

# Constant Rank Theorem

Thm: If  $f: M^m \rightarrow N^n$  smooth and  $Df$  has constant rank  $k$  in a nbhd of  $p \in M$ , then  $\exists$  charts  $(U, \varphi) \ni p$ ,  $(V, \psi) \ni f(p)$  such that.

$$\psi \circ f \circ \varphi^{-1}: (x_1, \dots, x_m) \mapsto (x_1, \dots, x_k, 0, \dots, 0)$$

Step 0 Set up: choose charts so that  $M = \text{open in } \mathbb{R}^m$ ,  $p=0$ ,  $N = \mathbb{R}^n$ ,  $f(p)=0$ .

If  $Df(0)$  has rk  $k$ , this means that at least one  $k \times k$  minor in matrix  $Df(0)$  must be nonsingular. Reorder coordinates so it is the top left  $k \times k$  minor. Label coords as follows:

$$\mathbb{R}^m \ni (x_1, \dots, x_k, y_1, \dots, y_{m-k}) \quad (\underbrace{x}_k, \underbrace{y}_{m-k}) \quad (\underbrace{u_1, \dots, u_k}, \underbrace{v_1, \dots, v_{n-k}}) \in \mathbb{R}^n$$

With these choices,  $f(x, y) = (Q(x, y), R(x, y))$  where  
 $Q = \pi_1 \circ f$ ,  $R = \pi_2 \circ f$ ,  $\pi_1$  is proj.  $(u, v) \mapsto u$   
 $\pi_2$  is proj.  $(u, v) \mapsto v$

and minor  $\frac{\partial Q}{\partial x} = \left[ \frac{\partial Q_i}{\partial x_j} \right]_{i,j=1 \dots k}$  has nonzero determinant

$$Df = \begin{bmatrix} \frac{\partial Q}{\partial x} & \vdots & \frac{\partial Q}{\partial y} \\ \frac{\partial R}{\partial x} & \ddots & \frac{\partial R}{\partial y} \end{bmatrix}$$

↙ k ↘

block matrix

Strategy: change coords  $\phi: \mathbb{R}^m \rightarrow \mathbb{R}^m$  and  $\psi: \mathbb{R}^n \rightarrow \mathbb{R}^n$   
so that

$$\psi \circ f \circ \phi^{-1}: (x, y) \mapsto (x, 0).$$

Step 1 (Define  $\phi$  coord change on domain which puts  $Q$  in normal form)

Def<sup>n</sup>

$$\begin{array}{ccc} \mathbb{R}^m & \xrightarrow{\phi} & \mathbb{R}^m \\ (x, y) & \longmapsto & (Q(x, y), y) \end{array}$$

$$D\phi = \begin{pmatrix} \frac{\partial Q}{\partial x} & \frac{\partial Q}{\partial y} \\ 0 & 1 \end{pmatrix} \text{ is invertible at } 0 \text{ since } \frac{\partial Q}{\partial x} \text{ is.}$$

IFT  $\Rightarrow \exists$  local inverse  $\phi^{-1}: (x, y) \mapsto (A(x, y), B(x, y))$ .

$$\text{but } (x, y) = \phi(\phi^{-1}(x, y)) = (Q(A, B), B) \Rightarrow B = y$$

Then  $f \circ \phi^{-1}: (x, y) \mapsto (x, S = R(A(x, y), y))$

$$\text{and } D(f \circ \phi^{-1}) = \begin{pmatrix} I_k & 0 \\ \frac{\partial S}{\partial x} & \frac{\partial S}{\partial y} \end{pmatrix}$$

but this must still have rk  $k$ . so  $\frac{\partial S}{\partial y} = 0$

$$\Rightarrow f \circ \phi^{-1}: (x, y) \mapsto (x, S(x))$$

Step 2 (Change coords on codomain to eliminate  $S$ )

$$\psi: \mathbb{R}^n \longrightarrow \mathbb{R}^n$$

$$\text{Define } (u, v) \mapsto (u, v - S(u))$$

this is clearly diffeo (since inverse  $(u, v) \mapsto (u, v - S(u))$ )

$$\text{and } \psi \circ f \circ \phi^{-1}: (x, y) \mapsto (x, S(x)) \mapsto (x, 0). \quad \square$$