

## Morse theory of the Grassmannian

Recall that  $\text{Gr}(2, 4)$  is the Grassmannian of 2-dimensional complex linear subspaces  $\Lambda \subset \mathbb{C}^4$ . We have a natural Hermitian inner product on  $\mathbb{C}^4$  given by

$$\langle x, y \rangle = x \cdot \bar{y} = \sum x_i \bar{y}_i. \quad (1)$$

The symmetry group of this inner product is the unitary group

$$U(4) = \{X \in \text{GL}(4, \mathbb{C}) : XX^* = \mathbf{1}\}, \quad (2)$$

where  $X^* = \overline{X}^\top$ .

**Exercise 1.** *Prove that  $U(4)$  acts transitively on  $\text{Gr}(2, 4)$  and determine the stabilizer subgroup associated to the point  $\Lambda_0 = \text{Span}(e_1, e_2)$ .*

The Lie algebra of  $U(4)$ , i.e. the tangent space at the identity element, is the real vector space (of what dimension?) of skew-adjoint matrices

$$\mathfrak{u}(4) = \{X \in \text{End}(\mathbb{C}^4) : A + A^* = 0\}. \quad (3)$$

This is called a Lie algebra because the commutator  $[A_1, A_2]$  of two elements of  $\mathfrak{u}(4)$  is also in  $\mathfrak{u}(4)$ . There is a natural embedding of  $\text{Gr}(2, 4)$  inside  $\mathfrak{u}(4)$  which goes as follows:

Any  $\Lambda \in \text{Gr}(2, 4)$  has an orthogonal complement  $\Lambda^\perp$  using the Hermitian inner product, and from the decomposition of any element  $x \in \mathbb{C}^4$  as  $x = x_\Lambda + x_{\Lambda^\perp}$  with  $x_\Lambda \in \Lambda$  and  $x_{\Lambda^\perp} \in \Lambda^\perp$ , we can define a projection operator  $P_\Lambda : \mathbb{C}^4 \rightarrow \Lambda$  by

$$P_\Lambda : x \mapsto x_\Lambda. \quad (4)$$

**Exercise 2.** *Prove that  $\iota : \Lambda \mapsto iP_\Lambda$  is an embedding of  $\text{Gr}(2, 4)$  into  $\mathfrak{u}(4)$ , and that for  $g \in U(4)$ , we have*

$$\iota(g \cdot \Lambda) = g\iota(\Lambda)g^{-1}, \quad (5)$$

*where on the left hand side we have the usual action of  $g$  on a subspace of  $\mathbb{C}^4$  and on the right hand side we have the conjugation action of  $U(4)$  on  $\mathfrak{u}(4)$ , known as the adjoint action. Conclude that  $\iota(\text{Gr}(2, 4))$  consists of a single adjoint orbit  $\mathcal{O}$  and describe the point  $\iota(\Lambda_0)$  in this orbit.*

Let  $\mathfrak{h} \subset \mathfrak{u}(4)$  be the diagonal skew-adjoint matrices (a 4-dimensional real subspace). Elements in  $\mathfrak{h}$  with distinct eigenvalues are called *regular* elements of  $\mathfrak{h}$ , and the others are called *singular*. Regular elements have the following nice property: any element of  $\mathfrak{u}(4)$  which commutes with a regular diagonal element must itself be diagonal.

**Exercise 3.** *Let  $\mathfrak{h} \subset \mathfrak{u}(4)$  be the diagonal matrices (a 4-dimensional real subspace). Determine the intersection of  $\text{Gr}(2, 4) \cong \mathcal{O}$  with  $\mathfrak{h}$ .*

The real Lie algebra  $\mathfrak{u}(4)$  has a Euclidean inner product

$$\langle A, B \rangle = \text{Tr}(AB^*) = -\text{Tr}(AB). \quad (6)$$

This inner product is invariant under the adjoint action of  $U(4)$ , and also satisfies

$$\langle [A, B], C \rangle + \langle B, [A, C] \rangle = 0,$$

for all  $A, B, C \in \mathfrak{u}(4)$ . We define a Morse function on the Grassmannian as follows: Choose real numbers  $a_1 > \dots > a_4$ , so that  $Z = \text{diag}(ia_1, \dots, ia_4)$  is a regular element in  $\mathfrak{h}$ . Then we define

$$f(\Lambda) = \langle \iota(\Lambda), Z \rangle. \quad (7)$$

**Exercise 4.** *Prove that  $f$  is the restriction of the square of the distance function on  $\mathfrak{u}(4)$  to the adjoint orbit  $\mathcal{O} \cong \text{Gr}(2, 4)$ : use the polarization identity to prove that*

$$f(\Lambda) = -\frac{1}{2} \|\iota(\Lambda) - Z\|^2 + C, \quad (8)$$

for some constant  $C$  independent of  $\Lambda$ .

**Exercise 5.** *Use the above results to determine the critical points of  $f$ ; a fact which will be useful is the following: the tangent space to  $\mathcal{O}$  at a point  $X \in \mathcal{O}$ , translated to the origin of  $\mathfrak{u}(4)$ , coincides with the image of the infinitesimal adjoint action:*

$$T_X \mathcal{O} = \{[X, Y] : Y \in \mathfrak{u}(4)\}. \quad (9)$$

**Bonus 1.** *Determine the Morse indices of the critical points of  $f$ .*

**Bonus 2.** *Determine the descending manifolds of  $f$ .*