

Now we investigate the measure of the critical values of a map  $f : M \rightarrow N$  where  $\dim M = \dim N$ . Of course the set of critical points need not have measure zero, but we shall see that because the values of  $f$  on the critical set do not vary much, the set of critical values will have measure zero.

**Theorem 3.11** (Equidimensional Sard). *Let  $f : M \rightarrow N$  be a  $C^1$  map of  $n$ -manifolds, and let  $C \subset M$  be the set of critical points. Then  $f(C)$  has measure zero.*

*Proof.* It suffices to show result for the unit cube. Let  $f : I^n \rightarrow \mathbb{R}^n$  a  $C^1$  map and let  $C \subset I^n$  be the set of critical points.

Since  $f \in C^1(I^n, \mathbb{R}^n)$ , we have that the linear approximation to  $f$  at  $x \in I^n$ , namely

$$f_x^{lin}(y) = f(x) + f_*(x)(y - x),$$

approximates  $f$  to second order, i.e. there is a positive function  $b(\epsilon)$  with  $b \rightarrow 0$  as  $\epsilon \rightarrow 0$  such that

$$\|f(y) - f_x^{lin}(y)\| \leq b(|y - x|)\|y - x\|.$$

Of course  $f$  is still Lipschitz so that

$$\|f(y) - f(x)\| \leq a\|y - x\| \quad \forall x, y \in I^n$$

Since  $f$  is Lipschitz, we know that  $\|y - x\| < \epsilon$  implies  $\|f(y) - f(x)\| < a\epsilon$ . But if  $x$  is a critical point, then  $f_x^{lin}$  has image contained in a hyperplane  $P_x$ , which is of lower dimension and hence measure zero. This means the distance of  $f(y)$  to  $P_x$  is less than  $\epsilon b(\epsilon)$ .

Therefore  $f(y)$  lies in the cube centered at  $f(x)$  of edge  $a\epsilon$ , but if we choose the cube to have a face parallel to  $P_x$ , then the edge perpendicular to  $P_x$  can be shortened to only  $2\epsilon b\epsilon$ . Therefore  $f(y)$  is in a region of volume  $(a\epsilon)^{n-1}2\epsilon b(\epsilon)$ .

Now partition  $I^n$  into  $h^n$  cubes each of edge  $h^{-1}$ . Any such cube containing a critical point  $x$  is certainly contained in a ball around  $x$  of radius  $r = h^{-1}\sqrt{n}$ . The image of this ball then has volume  $\leq (ar)^{n-1}2rb(r) = Ar^n b(r)$  for  $A = 2a^{n-1}$ . The total volume of all the images is then less than

$$h^n Ar^n b(r) = An^{n/2} b(r).$$

Note that  $A$  and  $n$  are fixed, while  $r = h^{-1}\sqrt{n}$  is determined by the number  $h$  of cubes. By increasing the number of cubes, we may decrease their radius arbitrarily, and hence the above total volume, as required.  $\square$

The argument above will not work for  $\dim N < \dim M$ ; we need more control on the function  $f$ . In particular, one can find a  $C^1$  function from  $I^2 \rightarrow \mathbb{R}$  which fails to have critical values of measure zero (hint:  $C + C = [0, 2]$  where  $C$  is the Cantor set). As a result, Sard's theorem in general requires more differentiability of  $f$ .

**Theorem 3.12** (Big Sard's theorem). *Let  $f : M \rightarrow N$  be a  $C^k$  map of manifolds of dimension  $m, n$ , respectively. Let  $C$  be the set of critical points, i.e. points  $x \in U$  with*

$$\text{rank } Df(x) < n.$$

*Then  $f(C)$  has measure zero if  $k > \frac{m}{n} - 1$ .*

*Do not give proof in class, no time.* As before, it suffices to show for  $f : I^m \rightarrow \mathbb{R}^n$ .

Define  $C_1 \subset C$  to be the set of points  $x$  for which  $Df(x) = 0$ . Define  $C_i \subset C_{i-1}$  to be the set of points  $x$  for which  $D^j f(x) = 0$  for all  $j \leq i$ . So we have a descending sequence of closed sets:

$$C \supset C_1 \supset C_2 \supset \cdots \supset C_k.$$

We will show that  $f(C)$  has measure zero by showing

1.  $f(C_k)$  has measure zero,

2. each successive difference  $f(C_i \setminus C_{i+1})$  has measure zero for  $i \geq 1$ ,

3.  $f(C \setminus C_1)$  has measure zero.

**Step 1:** For  $x \in C_k$ , Taylor's theorem gives the estimate

$$f(x+t) = f(x) + R(x, t), \quad \text{with } \|R(x, t)\| \leq c\|t\|^{k+1},$$

where  $c$  depends only on  $f$  and  $t$  sufficiently small.

If we now subdivide  $I^m$  into  $h^m$  cubes with edge  $h^{-1}$ , suppose that  $x$  sits in a specific cube  $I_1$ . Then any point in  $I_1$  may be written as  $x+t$  with  $\|t\| \leq h^{-1}\sqrt{m}$ . As a result,  $f(I_1)$  lies in a cube of edge  $ah^{-(k+1)}$ , where  $a = 2cm^{(k+1)/2}$  is independent of the cube size. There are at most  $h^m$  such cubes, with total volume less than

$$h^m (ah^{-(k+1)})^n = a^n h^{m-(k+1)n}.$$

Assuming that  $k > \frac{m}{n} - 1$ , this tends to 0 as we increase the number of cubes.

**Step 2:** For each  $x \in C_i \setminus C_{i+1}$ ,  $i \geq 1$ , there is a  $i+1^{th}$  partial  $\partial^{i+1} f_j / \partial x_{s_1} \cdots \partial x_{s_{i+1}}$  which is nonzero at  $x$ . Therefore the function

$$w(x) = \partial^k f_j / \partial x_{s_2} \cdots \partial x_{s_{i+1}}$$

vanishes at  $x$  but its partial derivative  $\partial w / \partial x_{s_1}$  does not. WLOG suppose  $s_1 = 1$ , the first coordinate. Then the map

$$h(x) = (w(x), x_2, \dots, x_m)$$

is a local diffeomorphism by the inverse function theorem (of class  $C^k$ ) which sends a neighbourhood  $V$  of  $x$  to an open set  $V'$ . Note that  $h(C_i \cap V) \subset \{0\} \times \mathbb{R}^{m-1}$ . Now if we restrict  $f \circ h^{-1}$  to  $\{0\} \times \mathbb{R}^{m-1} \cap V'$ , we obtain a map  $g$  whose critical points include  $h(C_i \cap V)$ . Hence we may prove by induction on  $m$  that  $g(h(C_i \cap V)) = f(C_i \cap V)$  has measure zero. Cover by countably many such neighbourhoods  $V$ .

**Step 3:** Let  $x \in C \setminus C_1$ . Then there is some partial derivative, wlog  $\partial f_1 / \partial x_1$ , which is nonzero at  $x$ . the map

$$h(x) = (f_1(x), x_2, \dots, x_m)$$

is a local diffeomorphism from a neighbourhood  $V$  of  $x$  to an open set  $V'$  (of class  $C^k$ ). Then  $g = f \circ h^{-1}$  has critical points  $h(V \cap C)$ , and has critical values  $f(V \cap C)$ . The map  $g$  sends hyperplanes  $\{t\} \times \mathbb{R}^{m-1}$  to hyperplanes  $\{t\} \times \mathbb{R}^{n-1}$ , call the restriction map  $g_t$ . A point in  $\{t\} \times \mathbb{R}^{m-1}$  is critical for  $g_t$  if and only if it is critical for  $g$ , since the Jacobian of  $g$  is

$$\begin{pmatrix} 1 & 0 \\ * & \frac{\partial g_t}{\partial x_j} \end{pmatrix}$$

By induction on  $m$ , the set of critical values for  $g_t$  has measure zero in  $\{t\} \times \mathbb{R}^{n-1}$ . By Fubini, the whole set  $g(C')$  (which is measurable, since it is the countable union of compact subsets (critical values not necessarily closed, but critical points are closed and hence a countable union of compact subsets, which implies the same of the critical values.) is then measure zero. To show this consequence of Fubini directly, use the following argument:

First note that for any covering of  $[a, b]$  by intervals, we may extract a finite subcovering of intervals whose total length is  $\leq 2|b-a|$ . Why? First choose a minimal subcovering  $\{I_1, \dots, I_p\}$ , numbered according to their left endpoints. Then the total overlap is at most the length of  $[a, b]$ . Therefore the total length is at most  $2|b-a|$ .

Now let  $B \subset \mathbb{R}^n$  be compact, so that we may assume  $B \subset \mathbb{R}^{n-1} \times [a, b]$ . We prove that if  $B \cap P_c$  has measure zero in the hyperplane  $P_c = \{x^n = c\}$ , for any constant  $c \in [a, b]$ , then it has measure zero in  $\mathbb{R}^n$ .

If  $B \cap P_c$  has measure zero, we can find a covering by open sets  $R_c^i \subset P_c$  with total volume  $< \epsilon$ . For sufficiently small  $\alpha_c$ , the sets  $R_c^i \times [c - \alpha_c, c + \alpha_c]$  cover  $B \cap \bigcup_{z \in [c - \alpha_c, c + \alpha_c]} P_z$  (since  $B$  is compact). As we vary  $c$ , the sets  $[c - \alpha_c, c + \alpha_c]$  form a covering of  $[a, b]$ , and we extract a finite subcover  $\{I_j\}$  of total length  $\leq 2|b-a|$ .

Let  $R_j^i$  be the set  $R_c^i$  for  $I_j = [c - \alpha_c, c + \alpha_c]$ . Then the sets  $R_j^i \times I_j$  form a cover of  $B$  with total volume  $\leq 2\epsilon|b-a|$ . We can make this arbitrarily small, so that  $B$  has measure zero.  $\square$

We now proceed with the first step towards showing that transversality is generic.

**Theorem 3.13** (Transversality theorem). *Let  $F : X \times S \rightarrow Y$  and  $g : Z \rightarrow Y$  be smooth maps of manifolds where only  $X$  has boundary. Suppose that  $F$  and  $\partial F$  are transverse to  $g$ . Then for almost every  $s \in S$ ,  $f_s = F(\cdot, s)$  and  $\partial f_s$  are transverse to  $g$ .*

*Proof.* The fiber product  $W = (X \times S) \times_Y Z$  is a regular submanifold (with boundary) of  $X \times S \times Z$  and projects to  $S$  via the usual projection map  $\pi$ . We show that any  $s \in S$  which is a regular value for both the projection map  $\pi : W \rightarrow S$  and its boundary map  $\partial\pi$  gives rise to a  $f_s$  which is transverse to  $g$ . Then by Sard's theorem the  $s$  which fail to be regular in this way form a set of measure zero.

Suppose that  $s \in S$  is a regular value for  $\pi$ . Suppose that  $f_s(x) = g(z) = y$  and we now show that  $f_s$  is transverse to  $g$  there. Since  $F(x, s) = g(z)$  and  $F$  is transverse to  $g$ , we know that

$$\text{im } DF_{(x,s)} + \text{im } Dg_z = T_y Y.$$

Therefore, for any  $a \in T_y Y$ , there exists  $b = (w, e) \in T(X \times S)$  with  $DF_{(x,s)}b - a$  in the image of  $Dg_z$ . But since  $D\pi$  is surjective, there exists  $(w', e, c') \in T_{(x,y,z)}W$ . Hence we observe that

$$(Df_s)(w - w') - a = DF_{(x,s)}[(w, e) - (w', e)] - a = (DF_{(x,s)}b - a) - DF_{(x,s)}(w', e),$$

where both terms on the right hand side lie in  $\text{im } Dg_z$ .

Precisely the same argument (with  $X$  replaced with  $\partial X$  and  $F$  replaced with  $\partial F$ ) shows that if  $s$  is regular for  $\partial\pi$  then  $\partial f_s$  is transverse to  $g$ . This gives the result.  $\square$

The previous result immediately shows that transversal maps to  $\mathbb{R}^n$  are generic, since for any smooth map  $f : M \rightarrow \mathbb{R}^n$  we may produce a family of maps

$$F : M \times \mathbb{R}^n \rightarrow \mathbb{R}^n$$

via  $F(x, s) = f(x) + s$ . This new map  $F$  is clearly a submersion and hence is transverse to any smooth map  $g : Z \rightarrow \mathbb{R}^n$ . For arbitrary target manifolds, we will imitate this argument, but we will require a (weak) version of Whitney's embedding theorem for manifolds into  $\mathbb{R}^n$ .

### 3.3 Whitney embedding

We now investigate the embedding of arbitrary smooth manifolds as regular submanifolds of  $\mathbb{R}^k$ . We shall first show by a straightforward argument that any smooth manifold may be embedded in some  $\mathbb{R}^N$  for some sufficiently large  $N$ . We will then explain how to cut down on  $N$  and approach the optimal  $N = 2 \dim M$  which Whitney showed (we shall reach  $2 \dim M + 1$  and possibly at the end of the course, show  $N = 2 \dim M$ .)

**Theorem 3.14** (Compact Whitney embedding in  $\mathbb{R}^N$ ). *Any compact manifold may be embedded in  $\mathbb{R}^N$  for sufficiently large  $N$ .*

*Proof.* Let  $\{(U_i \supset V_i, \varphi_i)\}_{i=1}^k$  be a finite regular covering, which exists by compactness. Choose a partition of unity  $\{f_1, \dots, f_k\}$  as in Theorem 1.19 and define the following “zoom-in” maps  $M \rightarrow \mathbb{R}^{\dim M}$ :

$$\tilde{\varphi}_i(x) = \begin{cases} f_i(x)\varphi_i(x) & x \in U_i, \\ 0 & x \notin U_i. \end{cases}$$

Then define a map  $\Phi : M \rightarrow \mathbb{R}^{k(\dim M + 1)}$  which zooms simultaneously into all neighbourhoods, with extra information to guarantee injectivity:

$$\Phi(x) = (\tilde{\varphi}_1(x), \dots, \tilde{\varphi}_k(x), f_1(x), \dots, f_k(x)).$$

Note that  $\Phi(x) = \Phi(x')$  implies that for some  $i$ ,  $f_i(x) = f_i(x') \neq 0$  and hence  $x, x' \in U_i$ . This then implies that  $\varphi_i(x) = \varphi_i(x')$ , implying  $x = x'$ . Hence  $\Phi$  is injective.

We now check that  $D\Phi$  is injective, which will show that it is an injective immersion. At any point  $x$  the differential sends  $v \in T_x M$  to the following vector in  $\mathbb{R}^{\dim M} \times \dots \times \mathbb{R}^{\dim M} \times \mathbb{R} \times \dots \times \mathbb{R}$ .

$$(Df_1(v)\varphi_1(x) + f_1(x)D\varphi_1(v), \dots, Df_k(v)\varphi_k(x) + f_k(x)D\varphi_k(v), Df_1(v), \dots, Df_k(v))$$

But this vector cannot be zero. Hence we see that  $\Phi$  is an immersion.

But an injective immersion from a compact space must be an embedding: view  $\Phi$  as a bijection onto its image. We must show that  $\Phi^{-1}$  is continuous, i.e. that  $\Phi$  takes closed sets to closed sets. If  $K \subset M$  is closed, it is also compact and hence  $\Phi(K)$  must be compact, hence closed (since the target is Hausdorff).  $\square$

**Theorem 3.15** (Compact Whitney embedding in  $\mathbb{R}^{2n+1}$ ). *Any compact  $n$ -manifold may be embedded in  $\mathbb{R}^{2n+1}$ .*

*Proof.* Begin with an embedding  $\Phi : M \rightarrow \mathbb{R}^N$  and assume  $N > 2n + 1$ . We then show that by projecting onto a hyperplane it is possible to obtain an embedding to  $\mathbb{R}^{N-1}$ .

A vector  $v \in S^{N-1} \subset \mathbb{R}^N$  defines a hyperplane (the orthogonal complement) and let  $P_v : \mathbb{R}^N \rightarrow \mathbb{R}^{N-1}$  be the orthogonal projection to this hyperplane. We show that the set of  $v$  for which  $\Phi_v = P_v \circ \Phi$  fails to be an embedding is a set of measure zero, hence that it is possible to choose  $v$  for which  $\Phi_v$  is an embedding.

$\Phi_v$  fails to be an embedding exactly when  $\Phi_v$  is not injective or  $D\Phi_v$  is not injective at some point. Let us consider the two failures separately:

If  $v$  is in the image of the map  $\beta_1 : (M \times M) \setminus \Delta_M \rightarrow S^{N-1}$  given by

$$\beta_1(p_1, p_2) = \frac{\Phi(p_2) - \Phi(p_1)}{\|\Phi(p_2) - \Phi(p_1)\|},$$

then  $\Phi_v$  will fail to be injective. Note however that  $\beta_1$  maps a  $2n$ -dimensional manifold to a  $N - 1$ -manifold, and if  $N > 2n + 1$  then baby Sard's theorem implies the image has measure zero.

The immersion condition is a local one, which we may analyze in a chart  $(U, \varphi)$ .  $\Phi_v$  will fail to be an immersion in  $U$  precisely when  $v$  coincides with a vector in the normalized image of  $D(\Phi \circ \varphi^{-1})$  where

$$\Phi \circ \varphi^{-1} : \varphi(U) \subset \mathbb{R}^n \rightarrow \mathbb{R}^N.$$

Hence we have a map (letting  $N(w) = \|w\|$ )

$$\frac{D(\Phi \circ \varphi^{-1})}{N \circ D(\Phi \circ \varphi^{-1})} : U \times S^{n-1} \rightarrow S^{N-1}.$$

The image has measure zero as long as  $2n - 1 < N - 1$ , which is certainly true since  $2n < N - 1$ . Taking union over countably many charts, we see that immersion fails on a set of measure zero in  $S^{N-1}$ .

Hence we see that  $\Phi_v$  fails to be an embedding for a set of  $v \in S^{N-1}$  of measure zero. Hence we may reduce  $N$  all the way to  $N = 2n + 1$ .  $\square$

**Corollary 3.16.** *We see from the proof that if we do not require injectivity but only that the manifold be immersed in  $\mathbb{R}^N$ , then we can take  $N = 2n$  instead of  $2n + 1$ .*

We now use Whitney embedding to prove genericity of transversality for all target manifolds, not just  $\mathbb{R}^n$ . We do this by embedding the manifold  $M$  into  $\mathbb{R}^N$ , translating it, and projecting back onto  $M$ .

If  $Y \subset \mathbb{R}^N$  is an embedded submanifold, the normal space at  $y \in Y$  is defined by  $N_y Y = \{v \in \mathbb{R}^N : v \perp T_y Y\}$ . The collection of all normal spaces of all points in  $Y$  is called the normal bundle:

$$NY = \{(y, v) \in Y \times \mathbb{R}^N : v \in N_y Y\}.$$

This is an embedded submanifold of  $\mathbb{R}^N \times \mathbb{R}^N$  of dimension  $N$ , and it has a projection map  $\pi : (y, v) \mapsto y$  such that  $(NY, \pi, Y)$  is a vector bundle. We may take advantage of the embedding in  $\mathbb{R}^N$  to define a smooth map  $E : NY \rightarrow \mathbb{R}^N$  via

$$E(x, v) = x + v.$$

**Definition 24.** A tubular neighbourhood of the embedded submanifold  $Y \subset \mathbb{R}^N$  is a neighbourhood  $U$  of  $Y$  in  $\mathbb{R}^N$  that is the diffeomorphic image under  $E$  of an open subset  $V \subset NY$  of the form

$$V = \{(y, v) \in NY : |v| < \delta(y)\},$$

for some positive continuous function  $\delta : M \rightarrow \mathbb{R}$ .

If  $U \subset \mathbb{R}^N$  is such a tubular neighbourhood of  $Y$ , then there does exist a positive continuous function  $\epsilon : Y \rightarrow \mathbb{R}$  such that  $U_\epsilon = \{x \in \mathbb{R}^N : \exists y \in Y \text{ with } |x - y| < \epsilon(y)\}$  is contained in  $U$ . This is simply

$$\epsilon(y) = \sup\{r : B(y, r) \subset U\}.$$

**Theorem 3.17** (Tubular neighbourhood theorem). *Every embedded submanifold of  $\mathbb{R}^N$  has a tubular neighbourhood.*

**Corollary 3.18.** *Let  $X$  be a manifold with boundary and  $f : X \rightarrow Y$  be a smooth map to a manifold  $Y$ . Then there is an open ball  $S = B(0, 1) \subset \mathbb{R}^N$  and a smooth map  $F : X \times S \rightarrow Y$  such that  $F(x, 0) = f(x)$  and for fixed  $x$ , the map  $f_x : s \mapsto F(x, s)$  is a submersion  $S \rightarrow Y$ . In particular,  $F$  and  $\partial F$  are submersions.*

*Proof.* Embed  $Y$  in  $\mathbb{R}^N$ , and let  $S = B(0, 1) \subset \mathbb{R}^N$ . Then use the tubular neighbourhood to define

$$F(y, s) = (\pi \circ E^{-1})(f(y) + \epsilon(y)s),$$

□

The transversality theorem then guarantees that given any smooth  $g : Z \rightarrow Y$ , for almost all  $s \in S$  the maps  $f_s, \partial f_s$  are transverse to  $g$ . We improve this slightly to show that  $f_s$  may be chosen to be *homotopic* to  $f$ .

**Corollary 3.19** (Transverse deformation of maps). *Given any smooth maps  $f : X \rightarrow Y$ ,  $g : Z \rightarrow Y$ , where only  $X$  has boundary, there exists a smooth map  $f' : X \rightarrow Y$  homotopic to  $f$  with  $f', \partial f'$  both transverse to  $g$ .*

*Proof.* Let  $S, F$  be as in the previous corollary. Away from a set of measure zero in  $S$ , the functions  $f_s, \partial f_s$  are transverse to  $g$ , by the transversality theorem. But these  $f_s$  are all homotopic to  $f$  via the homotopy  $X \times [0, 1] \rightarrow Y$  given by

$$(x, t) \mapsto F(x, ts).$$

□

The last theorem we shall prove concerning transversality is a very useful extension result which is essential for intersection theory:

**Theorem 3.20** (Transverse deformation of homotopies). *Let  $X$  be a manifold with boundary and  $f : X \rightarrow Y$  a smooth map to a manifold  $Y$ . Suppose that  $\partial f$  is transverse to the closed map  $g : Z \rightarrow Y$ . Then there exists a map  $f' : X \rightarrow Y$ , homotopic to  $f$  and with  $\partial f' = \partial f$ , such that  $f'$  is transverse to  $g$ .*

*Proof.* First observe that since  $\partial f$  is transverse to  $g$  on  $\partial X$ ,  $f$  is also transverse to  $g$  there, and furthermore since  $g$  is closed,  $f$  is transverse to  $g$  in a neighbourhood  $U$  of  $\partial X$ . (if  $x \in \partial X$  but  $x$  not in  $f^{-1}(g(Z))$  then since the latter set is closed, we obtain a neighbourhood of  $x$  for which  $f$  is transverse to  $g$ . If  $x \in \partial X$  and  $x \in f^{-1}(g(Z))$ , then transversality at  $x$  implies transversality near  $x$ .)

Now choose a smooth function  $\gamma : X \rightarrow [0, 1]$  which is 1 outside  $U$  but 0 on a neighbourhood of  $\partial X$ . (why does  $\gamma$  exist? exercise.) Then set  $\tau = \gamma^2$ , so that  $d\tau(x) = 0$  wherever  $\tau(x) = 0$ . Recall the map  $F : X \times S \rightarrow Y$  we used in proving the transversality homotopy theorem 3.19 and modify it via

$$F'(x, s) = F(x, \tau(x)s).$$

Then  $F'$  and  $\partial F'$  are transverse to  $g$ , and we can pick  $s$  so that  $f' : x \mapsto F'(x, s)$  and  $\partial f'$  are transverse to  $g$ . Finally, if  $x$  is in the neighbourhood of  $\partial X$  for which  $\tau = 0$ , then  $f'(x) = F(x, 0) = f(x)$ .  $\square$

**Corollary 3.21.** *if  $f : X \rightarrow Y$  and  $f' : X \rightarrow Y$  are homotopic smooth maps of manifolds, each transverse to the closed map  $g : Z \rightarrow Y$ , then the fiber products  $W = X_f \times_g Z$  and  $W' = X_{f'} \times_g Z$  are cobordant.*

*Proof.* if  $F : X \times [0, 1] \rightarrow Y$  is the homotopy between  $\{f, f'\}$ , then by the previous theorem, we may find a (homotopic) homotopy  $F' : X \times [0, 1] \rightarrow Y$  which is transverse to  $g$ . Hence the fiber product  $U = (X \times [0, 1])_{F'} \times_g Z$  is the cobordism with boundary  $W \sqcup W'$ .  $\square$

### 3.4 Intersection theory

The previous corollary allows us to make the following definition:

**Definition 25.** Let  $f : X \rightarrow Y$  and  $g : Z \rightarrow Y$  be smooth maps with  $X$  compact,  $g$  closed, and  $\dim X + \dim Z = \dim Y$ . Then we define the  $(\text{mod } 2)$  intersection number of  $f$  and  $g$  to be

$$I_2(f, g) = \#(X_{f'} \times_g Z) \pmod{2},$$

where  $f' : X \rightarrow Y$  is any smooth map smoothly homotopic to  $f$  but transverse to  $g$ , and where we assume the fiber product to consist of a finite number of points (this is always guaranteed, e.g. if  $g$  is proper, or if  $g$  is a closed embedding).

**Example 3.22.** If  $C_1, C_2$  are two distinct great circles on  $S^2$  then they have two transverse intersection points, so  $I_2(C_1, C_2) = 0$  in  $\mathbb{Z}_2$ . Of course we can shrink one of the circles to get a homotopic one which does not intersect the other at all. This corresponds to the standard cobordism from two points to the empty set.

**Example 3.23.** If  $(e_1, e_2, e_3)$  is a basis for  $\mathbb{R}^3$  we can consider the following two embeddings of  $S^1 = \mathbb{R}/2\pi\mathbb{Z}$  into  $\mathbb{R}P^2$ :  $\iota_1 : \theta \mapsto \langle \cos(\theta/2)e_1 + \sin(\theta/2)e_2 \rangle$  and  $\iota_2 : \theta \mapsto \langle \cos(\theta/2)e_2 + \sin(\theta/2)e_3 \rangle$ . These two embedded submanifolds intersect transversally in a single point  $\langle e_2 \rangle$ , and hence  $I_2(\iota_1, \iota_2) = 1$  in  $\mathbb{Z}_2$ . As a result, there is no way to deform  $\iota_i$  so that they intersect transversally in zero points. In particular,  $\mathbb{R}P^2$  has a noncontractible loop.

**Example 3.24.** Given a smooth map  $f : X \rightarrow Y$  for  $X$  compact and  $\dim Y = 2\dim X$ , we may consider the self-intersection  $I_2(f, f)$ . In the previous examples we may check  $I_2(C_1, C_1) = 0$  and  $I_2(\iota_1, \iota_1) = 1$ . Any embedded  $S^1$  in an oriented surface has no self-intersection. If the surface is nonorientable, the self-intersection may be nonzero.

**Example 3.25.** Let  $p \in S^1$ . Then the identity map  $\text{Id} : S^1 \rightarrow S^1$  is transverse to the inclusion  $\iota : p \rightarrow S^1$  with one point of intersection. Hence the identity map is not (smoothly) homotopic to a constant map, which would be transverse to  $\iota$  with zero intersection. Using smooth approximation, get that  $\text{Id}$  is not continuously homotopic to a constant map, and also that  $S^1$  is not contractible.

**Example 3.26.** By the previous argument, any compact manifold is not contractible.

**Example 3.27.** Consider  $SO(3) \cong \mathbb{R}P^3$  and let  $\ell \subset \mathbb{R}P^3$  be a line, diffeomorphic to  $S^1$ . This line corresponds to a path of rotations about an axis by  $\theta \in [0, \pi]$  radians. Let  $\mathcal{P} \subset \mathbb{R}P^3$  be a plane intersecting  $\ell$  in one point. Since this is a transverse intersection in a single point,  $\ell$  cannot be deformed to a point (which would have zero intersection with  $\mathcal{P}$ ). This shows that the path of rotations is not homotopic to a constant path.

If  $\iota : \theta \mapsto \iota(\theta)$  is the embedding of  $S^1$ , then traversing the path twice via  $\iota' : \theta \mapsto \iota(2\theta)$ , we obtain a map  $\iota'$  which is transverse to  $\mathcal{P}$  but with two intersection points. Hence it is possible that  $\iota'$  may be deformed so as not to intersect  $\mathcal{P}$ . Can it be done?

**Example 3.28.** Consider  $\mathbb{R}P^4$  and two transverse hyperplanes  $P_1, P_2$  each an embedded copy of  $\mathbb{R}P^3$ . These then intersect in  $P_1 \cap P_2 = \mathbb{R}P^2$ , and since  $\mathbb{R}P^2$  is not null-cobordant, we cannot deform the planes to remove all intersection.

Intersection theory also allows us to define the degree of a map modulo 2. The degree measures how many generic preimages there are of a local diffeomorphism.

**Definition 26.** Let  $f : M \rightarrow N$  be a smooth map of manifolds of the same dimension, and suppose  $M$  is compact and  $N$  connected. Let  $p \in N$  be any point. Then we define  $\deg_2(f) = I_2(f, p)$ .

**Example 3.29.** Let  $f : S^1 \rightarrow S^1$  be given by  $z \mapsto z^k$ . Then  $\deg_2(f) = k \pmod{2}$ .