

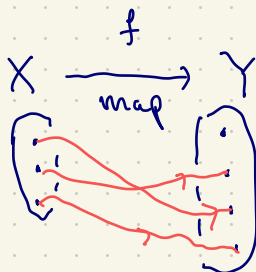
Finite Sets

Finite-dim v.spaces. / $\mathbb{F}$

X

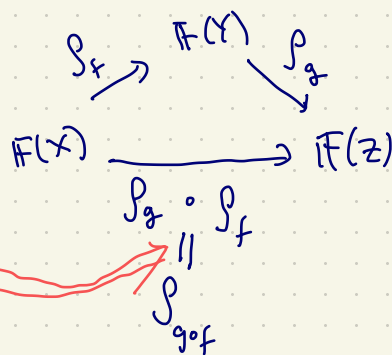
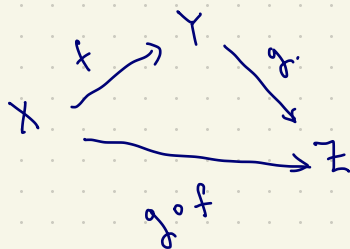
construct

$\mathbb{F}(X) =$ formal linear combos of elts of X .



construct

$\mathcal{P}_f: \mathbb{F}(X) \rightarrow \mathbb{F}(Y)$
Linear map



"compos. of linear maps of f, g
is the linear map of compos. of f, g "

Functor

from

Sets

to

Vector Spaces

categories

Review: V, W f.d. v.sp./ $\mathbb{F} \Rightarrow L(V, W) \ni A$ can be described as a $\dim W \times \dim V$ matrix of elts in $\mathbb{F}$: choose bases β for V , γ for W and write

$$M(A, \beta, \gamma) = {}_{\gamma}[A]_{\beta} = \begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \cdots & a_{kn} \end{bmatrix} \quad (\dim W = k, \dim V = n)$$

Changing β, γ will affect the matrix $k \times k$ $k \times n$ $n \times n$
to $\beta', \gamma' \Rightarrow {}_{\gamma'}[A]_{\beta'} = \underbrace{{}_{\gamma'}[I_W]}_{\substack{\text{invertible} \\ \text{matrix} \\ P}} {}_{\gamma}[A]_{\beta} \underbrace{[I_V]_{\beta'}}_{\substack{\text{invertible} \\ \text{matrix} \\ Q^{-1}}}$

$$Q = {}_{\beta}[I]_{\beta}$$

To emphasize the fact that the initial and final $k \times n$ matrices represent the same linear map, we can define two matrices A, A' to be "equivalent" when $\exists P, Q$ invertible matrices. s.t.

$$A' = PAQ^{-1}$$

implements el. column operations.

$$\underbrace{\begin{bmatrix} 1 & & \\ & \lambda & \\ & & 1 \end{bmatrix}}_{\text{implements ERO on } A} \begin{bmatrix} \vdots & & \\ \cdots & A & \cdots \\ \vdots & & \end{bmatrix} = \begin{bmatrix} 1 & & \\ & \lambda & \\ & & 1 \end{bmatrix}$$

Simplify given $k \times n$ matrix A without changing its equivalence class.

① GE (Row ops) to RRE

$$\begin{bmatrix} 1 & * & 0 & 0 & * & 0 & * \\ & 1 & 0 & * & * & 0 & * \\ & & 1 & * & * & 0 & * \\ & & & 1 & * \\ 0 & & & & & & 1 & * \end{bmatrix}$$

② GE (Col. ops) to clear all unknowns (*)

result

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ & & 1 & 0 & 0 & 0 & 0 & 0 \\ & & & 1 & 0 & 0 & 0 & 0 \\ & & & & 1 & 0 \\ 0 & & & & & & 1 & 0 \end{bmatrix}$$

③ Column switching to bring everything to right.

$$\begin{bmatrix} 1 & & & & 0 \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ 0 & & & & 1 \end{bmatrix} \text{ offset diagonal.}$$

Thm:

Every $k \times n$ matrix A is equiv. to

block matrix $\begin{bmatrix} 0 & I \\ 0 & 0 \end{bmatrix}$ $\begin{matrix} \leftarrow r \times r \\ r = \text{rk } A \end{matrix}$

In terms of bases: for any linear

$$\text{map } A: V \rightarrow W$$

$$\exists \text{ bases } \beta \quad \gamma \quad \text{s.t.} \\ (e_1, \dots, e_n) \quad (f_1, \dots, f_k).$$

$$A(e_1) = f_1$$

$$A(e_2) = f_2$$

$$\vdots$$
$$A(e_r) = f_r$$

$$A(e_i) = 0 \quad \forall i > r$$

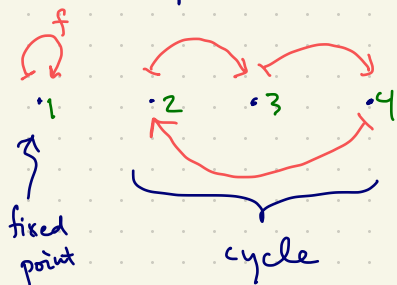
$$\gamma[A]_{\beta} = \left[\begin{array}{c|c} I_{r \times r} & 0 \\ \hline 0 & 0 \end{array} \right]$$

Recall studied maps

$$X \xrightarrow{f} X \xrightarrow{g} X$$

$\searrow$
 $g \circ f$

self-maps of a set.



represent the map f in cycle notation

$$(234)$$

There is a corresponding description for Linear operators
"Linear operators on V " = $L(V, V)$.

Key difference: since domain + codomain coincide,
we need not choose two bases;
one basis for V suffices.

i.e. $A \in L(V, V)$ has a matrix after single
choice $\beta = (e_1, \dots, e_n)$ basis for V .

$${}_{\beta}[A]_{\beta} = \begin{bmatrix} n \times n \\ \text{(square)} \\ \text{matrix.} \end{bmatrix}$$

$\Rightarrow$ have a different equivalence relation on $n \times n$ matrices

Def: Two $n \times n$ matrices A, A' are Similar or conjugate when $\exists P$ invertible $n \times n$ st.

$$A' = PAP^{-1}$$

Motivation: if we change β to β' then

$$\beta' [A]_{\beta'} = \underbrace{\beta' [I]_{\beta}}_P \beta [A]_{\beta} \underbrace{\beta [I]_{\beta'}}_{P^{-1}}$$

$\swarrow \text{Inverse!} \searrow$

[The fact that column ops are forced by the row ops means that simplification does not occur by GE.]

$\Rightarrow$ new approach is needed to "understand" the operator:

Spectral theory

eigenvector analysis

What kind of operators are there?

$L(V, V)$.

$${}_{\beta}[0]_{\beta} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad \text{indep. of basis.}$$

0) $0 : v \mapsto 0 \quad \forall v.$

1) $I : v \mapsto v \quad \forall v$

$${}_{\beta}[I]_{\beta} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

2) $\lambda \in \mathbb{F} \quad \lambda I : v \mapsto \lambda v$

$${}_{\beta}[\lambda I]_{\beta} = \begin{bmatrix} \lambda & & & 0 \\ & \lambda & & \\ & & \lambda & \\ 0 & & & \lambda \end{bmatrix}$$

3) $A = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \leftarrow \text{operator on } \mathbb{F}^2$

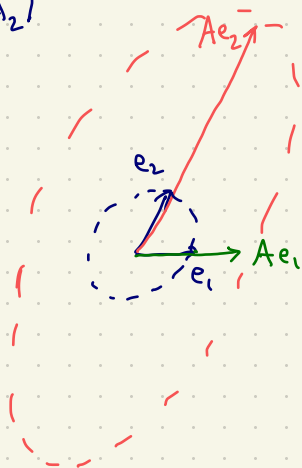
written in std basis

$$e_1 = (1, 0)$$

$$e_2 = (0, 1)$$

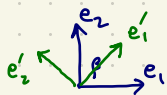
$$\boxed{\beta = (e_1, e_2)}$$

$$\beta[A]_{\beta}$$



While this operator is simple/easy to understand when written as above, if we change basis, won't be simple:

$$\text{eg } \begin{pmatrix} \lambda_1=1 \\ \lambda_2=2 \end{pmatrix} \quad \underbrace{\begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}}_P \quad \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}}_A \quad \underbrace{\begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}}_{P^{-1}} = \begin{pmatrix} 3/2 & 1/2 \\ 1/2 & 3/2 \end{pmatrix}$$



same operator!
different basis!

$$\beta'[\underline{I}]_{\beta} \quad \beta[A]_{\beta} \quad \beta[\underline{I}]_{\beta'}$$

$$\beta' = \left(\begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}, \begin{pmatrix} -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \right) = (e'_1, e'_2)$$

In the above example,

e_1, e_2 play the role of "fixedpts"

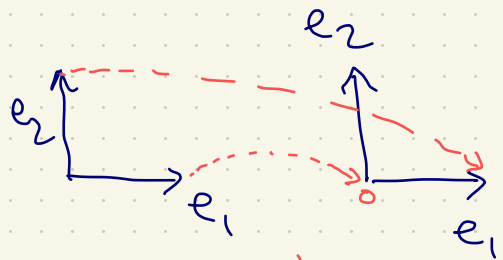
e'_1, e'_2 not

e_1, e_2 are examples of **Eigenvectors**.

the scale factors λ_1, λ_2 are **Eigenvalues**
examples of

4) besides eigenvectors, there is another type of behaviour: **cycles**

e.g.
$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$



one eigenvect.

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

$e_1 \mapsto 0$

$e_2 \mapsto e_1$

$e_3 \mapsto e_2$

$\beta = (e_1, \dots, e_n)$ basis for V

$\beta' = (e'_1, \dots, e'_n)$ $e'_i \in V^*$

$$e'_i(e_1) = 1$$

$$(e_2) = 0$$

$\vdots$

$$(e_n) = 0$$

$$e'_i(e_j) = \begin{cases} 1 & i=j \\ 0 & \text{else} \end{cases}$$

Prop

β' is lin. indep.: let

$$a_1 e_1 + \dots + a_n e_n = 0$$

WTS $a_i = 0 \quad \forall i$.

strategy: Show $a_1 = 0$, then show $a_2 = 0$,

apply LHS to e_1 : $a_1 e'_1(e_1) + a_2 e'_2(e_1) + \dots$

$$= a_1$$

RHS to e_1 : $0(e_1) = 0.$

$$\Rightarrow a_1 = 0$$

apply LHS to e_2

$$a_1 e_1'(e_2) + a_2 e_2'(e_2) + \dots$$

$$= a_2$$

RHS to e_2

$$= 0$$

repeat.

...

$$a_2 = 0$$



$$\begin{array}{c} e_1' \\ \swarrow \searrow \\ e_2' \end{array}$$

$$e_1' + e_2' = 0$$

apply LHS to e_1

$$e_1'(e_1) + e_2'(e_1) = 1 + 0 = 1.$$

$$\text{RHS} = 0$$

$$1 = 0 \neq$$

Polynomials :

$$x^2 + 1 \neq (x - \lambda_1)(x - \lambda_2)$$

extend numbers $\parallel$ | |
(x-i)(x+i) real

once the extension $\mathbb{R} \subset \mathbb{C}$ is done, we can factor all polynomials

$$p(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n$$

$$a_i \in \mathbb{C}$$

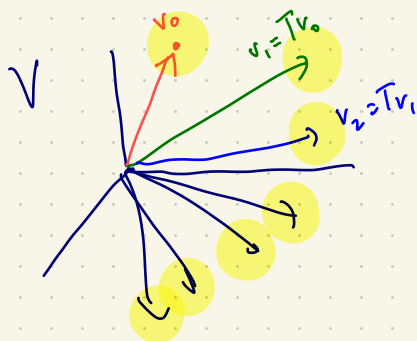
$$= a_n (z - \lambda_1) (z - \lambda_2) \dots (z - \lambda_n)$$

$\swarrow \quad \downarrow \quad \searrow \quad \swarrow$
 $\mathbb{C}$

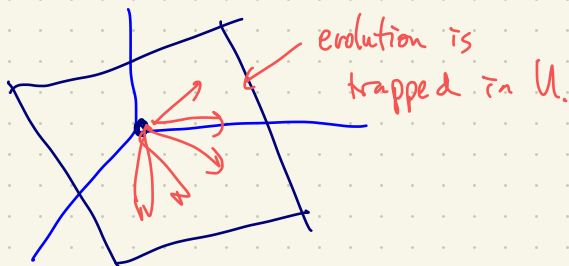
V
f.d.v.sp / $\mathbb{F}$

$$T \in L(V, V)$$

Def: Invariant subspace $U \subseteq V$ linear subspace
 st. $T(U) \subseteq U$ i.e. $Tu \in U \quad \forall u \in U$.

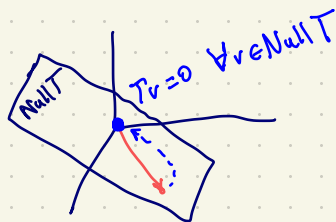


given $T \in L(V, V)$



Ex.: ① $\{0\} \subseteq V$ invt.

① Null T



② Range(T)

i.e. $\exists v \in V \quad u = Tv$

$$\begin{array}{c} \downarrow \\ u \\ Tu = Tu \end{array}$$

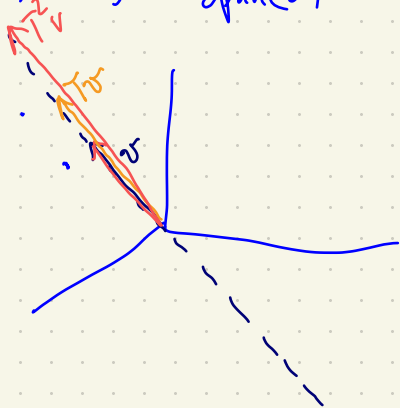
$Tu \in \text{Range}(T).$

Defⁿ: an **eigenvalue** of T is a number $\lambda \in \mathbb{F}$

s.t. $\exists v$ nonzero with $v \in \text{Null}(T - \lambda I)$
 $(T - \lambda I)v = 0$

$$Tv = \lambda v$$

The set of eigenvalues in $\mathbb{F}$ is called the **SPECTRUM**
 notice, this means $\text{Span}(v)$ is an invariant subspace^{of T}



Warning:

$0 \in \mathbb{F}$ is
 a valid eigenval.

Any $v \neq 0$ in $\text{Null}(T)$ is an eigenvector with eigenvalue 0.

How to find eigenvectors?

Solve $Av = \lambda v$

i.e. $(A - \lambda I)v = 0$

2-step procedure

① find value λ s.t. $A - \lambda I$ has nullity > 0

i.e. $A - \lambda I$ not injective

i.e. $A - \lambda I$ not surjective.

i.e. $\text{rank}(A - \lambda I) < \dim V$.

② once found specific λ , " λ_1 " must find nonzero

$$v \in \text{Null}(A - \lambda_1 I)$$

Eg.: $A = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 2 & -5 & 4 \end{pmatrix}$ (note Null $A = \{0\}$ so 0 not eigenvalue.)

① $A - \lambda I = \begin{pmatrix} -\lambda & 1 & 0 \\ 0 & -\lambda & 1 \\ 2 & -5 & 4-\lambda \end{pmatrix}$

Q.: When does this have nonzero null space.

A: do GE

$$\begin{array}{ccc} -2\lambda & 2 & 0 \\ 0 & -\lambda & 1 \\ \hline 2\lambda & -5\lambda & \lambda(4-\lambda) \\ \hline 0 & -2-5\lambda & \lambda(4-\lambda) \end{array}$$

$$\begin{array}{ccc} 0 & 2 & \lambda(4-\lambda)-5 \\ \hline 0 & 2\lambda & \lambda(\lambda(4-\lambda)-5) \end{array}$$

$$\begin{array}{ccc} 0 & 0 & \lambda(\lambda(4-\lambda)-5)+2 \end{array}$$

factor this

$$\begin{pmatrix} -2\lambda & 2 & 0 \\ 0 & -\lambda & 1 \\ 0 & 0 & -(\lambda-1)^2(\lambda-2) \end{pmatrix}$$

$$\lambda=1 \rightarrow \begin{pmatrix} -2 & 2 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

vector in null space of this.

eigenvector for $\lambda=1$

this will have nonzero Null space $\Leftrightarrow \lambda=1$ or $\lambda=2$.

$\Rightarrow \lambda=1, \lambda=2$ are

the 2 eigenvalues for this operator.

② choose $\lambda=1$ first plug in explicit value.

Thm: (Existence of an eigenvalue over $F = \mathbb{C}$)

Pf: Suppose $\dim V = n$ choose any nonzero vector $v \in V$.

$(v, Tv, T^2v, T^3v, \dots, T^n v) \leftarrow \begin{matrix} \text{MUST} \\ \text{BE} \\ \text{LIN DEP!!!} \end{matrix}$

i.e. $\exists a_i$ not all zero s.t.

$$a_0 v + a_1 Tv + \dots + a_n T^n v = 0$$

$$(a_0 I + a_1 T + a_2 T^2 + \dots + a_n T^n) v = 0$$

view this as a polynomial $p(z)$!
with coeffs in field $\mathbb{C}$!

$$= a_n (T - r_1 I) (T - r_2 I) \dots (T - r_n I) \cdot v$$

Roots of $p(z)$ in $\mathbb{C}$.

product of $(T - r_k I)$ has nonzero null space
i.e. not invertible

$\Rightarrow$ at least one of the factors is not invertible,

i.e. $(T - r_i I)$ has a nonzero null space
 $\Rightarrow$ eigenval $\lambda_i = r_i$.
eigenvector is ?? find it.

if we can find a basis of

eigenvectors

$$\beta = (e_1, \dots, e_n)$$

Then $\beta [T] \beta =$
$$\begin{bmatrix} \lambda_1 & 0 & 0 & 0 \\ 0 & \lambda_2 & 0 & 0 \\ 0 & 0 & \lambda_3 & 0 \\ \vdots & \vdots & \vdots & \ddots \\ 0 & 0 & 0 & \lambda_n \end{bmatrix}$$

this finding
of a basis of
eigenvectors
is called

Diagonal
Matrix.

"Diagonalization".

Thm

v_1, v_2 eigenvectors with eigenvalues
 λ_1, λ_2 and $\lambda_1 \neq \lambda_2$.

Then (v_1, v_2) lin. indep.

Pf:

suppose

$$a_1 v_1 + a_2 v_2 \stackrel{\textcircled{1}}{=} 0$$

then apply T

$$a_1 T v_1 + a_2 T v_2 = T 0 = 0$$

$$a_1 \lambda_1 v_1 + a_2 \lambda_2 v_2 \stackrel{\textcircled{2}}{=} 0$$

want to show $a_1 = 0$ and $a_2 = 0$

to show $a_1 = 0$: take $\lambda_2 \textcircled{1} - \textcircled{2}$

$$a_1 \underbrace{(\lambda_2 - \lambda_1)}_{\neq 0} \underbrace{v_1}_{\neq 0} = 0 \Rightarrow \textcircled{a_1 = 0}$$

$a_2 = 0$:

take $\lambda_1 \textcircled{1} - \textcircled{2} \Rightarrow \textcircled{a_2 = 0}$

$$a_2 (\lambda_1 - \lambda_2) v_2 = 0$$

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = A$$

$$Ae_1 = e_1$$

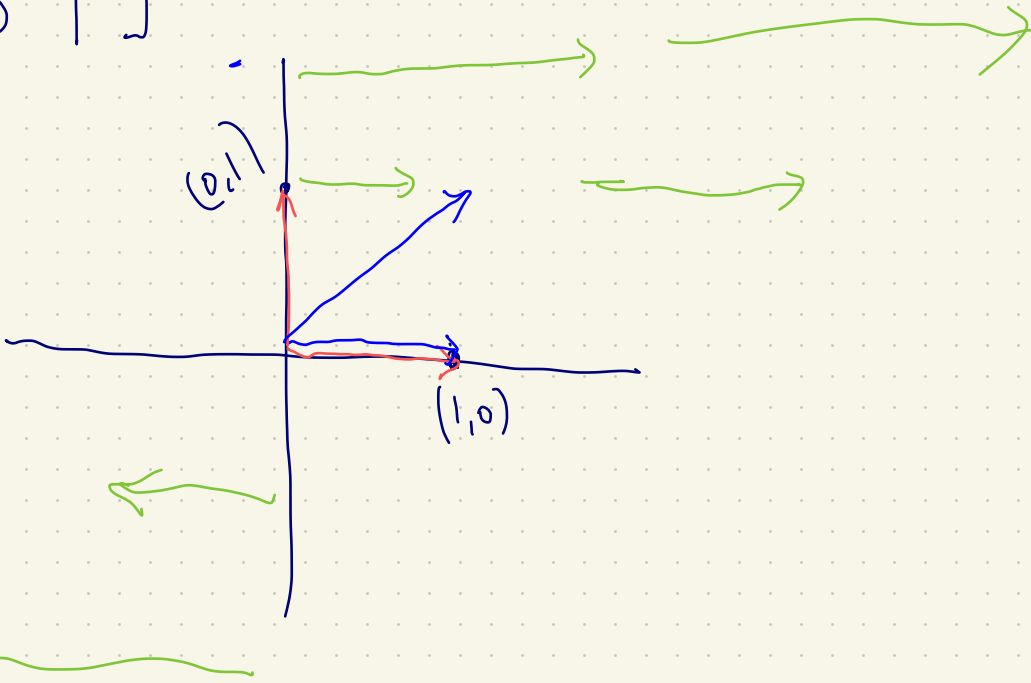
$$Ae_2 = e_2$$

single eigenvalue

2d space of
eigenvectors.

$$\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

$$Ae_1 = e_1$$



$$\begin{bmatrix} 1 & 1 & 0 \\ & 1 & 1 \\ & & 1 & 1 \\ 0 & & & 1 \end{bmatrix}$$

$$e_1 \mapsto e_1$$

$$e_2 \mapsto e_2 + e_1$$

$$e_3 \mapsto e_3 + e_2$$

⋮

$$e_n \mapsto e_n + e_{n-1}$$

$$A - 1I = \begin{bmatrix} 0 & 1 & & \\ & 0 & 1 & \\ & & 0 & 1 \\ & & & 0 \end{bmatrix}$$

$$e_1 \mapsto 0$$

$$e_2 \mapsto e_1$$

$$e_3 \mapsto e_2$$

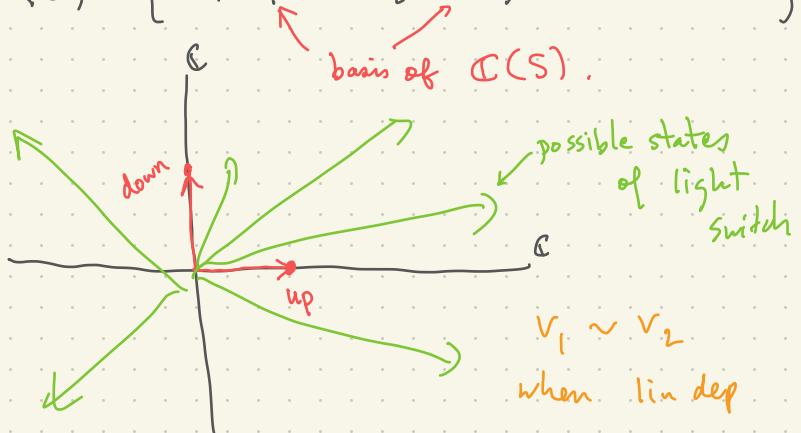
$$e_4 \mapsto e_3$$

up  1

down  -1

Space of states = $\{ \text{up}, \text{down} \} = S$

$\mathbb{C}(S) = \{ a_1(\text{up}) + a_2(\text{down}) : a_1, a_2 \in \mathbb{C} \}$



In Quantum mechanics, the light switch has Quantum state = a vector in $\mathbb{C}(S)$

Operator: "Position" operator Q

$$Q = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$Q(\text{up}) = Q \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 1 \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

up is eigenvector w/ eigenval 1:

down " " " " -1

$$Q \begin{pmatrix} -1 \\ 0 \end{pmatrix} = 1 \begin{pmatrix} -1 \\ 0 \end{pmatrix}$$

classical state up

