

# Subspaces & Linear independence

$\mathbb{F}_3^2$  v.space over field  $\mathbb{F}_3 = \{0, 1, 2\}$

$$\mathbb{F}_3^2 = \{(a, b) \in \mathbb{F}_3 \times \mathbb{F}_3\}.$$

minimal

$$\begin{matrix} \{0\} \subseteq V \\ \text{subspace} \end{matrix}$$

$$\begin{matrix} \dots \\ \bullet \\ \bullet \\ \bullet \\ \dots \end{matrix}$$

$$\begin{matrix} \text{span}(v) \\ \{\dots\} \\ \{0, v, 2v\} \end{matrix}$$

$$\begin{matrix} \dots \\ \bullet \\ \bullet \\ \bullet \\ \dots \end{matrix}$$

$$\begin{matrix} z(2,1) \\ z(2,1) \\ z(2,1) \\ \dots \\ \dots \end{matrix}$$

$$\begin{aligned} \frac{1}{2} \cdot (2,2) &= 2 \cdot (2,2) \\ &= (4,4) = (1,1) \end{aligned}$$

$$\text{Span}((2,2)) = \text{Span}((1,1))$$

how many subspaces are there of this type?

① choose a nonzero vector

$v$   
in  $\mathbb{F}_p^2$  there are  
 $p^2 - 1$  of these

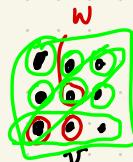
② take scalar multiples of it

$\Rightarrow$  besides zero there are  
 $p-1$  distinct multiples  
of  $v$ .

the # of these subspaces is

$$\frac{p^2 - 1}{p-1} = p+1 = 3+1 = 4$$

# of nonzero vectors in  $\text{span}(v)$   
# of nonzero vectors in  $\text{span}(v)$

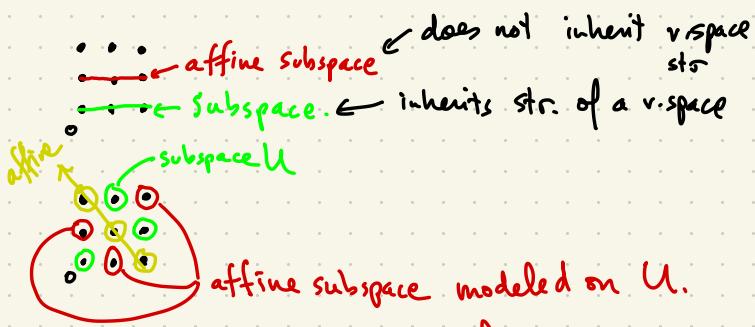


choose  $w \notin \text{span}(v)$ .

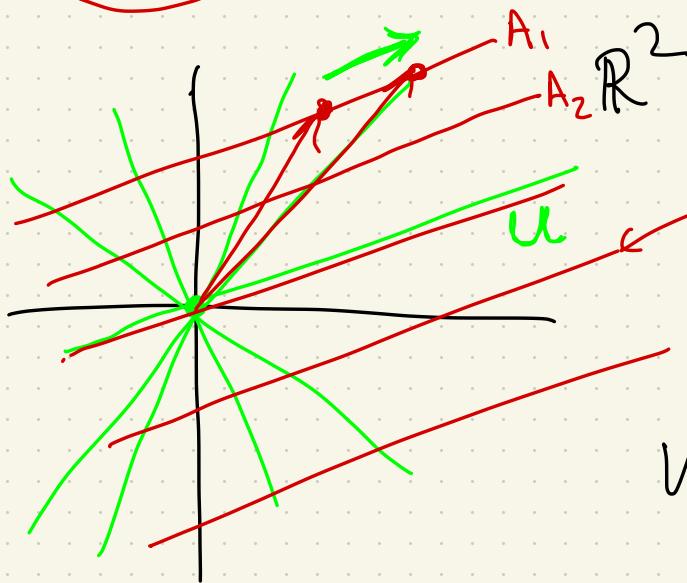
$$\text{Span}(v \neq 0, w \notin \text{span}(v)) = \mathbb{F}_3^2$$

check.

$$1 + 1 + 4 = 6$$



affine subspace modeled on  $U$ .

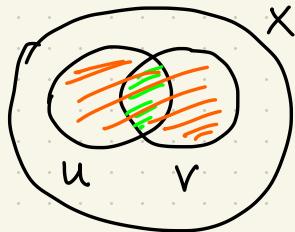


$$U \subseteq V$$

subspace

affine lines  
modeled  
on  $U$ .

## Operations on subspaces:



$U, V$  subsets of  $X$

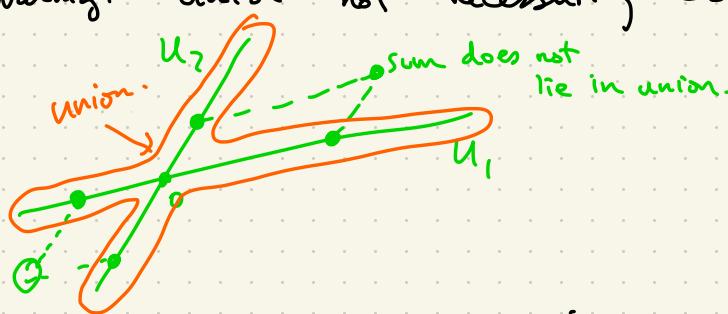
generate new subsets:

- intersection  $U \cap V$  "and"
- union  $U \cup V$  "or"

Analogy for vector subspaces: if  $U_1, U_2 \subseteq V$  are subspaces

have ① intersection  $U_1 \cap U_2 \subseteq V$  is a subspace.

② Warning: union not necessarily a subspace

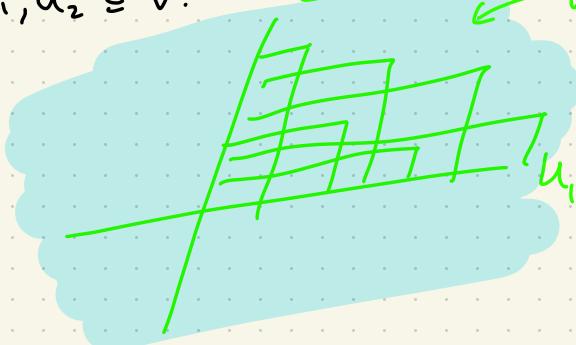


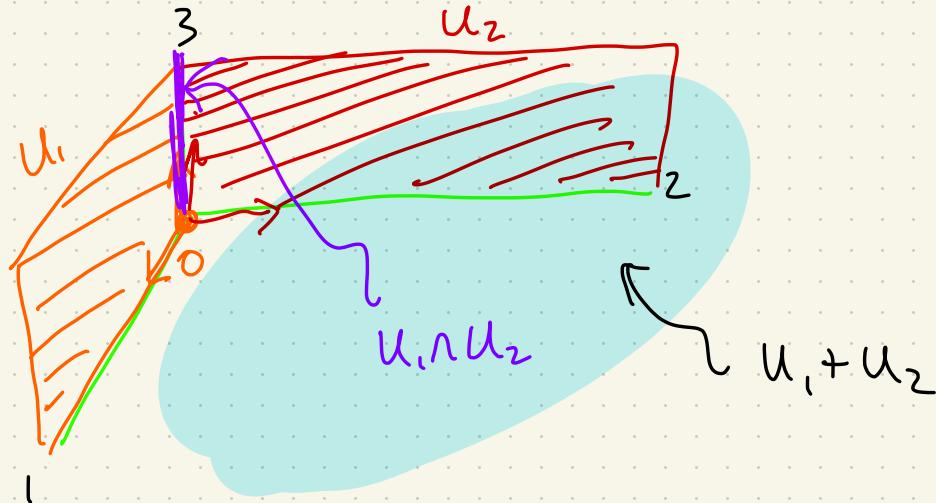
②' Sum of subspaces  $U_1 + U_2 = \{u_1 + u_2 : u_1 \in U_1, u_2 \in U_2\}$

$U_1, U_2 \subseteq V$ .

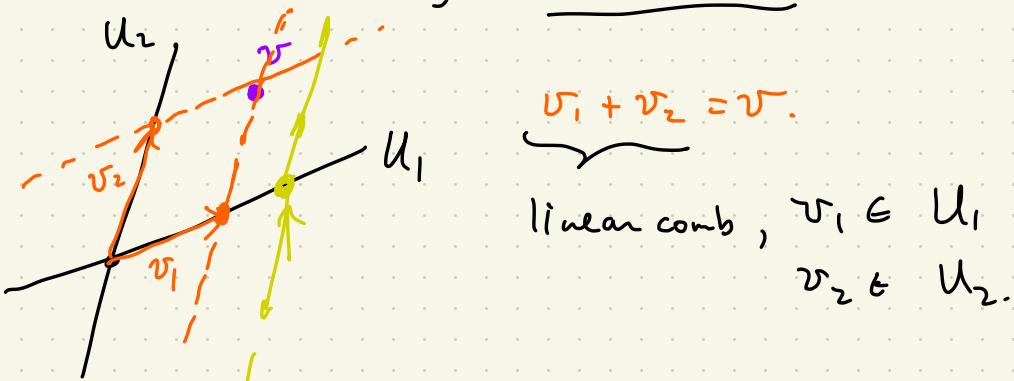
$U_2$

$U_1 + U_2$





Property that a sum  $U_1 + U_2$  may or may not have: being DIRECT.



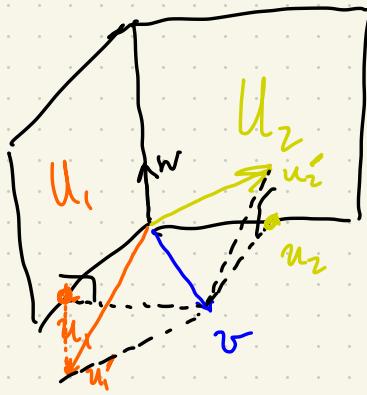
Def<sup>n</sup>  $U_1 + U_2$  is DIRECT (denoted by  $U_1 \oplus U_2$ )

when any  $v \in U_1 + U_2$  has unique expression

$$v = u_1 + u_2$$

$$u_1 \in U_1$$

$$u_2 \in U_2$$



$$\boxed{u_1 + u_2 = v}$$

$$u'_1 + u'_2 = v$$

decomp. of  
v  
NOT unique  
So  $u_1 + u_2$   
NOT  
DIRECT

where  $u'_1 = u_1 + w$

 $u'_2 = u_2 - w$ 
 $w \in u_1 \cap u_2$

$$U_1 + U_2 = \mathbb{R}^3$$

Span takes list of vectors in V  
outputs a linear subspace  $\text{Span}(v_1, \dots, v_n)$  of V.

Defn

$$\text{Span}(v_1, \dots, v_n) = \{ a_1 v_1 + \dots + a_n v_n : a_i \in \mathbb{F} \}$$

$$\text{Span}(\underbrace{\quad}_{\text{empty list}}) = \{0\}$$

Note:  $\text{Span}(v_1, \dots, v_n) = \text{Span}(v_1) + \text{Span}(v_2) + \dots + \text{Span}(v_n)$

Def "list is redundant"

a list  $(v_1, \dots, v_n)$  is linearly dependent

when there exists  $a_1, \dots, a_n$ , not all zero,

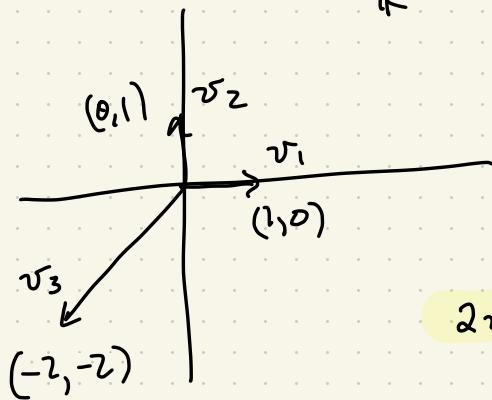
with

$$a_1 v_1 + a_2 v_2 + \dots + a_n v_n = 0$$

("a nontrivial linear combination equals zero")

If a list is not linearly dep. we say it is  
Linearly INDEPENDENT.

$\mathbb{R}^2$



$(v_1, v_2, v_3)$  is

linearly dependent.

$$a_1 = 2 \quad a_2 = 2 \quad a_3 = 1$$

$$2v_1 + 2v_2 + 1 \cdot v_3 = 0$$

Note: can interpret this as saying  $v_3 = -2v_1 + (-2)v_2$   
i.e.  $v_3 \in \text{Span}(v_1, v_2)$

$$\text{or } v_1 = \frac{1}{2}((-2)v_2 + (-1)v_3) = -v_2 - \frac{1}{2}v_3$$

$$\text{i.e. } v_1 \in \text{Span}(v_2, v_3).$$

Note: A list  $(v_1, \dots, v_n)$  of vectors in  $V$   
is linearly independent

$\Leftrightarrow \text{Span}(v_1) + \text{Span}(v_2) + \dots + \text{Span}(v_n)$   
is DIRECT.

Def<sup>n</sup>:  $V$  is finite-dimensional

when it can be spanned by a finite  
list of elements

i.e.  $V = \text{Span}(v_1, \dots, v_n)$ .  
( $V$  infinite-dimensional if not).

e.g.  $\mathbb{R}^n$  is finite-dimensional

because

$$\mathbb{R}^n = \text{Span}(e_1, \dots, e_n, e_1, e_3, e_2 + e_4)$$

$$e_1 = (1, 0, \dots, 0)$$

$$e_2 = (0, 1, 0, \dots, 0)$$

$$\vdots \\ e_n = (0, 0, \dots, 0, 1)$$

e.g.  $\mathcal{P}(\mathbb{R})$  polynomials  
not finite dim.

(2.21) *Lemma:* If  $(v_1, \dots, v_n)$  is linearly dependent, *exists a redundant vector*

then ①  $\exists v_j$  in the span of the previous vectors on list,  
i.e.  $v_j \in \text{Span}(v_1, \dots, v_{j-1})$

② and we may remove  $v_j$  without changing span of list.

Proof:  $(v_1, \dots, v_n)$  is lin. dep means  $\exists (a_1, \dots, a_n) \in \mathbb{F}^n \setminus 0$

$$\text{s.t. } a_1 v_1 + \dots + a_n v_n = 0$$

(if 0 is on the list  
the list is lin. dep.)

Scan from end  $\{a_n, a_{n-1}, a_{n-2}, \dots\}$  until we find  $a_j \neq 0$

i.e. this eqn is actually  $a_1 v_1 + \dots + \overset{\leftarrow \text{nonzero number}}{a_j v_j} = 0$

since  $a_j \neq 0$  we can solve for  $v_j$ :

$$v_j = -\underbrace{(a_j^{-1})}_{\text{exists since } \mathbb{F} \text{ field.}} (a_1 v_1 + \dots + a_{j-1} v_{j-1}).$$

$\Rightarrow v_j \in \text{Span}(v_1, \dots, v_{j-1}) \Rightarrow \text{proved ①}$

②:  $\text{Span}(v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_n) = A$

claim  $\rightarrow$   $\text{Span}(v_1, \dots, v_n) = B$

$A \subseteq B$  obvious  $B \ni w = b_1 v_1 + \dots + b_n v_n$

$B \subseteq A: w \in B \Rightarrow w = b_1 v_1 + \dots + b_{j-1} v_{j-1} + b_j (-a_j^{-1}(a_1 v_1 + \dots + a_{j-1} v_{j-1}))$

$$+ b_{j+1} v_{j+1} + \dots + b_n v_n.$$

$\in A$ .

(2.23)

Thm:  $\text{len}(\text{lin indep list}) \leq \text{len}(\text{spanning list}).$

i.e. If  $V = \text{span}(w_1, \dots, w_n)$

and if  $(u_1, \dots, u_m)$  is lin indep.

then  $m \leq n$ .

Proof algorithm: use ordering + lemma:

① adjoin  $u_i$  to spanning list:

$(u_1, w_1, \dots, w_n) \begin{cases} \text{still spans } V \\ \text{linearly dependent} \\ \text{since } u_i \in V = \text{Span}(w_1, \dots, w_n) \end{cases}$

by the lemma  $\exists w_j$  which is in  $\text{Span}(u_1, w_1, \dots, w_{j-1})$ .

eliminate  $w_j$ , the result  $(u_1, w_1, \dots, w_{j-1}, w_{j+1}, \dots, w_n)$

still spans  $V$ .

Continue this process:  $(u_1, u_2, w_1, \dots, \overset{\downarrow}{w_j}, \dots, w_n) \begin{cases} \text{still spans } V \\ \text{lin. dependent} \Rightarrow \exists w_i \text{ to eliminate} \\ \text{each time add } u \xrightarrow{\text{lemma}} \exists \text{ vector on list linearly dependent on previous elements} \\ \text{this cannot be one of the } u_i \text{'s by assumption. } \Rightarrow \text{remove one of the } w_i \Rightarrow \text{remove it.} \end{cases}$

so for each new  $u_i$ , there is a  $w_j$  which we can remove  
and continue.  $\Rightarrow \# u_i \leq \# w_i$ .

Review:  $(v_1, \dots, v_n)$  lin. independent i.e.  $\exists$  redundancy from the p.o.v of span.

there is some nontrivial lin. comb.  $= 0$ .

$$\begin{array}{l} \text{X} \\ 2 \\ a_1v_1 + a_2v_2 = 0 \quad \text{and } a_i \neq 0. \\ 2v_1 - v_2 = 0 \end{array}$$

i.e. can find  $(a_1, \dots, a_n)$  not all zero  
s.t.  $a_1v_1 + \dots + a_nv_n = 0$ .

Def: Let  $V$  be a finite dim. v-space (it has a finite spanning list),  
A basis for  $V$  is a list  $(v_1, \dots, v_m)$  i.e.  $V = \text{Span}(v_1, \dots, v_n)$  for some  $v_i \in V$ .  
of vectors which

- ① spans  $V$
- ② lin. independent.

Ex.:  $V = \mathbb{F}^n$  has a natural basis as follows:

$$\left. \begin{array}{l} e_1 = (1, 0, 0, \dots, 0) \\ e_2 = (0, 1, 0, \dots, 0) \\ \vdots \\ e_n = (0, 0, \dots, 0, 1) \end{array} \right\}$$

$$\begin{aligned} \text{① any vector } v \in V &\text{ can be written as} \\ v = (a_1, \dots, a_n) & a_i \in \mathbb{F} \\ v = a_1 \cdot e_1 + a_2 \cdot e_2 + \dots + a_n \cdot e_n & \\ \text{i.e. } \text{Span}(e_1, \dots, e_n) &= V. \end{aligned}$$

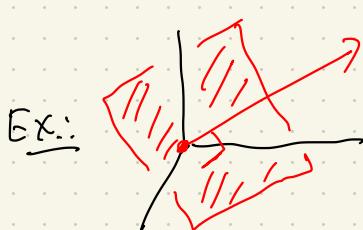
$$\lambda_1(1, 0, 0) + \lambda_2(0, 1, 0) + \lambda_3(0, 0, 1)$$

$$\begin{aligned} &= (\lambda_1, \lambda_2, \lambda_3) \\ &= (0, 0, 0) \end{aligned}$$

②  $(e_1, \dots, e_n)$  is lin. indep.

$$\begin{aligned} \text{if } \lambda_1 e_1 + \dots + \lambda_n e_n &= 0 \quad \lambda_i \in \mathbb{F} \\ &\parallel \\ (\lambda_1, \dots, \lambda_n) &= 0 = (0, 0, \dots, 0) \\ \Rightarrow \lambda_i &= 0 \quad \forall i. \end{aligned}$$

(linear comb is triv. no redundancy)



$U \subset \mathbb{R}^3$  lin subspace

$$U = \{(x, y, z) : x + y + z = 0\}$$

Q.: find a basis for  $U$ ?

(Pessimist approach)

(highly  
non-unique  
choice.)

Thm:

Every finite dimensional v-space has a basis

Pf:

If  $V = \{0\}$  then the empty list () is a basis.

Otherwise can express  $V = \text{Span}(v_1, \dots, v_n)$   $v_i$  nonzero.

for each  $v_2, v_3, \dots, v_n$  we ask if it is in the span of previous vectors  
— if so, remove it  
— if not keep it.

after this, span is unchanged, and no vector is in span of previous. By Lemma the resulting list is lin.indep.

$\Rightarrow$  lin.indep. list spans  $V \Rightarrow$  BASIS. D.

Thm:

(Build basis) Any lin.indep. list in a finite dim. v-sp.

can be extended to a basis

Pf: If  $(v_1, \dots, v_m)$  is lin.independent, let  $(w_1, \dots, w_n)$  be a spanning list.

Step 1: check if  $w_1 \in \overbrace{\text{Span}(v_1, \dots, v_m)}$  If so, leave  $B$  unchanged. If not, add  $w_1$  to  $B$ .  
new  $B = (v_1, \dots, v_m, w_1)$ .

Step  $j$  If  $w_j$  in span of the latest  $B$  (from step  $j-1$ ) leave unchanged, if not, add as before.

finally after  $n$  steps:

list looks like

$(v_1, \dots, v_m, w_{i_1}, w_{i_2}, \dots, w_{i_k})$

- have (by Lemma) a lin.indep. list.
- but  $\text{Span}(w_{i_1}, \dots, w_n) \subseteq \text{Span}(v_1, \dots, v_m, w_{i_1}, \dots, w_{i_k})$

$\mathbb{R}^3$

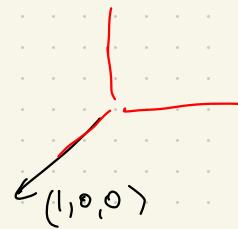
( ) lin. indep.

$(x, y, z)$

$((1, 0, 0))$  lin. indep.

$x, y, z \in \mathbb{R}$

$$a(1, 0, 0) = 0$$



$$a = 0$$

$$( (1, 0, 0), (1, 1, 0) )$$

$$a(1, 0, 0) + b(1, 1, 0) = (0, 0, 0)$$

$$\begin{matrix} \\ || \\ (a, 0, 0) + (b, b, 0) \end{matrix}$$

$$(a+b, b, 0) = (0, 0, 0)$$

$$1) b = 0$$

$$(v_1, v_2, v_3) \quad | \quad a = 0,$$

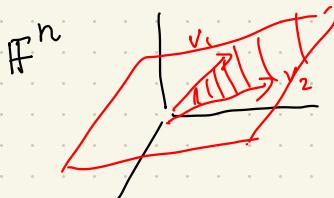
$$\left( \underbrace{(1, 0, 0)}, \underbrace{(1, 1, 0)}, \underbrace{(1, 1, 1)} \right) \text{ Basis}$$

$$\text{Span} = \mathbb{R}^3$$

Defn: Let  $V$  be finite dimensional  
(Incomplete)  $V$ -space. The dimension of  $V$   
is the length of a basis  
for  $V$ .

This definition depends on the fact that all bases <sup>of  $V$</sup>  have  
the same length — otherwise "ill-defined".

Comments about explicit subspaces:



Subspaces:  $\text{Span}(v_1, \dots, v_k)$

$$\begin{aligned} v_1 &= (a_1, \dots, a_n) & a_i \in \mathbb{F} \\ v_2 &= (b_1, \dots, b_n) & b_i \in \mathbb{F} \\ &\vdots & \vdots \\ v_k &= (c_1, \dots, c_n) & c_i \in \mathbb{F} \end{aligned}$$

$k \times n$  grid of numbers

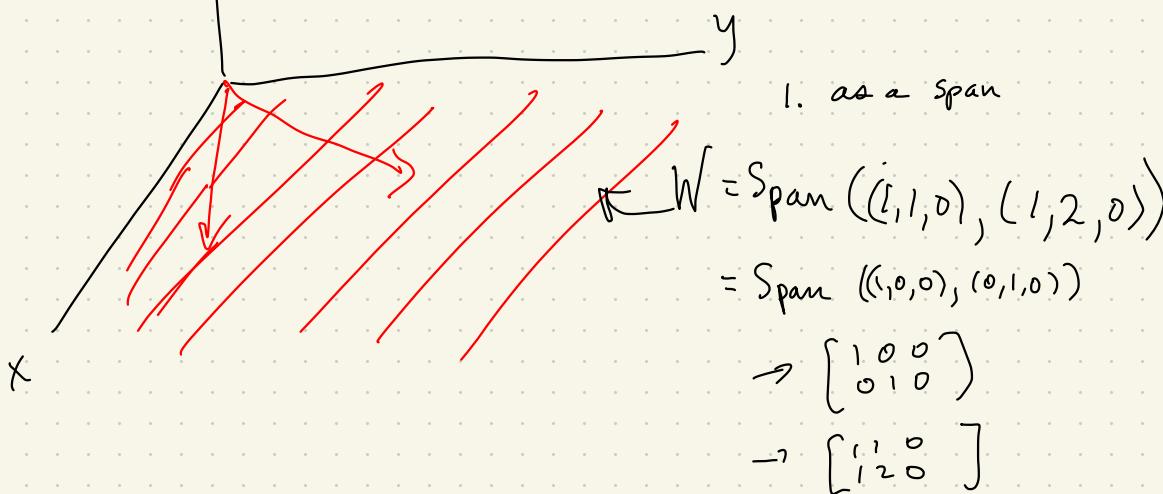
alternatively:  $(a_1, \dots, a_n)$   $a_i \in \mathbb{F}$

$(b_1, \dots, b_n)$   $b_i \in \mathbb{F}$

$$\left\{ (x_1, \dots, x_n) \in \mathbb{F}^n : \begin{array}{l} \checkmark a_1 x_1 + \dots + a_n x_n = 0 \\ \checkmark b_1 x_1 + \dots + b_n x_n = 0 \\ \vdots \\ \checkmark \end{array} \right.$$

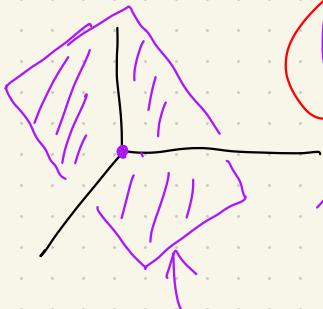
constraint -

$\mathcal{U} = xy \text{ plane} \subseteq \mathbb{R}^3$   
subspace.



2. as a constraint

$$\left\{ (x, y, z) : 0 \cdot x + 0 \cdot y + 1 \cdot z = 0 \right\} \quad [0 \ 0 \ 1]$$



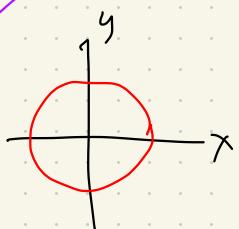
$$U = \{(x, y, z) : \}$$

Solutions to this = linear subspace.

$$x + y + z = 0 \},$$

Linear equations.

$$\{ (x, y) : x^2 + y^2 = 1 \},$$



Q.: find a basis for  $U$

$$X = \{(x, y, z) : \begin{array}{l} (x, y, z) \in W \\ (x, y, z) \in U \end{array}\}$$

$$(1, 0, 0)$$

$$(0, 1, 0)$$

$$(0, 0, 1)$$

$$0 \cdot x + 0 \cdot y + 1 \cdot z = 0 \quad \text{and}$$

$$1 \cdot x + 1 \cdot y + 1 \cdot z = 0$$

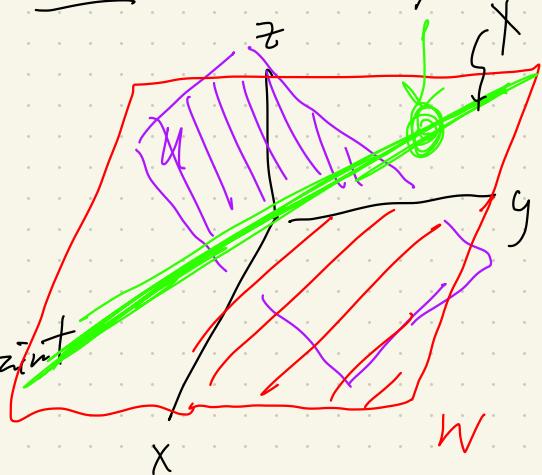
defines a linear subspace of  $\mathbb{R}^3$

$$\{(x, y, z) \text{ st. in } W \text{ and in } U\} -$$

$$X = U \cap W$$

1<sup>st</sup> constraint

2<sup>nd</sup> constraint



$P_{\leq k}(F)$

polynomials of maximum degree  $k$   
w/ coeffs in  $F$ .

Q.: what is the dimension?

Basis

$(1, x, x^2, \dots, x^k)$

$$\boxed{\dim(P_{\leq k}(F)) = k+1}$$

$$\left\{ \begin{array}{l} 1 \\ x + 1 \\ x^2 + x + 1 \\ x^3 + x^2 + x + 1 \\ \vdots \\ \vdots \\ x^k + x^{k-1} + \dots + x + 1 \end{array} \right.$$

$P(F)$  v-space over  $F$

$(v_1, \dots, v_m) \quad v_i \in P(F).$   $v_i$  has max degree  $d_i$

s.t.  $P(F) = \text{Span } (v_1, \dots, v_m)$

$$a_1 v_1 + \dots + a_m v_m$$

$v_1 = a_0 + a_1 x + a_2 x^2 + \dots + a_{d_1} x^{d_1}$

$v_1$  has a max degree  $d_1$

$\lambda_1 v_1 = (\lambda_1 a_0) + (\lambda_1 a_1) x + \dots + (\lambda_1 a_{d_1}) x^{d_1}$

$$\lambda_1 v_1 + \dots + \lambda_n v_n \xrightarrow{x \mapsto \vec{x}} \vec{x} \cdot \vec{a}$$

linear constraints of the form  $a_1 x_1 + \dots + a_n x_n = 0$

$$\mathbb{F}^n \ni (x_1, \dots, x_n) \quad a_i \in \mathbb{F}$$

this constraint is really  $f^{-1}(0)$

$$f: \mathbb{F}^n \longrightarrow \mathbb{F}$$

$$(x_1, \dots, x_n) \longmapsto a_1 x_1 + \dots + a_n x_n$$

Span  $\longrightarrow$  Finite dimensionality.

Linear (in)dependence  $\longrightarrow$  basis (lin ind + spanning)

Lemma: length (linearly dep list)  $\leq$  length (spanning list)

$\Rightarrow$  Defn of Dimension

Def:  $\dim V = \text{len}(\text{basis})$   $\checkmark$   $V$  has a basis! (Lemma)  
this makes sense only if all bases have same length!

Lemma: If  $B, B'$  are bases for  $V \Rightarrow \text{len}(B) = \text{len}(B')$

Pf:  $B$  spans  $B'$  lin indep  $\Rightarrow \dim(B') \leq \text{len}(B)$  Lemma

$B'$  spans  $B$  lin indep  $\Rightarrow \text{len}(B) \leq \text{len}(B')$  Lemma

$\Rightarrow \text{len}(B) = \text{len}(B')$ .

ex:  $\mathcal{P}_{\leq n}(F) = \{ a_0 + a_1x + \dots + a_nx^n : a_i \in F \}$ .

has a basis  $B = (P_0, P_1, \dots, P_n)$

$$\begin{aligned} P_0 &= 1 \\ P_1 &= x \\ P_2 &= x^2 \\ &\vdots \\ P_n &= x^n \end{aligned}$$



$$\text{spans: } c = a_0P_0 + a_1P_1 + \dots + a_nP_n$$

lin. indep:

$$a_0 + a_1x + \dots + a_nx^n = 0$$

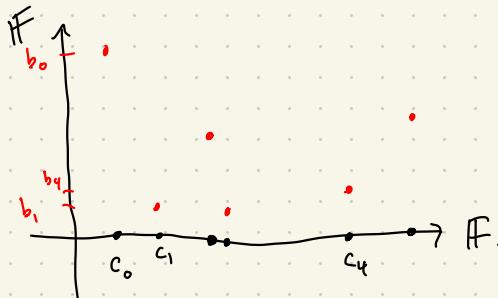
$$\Rightarrow a_0 = a_1 = a_2 = \dots = a_n = 0$$

$$\text{len } B = n+1$$

$$\boxed{\dim \mathcal{P}_{\leq n}(F) = n+1}$$

Ex.:  $P_n(\mathbb{F})$  same vector space, different basis.

$$\dim P_n(\mathbb{F}) = n+1.$$



Problem: given input numbers

$$c_0, c_1, \dots, c_n \in \mathbb{F}$$

and output values.

$$b_0, \dots, b_n,$$

can we find a polynomial which fits the data.

i.e.  $p$  s.t.

$$p(c_i) = b_i$$

?

heuristic

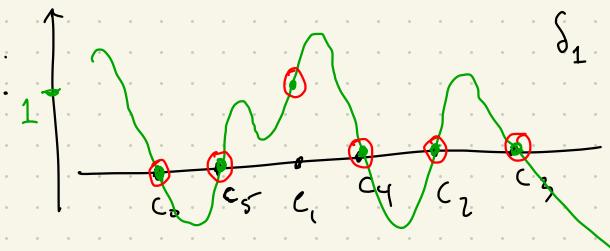
Guess: this might be possible with  $P_n(\mathbb{F})$   
since  $\dim = n+1$ ,

Reason why problem is hard: basis we are used to is

$$(1, x, x^2, \dots, x^n)$$

so unclear how choice of coeffs  $a_0 + a_1 x + \dots + a_n x^n$   
affects value of poly at  $c_0, \dots, c_n$ .

Alternatively: Suppose we had a different  
basis  $(\delta_0, \dots, \delta_n)$  adapted to positions  $c_0, \dots, c_n$  as  
follows.



$\delta_1$

$$\delta_1(c_0) = 0$$

$$\delta_1(c_1) = 1$$

$$\delta_1(c_2) = 0$$

:

$$\delta_1(c_n) = 0.$$

have "indicator basis" adapted to  $(c_0, \dots, c_n)$

$$\delta_i(c_j) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{else.} \end{cases}$$

Can we solve using this basis?

need  $p$  st.  $p(c_i) = b_i$

$b_i \delta_i$  has values

$$\begin{array}{ll} 0 & \text{at } c_0 \\ 0 & \text{at } c_1 \\ 0 & \text{at } c_{i-1} \\ b_i & \text{at } c_i \\ 0 & \text{at } c_{i+1} \\ \vdots & \vdots \\ 0 & \text{at } c_n \end{array}$$

conclude  $b_0 \delta_0 + \dots + b_n \delta_n = p$  !!

↑ satisfies all constraints!

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how do we find such a basis? (Lagrange Interpolation)  
data fitting.

$\delta_i$  should have value 1 at  $c_i$  and 0 at  $c_j$   $j \neq i$

$$\delta_i = \frac{(x - c_0)(x - c_1) \cdots (x - c_{i-1})(x - c_{i+1}) \cdots (x - c_n)}{(c_i - c_0)(c_i - c_1) \cdots (c_i - c_{i-1})(c_i - c_{i+1}) \cdots (c_i - c_n)}$$

has zeros at all  $c_j$   $j \neq i$ .

at  $c_i$  it has value

this will work if the  $c_i$  are pairwise distinct  $(c_i - c_0)(c_i - c_1) \cdots$

Summary:

Lagrange Interpolation

Fix pairwise distinct elts of  $\mathbb{F}$

 $c = (c_0, \dots, c_n)$ 

Create basis  $B_c = (\delta_0, \dots, \delta_n)$

s.t.  $\delta_i(c_j) = \begin{cases} 1 & i=j \\ 0 & \text{else.} \end{cases}$

$$\delta_i = \prod_{\substack{j=0 \\ j \neq i}}^n \frac{(x - c_j)}{(c_i - c_j)}$$

Fit to data is  $\sum_{i=0}^n b_i \delta_i = p \in \mathcal{P}_n(\mathbb{F})$

$$p(c_i) = b_i$$

Rem: How do we know it's a basis?

It is lin indep.  
Its length  
 $\boxed{\text{is } n+1}$   
↓  
basis

It is linearly independent!

If  $(a_0, \dots, a_n)$  are such that

$$f = a_0 \delta_0 + \dots + a_n \delta_n = 0$$

want to show all  $a_i = 0 \Rightarrow$  list  $B_c$  lin indep.

$$\text{but } \cancel{f(c_i)} = a_i = 0$$

