

Hilbert Nullstellensatz for ideals  $I \hookrightarrow \mathcal{P} := K[x]$   
or  $\mathbb{Z}[x]$  ,  $x := (x_1, \dots, x_n)$  and  $K$  a field,  
called geometric or arithmetic case.

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Below  $F := \mathcal{P}/m$  is a field, sets  $\text{Spec}(A)$  ,  $\text{Spec}^m(A)$  are

prime, max. ideals of  $A := \mathcal{P}/I$  ;  $\mathcal{M}_A(I) := \bigcap_{I \subseteq m \in \text{Spec}^m(A)} m$

**Main Thm:** (1)  $\sqrt{I} := \{f \in \mathcal{P} \mid f^N \in I\} = \mathcal{M}(I) := \mathcal{M}_{\mathcal{P}}(I)$  ;

(2)  $[F : K] := \dim_K F < \infty$  and  $\#(F) < \infty$  in arithmetic case;

(3)  $\exists F$  s.th.  $\mathcal{V}_F(I) := \{\xi \in F^n : f(\xi) = 0, \forall f \in I\} \neq \emptyset$  ;

**Classical case:**  $\mathcal{P} = K[x]$  and  $K =$  its alg. closure  $\overline{K}$  then

(4)  $\mathcal{I}(\mathcal{V}(I)) = \sqrt{I}$  and  $\mathcal{V}(\mathcal{I}(\mathcal{V}(I))) = \mathcal{V}(I) := \mathcal{V}_{\overline{K}}(I)$  , where

$\mathcal{I}(\mathcal{V}) := \{f \in \mathcal{P} : f|_{\mathcal{V}} = 0\}$  .

**Easy Thm:**  $\mathcal{P}_R(I) := \bigcap_{I \subseteq P \in \text{Spec}(R)} P = \sqrt{I}$  for ideals  $I$  in any ring

**Indeed,**  $f \in \mathcal{P}_R(I)/I \subset B := R/I \Rightarrow f \in \mathcal{M}_{B[x_0]}(0) \Rightarrow$  exists

$$(1 + f x_0)^{-1} = \sum_{j < d} c_j x_0^j \in B[x_0] \Rightarrow c_j = (-f)^j \text{ and } f^d = 0 \blacksquare$$

**Defs:**  $R$  is domain when  $\{0\} \in \text{Spec}(R)$ . Ring  $A \hookleftarrow K$  is

$K$ -algebraic:  $\forall a \in A \setminus \{0\} \exists f \in K[z] \setminus \{0\}$  with  $f(a) = 0$

**Lemma 1:**  $K$ -algeb. domains  $A \hookleftarrow K$  are fields. If  $F$  is from (2)

**Corollary 1:** for  $\xi \in F^n$   $m_\xi := \{f \in \mathcal{P} : f(\xi) = 0\} \in \text{Specm}(\mathcal{P})$ .

**Proof of L1:**  $K[z]$  is a PID  $\Rightarrow \forall a \in A \setminus \{0\} \exists$  irreducible  $f$  s.th.

$$m_a := \{g \in K[z] : g(a) = 0\} = (f) \Rightarrow m_a \text{ maximal, } K[a] \text{ field.}$$

For  $A = K[a_1, \dots, a_n]$ ,  $a_i := [x_i] \Rightarrow$  all  $K[a_1, \dots, a_k]$  are fields.  $\blacksquare$

## Key to HN. Lemma 2: Fields $F = K[x]/I$ are $K$ -algebraic.

**Remarks:**  $[A : K] < \infty$  for fields  $A$  from L1. Hence Lemma 2

$\Rightarrow$  geom. case of Main Thm (2)  $\Rightarrow$  each  $m \in \text{Specm}(\mathcal{P})$  is  $m_\xi$

from Cor. 1 with  $\xi := ([x_1], \dots, [x_n])$  and  $[x_i] \in F := \mathcal{P}/m$ .

So (3) follows with  $F = \mathcal{P}/m$  and  $m$  maximal among ideals

$J \neq \mathcal{P}$  s.th.  $J \supset I$  (via Zorn's lemma). So, then also (1)  $\Rightarrow$  (4).

**Plan:** We'll prove (2)  $\Rightarrow$  (1), then L2, then arithm case of (2).

**Note:**  $\overline{K} = K \Rightarrow \mathcal{V}(I) \rightarrow \{m \in \text{Specm}(K[x]) : I \subset m\}$  is bijective:

since  $m = m_\xi = (x_1 - \xi_1, \dots, x_n - \xi_n)$ ,  $\xi := ([x_1], \dots, [x_n]) \in \mathcal{V}(I)$  ■

**Lemma 4 :**  $M \in \text{Specm}(\mathcal{P}[x_0]) \Rightarrow m := M \cap \mathcal{P} \in \text{Specm}(\mathcal{P})$

**Prf:** With  $k := K$  in geom. and  $\phi(\mathbb{Z}) = \mathbb{Z}/p\mathbb{Z}$  in arith. case

$$F := \mathcal{P}[x_0]/M = k[a_0, a_1, \dots, a_n] \hookleftarrow R := \mathcal{P}/m = k[a_1, \dots, a_n],$$

where  $a_i := [x_i] \in F$ . So, as in Lemma 1,  $R$  is a field. ■

**Prf** (2)  $\Rightarrow$  (1):  $f \in \mathcal{M}(I)/I \subset A \Rightarrow f \in \sqrt{0} \hookrightarrow A$  suffices

But  $f$ , due to L4, is in every maximal ideal of  $A[x_0]$  implying exists

$$(1 + f x_0)^{-1} = \sum_{j < d} c_j x_0^j \in A[x_0] \Rightarrow c_j = (-f)^j, \text{ i.e. } f^d = 0 \quad \blacksquare$$

## Proof of Lemma 2: Fields $F = K[x]/I$ are $K$ -algebraic.

**Prf:** Let  $\vec{a}_j := (a_1, \dots, a_j)$ ,  $j \leq n$ , where  $a_i := [x_i] \in F = K[\vec{a}_n]$

If  $F$  is not  $K$ -algebraic then not all of  $a_i$ 's are. Then reorder  $a_i$ 's

and choose maximal  $r \leq n$  so that  $a_j$  is not  $K[\vec{a}_{j-1}]$ -algebraic for

$j \leq r \Rightarrow K[x_1, \dots, x_r]$  isomorphic  $R := K[\vec{a}_r] \Rightarrow$  is UFD with

$\infty$  many irreducible elements and  $a_j$ 's for  $r < j$  are  $R$ -algebraic

$\Rightarrow m = [F : L] := \dim_L F < \infty$ , where  $L := K(\vec{a}_r) = (R) \hookrightarrow$

$F = K[\vec{a}_n]$ . Let  $\phi : F \ni b \mapsto$  the matrix of the  $L$ -linear maps

of multiplication by  $b$  in  $F$  in a fixed  $L$ -basis of  $F$ . Let  $g \in R$  be  
common denominator of matrix entries of  $\phi(a_i) \in L^{m \times m}$  (for  $i \leq r$   
matrix  $\phi(a_i) = a_i \cdot I$  is diagonal)  $\Rightarrow \phi(a_i) \in R[g^{-1}]^{m \times m}$   
 $\Rightarrow \forall b \in F \exists s \in \mathbb{Z}^+$  s.th.  $\phi(b) \in g^{-s} R^{m \times m}$ . Let  $p_j$ ,  $j \leq k$ ,  
be the irred. factors of  $g$  in  $R$  and  $p \in R \hookrightarrow L$  any irreducible  
element  $\Rightarrow$  matrix  $\phi(p^{-1}) = p^{-1} \cdot I$  and  $\exists d \in \mathbb{Z}^+$  and  
 $f \in R$  s.th.  $p^{-1} = g^{-d} \cdot f$  or  $g^d = p \cdot f \Rightarrow p$  is one of the  
 $p_i$ 's, but  $\exists \infty$  many choices for irreducible  $p \in R := K[\vec{a}_r]$  ?! ■

## Proof of (2) in the arithmetic case: then $F = B[x]/J$

with  $B := \phi(\mathbb{Z})$  and  $\phi : \mathcal{P} \rightarrow F = \mathcal{P}/I \Rightarrow$  either

$p := \text{char} F < \infty$ ,  $[F : \mathbb{Z}/p\mathbb{Z}] < \infty$  (then  $\#(F) < \infty$ , done)

or  $B = \mathbb{Z}$ ,  $F = \mathbb{Q}[x]/J\mathbb{Q}[x] \Rightarrow$  each  $a_j := \phi(x_j)$  is algebraic over

$\mathbb{Q}$  and integral over  $R := \mathbb{Z}[\frac{1}{N}]$  for an  $N \in \mathbb{Z}$ . Integral elements

form a ring  $\Rightarrow A := \mathbb{Z}[a_1, \dots, a_n]$  is integral over  $R$  and

$\forall r \in \mathbb{Z} \setminus \{0\} \exists b_i \in \mathbb{Z}[\frac{1}{N}]$  s.th.  $(\frac{1}{r})^d = b_1(\frac{1}{r})^{d-1} + \dots + b_d \Rightarrow$

$\frac{1}{r} \in \mathbb{Z}[\frac{1}{N}] \Rightarrow \exists s$  s.th.  $\frac{N^s}{r} \in \mathbb{Z}$ . But  $\#\{\text{primes} \in \mathbb{Z}\} = \infty$  ?! ■



Claim: Integral closure  $\overline{R}$  of a noetherian  $R \hookrightarrow S$  in

domain  $S$  is a subring. Follows using  $R[f + g]$  and  $R[f \cdot g]$  are  $R$ -submodules of  $\text{Span}_R(R[f] \cdot R[g])$  and **Lemma:**

$f \in S$  is integ. over  $R \hookrightarrow S$  iff  $R[f]$  is a fin. gen.  $R$ -module.

**Proof of 'if':** Let  $R[f] = \sum_{1 \leq j \leq m} R \cdot e_j$ ,  $e_j \in R[f] \Rightarrow f \cdot e_i =$

$\sum_{1 \leq j \leq m} a_{ij} \cdot e_j$  with  $a_{ij} \in R$ . Using  $T^{adj} \cdot T = \det T \cdot I \Rightarrow$

$\det(f \cdot I - \mathcal{A}) \cdot e_i = 0 \quad \forall i$ , where matrix  $\mathcal{A} := \{a_{ij}\} \Rightarrow$

$\det(f \cdot I - \mathcal{A}) = 0$ , i.e.  $R[z] \ni P(z) := \det(z \cdot I - \mathcal{A}) = z^m +$

lower order terms and  $P(f) = 0$ . 'Only if' is obvious. ■