \pi_1(X)$ , then  $(\tilde{X}, p)$  is a *regular covering space*.

**Exercise.** Find all regular 4-fold covering spaces of a figure eight.

**Theorem CS.12.** If  $(\tilde{X}, p)$  is a regular cover of  $X$  and  $x_1, x_2 \in \tilde{X}$  such that  $p(x_1) = p(x_2)$ , then there exists a unique  $h \in A(\tilde{X}, p)$  such that  $h(x_1) = x_2$ .

**Theorem CS.13.** If  $(\tilde{X}, p)$  is a regular covering space of  $x$  and  $f$  is a homomorphism from  $\tilde{X}$  to  $\tilde{X}$ , then  $f \in A(\tilde{X}, p)$ .

**Theorem CS.14.** Let  $(\tilde{X}, p)$  be a regular covering space of  $X$ . Then  $A(\tilde{X}, p) \cong \pi_1(x)/p_*(\pi_1(\tilde{X}))$ .

*Definition.* A space  $X$  is called *semi-locally simply connected* if every  $x \in X$  is contained in an open set  $U$  such that every loop in  $U$  based at  $x$  is homotopically trivial in  $X$ .

**Theorem CS.15.** Let  $X$  be connected, locally arc-wise connected, and semi-locally simply connected. Then for every  $G < \pi_1(X, x_0)$  there is a connected covering space  $(\tilde{X}, p)$  of  $X$  and  $\tilde{x}_0 \in \tilde{X}$  such that  $p_*(\pi_1(\tilde{X}, \tilde{x}_0)) = G$ . Furthermore,  $(\tilde{X}, p)$  is unique up to isomorphism.

*Definition.* A connected, locally arc-wise connected cover is called *universal* if its fundamental group is trivial.

**Theorem CS.16.** Every connected, locally arc-wise connected, semi-locally simply connected space has a universal covering space.

*Exercise.* Find universal covers for the Klein bottle, torus, and projective plane. Then show explicitly that  $A(\tilde{X}, p) \cong \pi_1(X)$ .