

- (1) (8 pts) Give the following definitions
- (a) A tangent vector to a smooth manifold
 - (b) A smooth manifold with boundary.

Solution

- (a) A tangent vector to a smooth manifold M at a point p is a map $v: C^\infty(M) \rightarrow \mathbb{R}$ satisfying the following conditions
- (i) v is linear, i.e. $v(\lambda_1 f_1 + \lambda_2 f_2) = \lambda_1 v(f_1) + \lambda_2 v(f_2)$ for any $\lambda_1, \lambda_2 \in \mathbb{R}$ and $f_1, f_2 \in C^\infty(M)$.
 - (ii) $v(f \cdot g) = v(f)g(p) + f(p)v(g)$ for any $f, g \in C^\infty(M)$.
- (b) A smooth manifold with boundary is a generalized smooth manifold with boundary which is Hausdorff and admits a countable atlas. A generalized smooth manifold with boundary is a set M with a collection of maps $\{\psi_\alpha: V_\alpha \rightarrow U_\alpha\}_{\alpha \in \mathcal{A}}$ where $V_\alpha \subset \mathbb{H}^n$, $U_\alpha \subset M$, such that the following conditions are satisfied
- (i) $\cup_\alpha U_\alpha = M$;
 - (ii) $\psi_\alpha: V_\alpha \rightarrow U_\alpha$ is 1-1 and onto;
 - (iii) $V_{\alpha\beta} = \psi_\alpha^{-1}(U_\beta)$ is open in $\mathbb{H}^n$ for any α, β .
 - (iv) $\psi_\beta^{-1} \circ \psi_\alpha: V_{\alpha\beta} \rightarrow V_{\beta\alpha}$ is smooth for any $\alpha, \beta \in \mathcal{A}$.
- (2) (10 pts) Let $f: \mathbb{RP}^n \rightarrow \mathbb{R}$ be given by $f([x_0 : x_1 : \dots : x_n]) = \frac{x_n^2}{x_0^2 + x_1^2 + \dots + x_n^2}$.
- (a) Show that f is well defined and smooth.
 - (b) Show that the set $\{f = 1/2\}$ is nonempty and carries a natural structure of a manifold of dimension $n - 1$.

Solution

- (a) Let $\tilde{f}: \mathbb{R}^{n+1} \setminus \{0\} \rightarrow \mathbb{R}$ be given by $\tilde{f}(x_0, x_1, \dots, x_n) = \frac{x_n^2}{x_0^2 + x_1^2 + \dots + x_n^2}$. Then for any $\lambda \neq 0$ and any $x \in \mathbb{R}^{n+1} \setminus \{0\}$ we have $\tilde{f}(\lambda \cdot x) = \frac{\lambda^2 \cdot x_n^2}{\lambda^2 \cdot x_0^2 + \dots + \lambda^2 \cdot x_n^2} = \frac{x_n^2}{x_0^2 + \dots + x_n^2} = \tilde{f}(x)$ which means that f is well defined. To see that f is smooth consider its representation in standard coordinate charts $\phi_i: \mathbb{R}^n \rightarrow \mathbb{RP}^n$ ($i = 0, \dots, n$) given by $\phi_i(x_1, \dots, x_n) = [x_1 : \dots : x_i : 1 : x_{i+1} : \dots : x_n]$. For $i < n$ we have $f \circ \phi_i(x_1, \dots, x_n) = \frac{x_n^2}{x_1^2 + \dots + 1 + \dots + x_n^2}$ is smooth in x . And for $i = n$ we have $f \circ \phi_n(x_1, \dots, x_n) = \frac{1}{x_1^2 + \dots + x_n^2 + 1}$ is also smooth in x . Thus f is smooth.
- (b) $f(1 : 0 : \dots : 0 : 1) = 1/2$ and hence $\{f = 1/2\}$ is nonempty. To see that $\{f = 1/2\}$ carries a natural structure of a manifold of dimension $n - 1$ it's sufficient to check that $1/2$ is a regular value of f . Since the target is 1-dimensional it's enough to show that $df_p \neq 0$ for any $p \in \{f = 1/2\}$. We'll check it for points lying in $U_i = \phi_i(\mathbb{R}^n)$ for all $i = 0, \dots, n$.

For $i < n$ we have $g_i(x) = f \circ \phi_i(x_1, \dots, x_n) = \frac{x_n^2}{x_1^2 + \dots + 1 + \dots + x_n^2}$.

We compute $\frac{\partial g_i}{\partial x_n}(x) = \frac{2x_n(1+x_1^2+\dots+x_{n-1}^2)}{(x_1^2+\dots+1+\dots+x_n^2)^2} \neq 0$ unless $x_n = 0$. However, if $x_n = 0$ then $g_i(x) = 0 \neq 1/2$ and hence $dg_i(x) \neq 0$ for any x satisfying $g_i(x) = 1/2$.

Similarly, for $i = n$ we have $g_n(x) = \frac{1}{x_1^2 + \dots + x_n^2 + 1}$. We compute

$$\frac{\partial g_i}{\partial x_i}(x) = \frac{-2x_i}{x_1^2 + \dots + x_n^2 + 1}$$

Thus $dg_n(x) = 0$ only if all $x_i = 0$, i.e. $x = 0$. However, $g_n(0) = \frac{1}{1} = 1 \neq 1/2$ and hence we also have $dg_n(x) \neq 0$ for any x satisfying $g_n(x) = 1/2$.

Altogether this means that $df_p \neq 0$ for any p satisfying $f(p) = 1/2$ i.e. $1/2$ is a regular value of f .

- (3) (12 pts) Mark **True or False**. You DO NOT need to justify your answers.

Let M, N be smooth manifolds.

- (a) A submersion $f: M \rightarrow N$ is onto.

Answer: **False**. E.g. take the inclusion $i: (-1, 1) \rightarrow \mathbb{R}$.

- (b) A smooth embedding $f: M \rightarrow N$ is a closed map.

Answer: **False**. Same example as in (a).

- (c) If a smooth map $f: M \rightarrow N$ is injective then it's an immersion.

Answer: **False**. E.g. $f: \mathbb{R} \rightarrow \mathbb{R}$ given by $f(x) = x^3$.

- (d) A local diffeomorphism which is 1-1 and onto is a diffeomorphism.

Answer: **True**.

- (e) $\mathbb{S}^1$ is diffeomorphic to $\mathbb{RP}^1$.

Answer: **True**.

- (f) Composition of two maps of constant rank has constant rank.

Answer: **False**. E.g. take $f: (-1, 1) \rightarrow \mathbb{R}^2$ given by $f(x) = (x, \sqrt{1-x^2})$ and $g: \mathbb{R}^2 \rightarrow \mathbb{R}$ given by $g(x, y) = y$.

Both maps have constant rank 1. However, $h(x) = g \circ f(x) = \sqrt{1-x^2}$ does not have constant rank.

- (4) (10 pts) Let M^n, N^m be smooth manifolds such that $n > m$. Let $f: M^n \rightarrow N^m$ be a smooth map.

Prove that f is not 1-1.

Hint: Use the constant rank theorem.

Solution

Let $p \in M$ be a point where $r = \text{rank } df_p$ is maximal possible. Obviously, $r \leq m < n$. By reducing domain and range to co-ordinate charts we can assume that $M = U$ is an open subset in $\mathbb{R}^n$ and $N = \mathbb{R}^m$. By permuting co-ordinates we can assume that the matrix $[\frac{\partial f_i}{\partial x_j}(p)]_{i,j=1,\dots,r}$ is invertible.

By continuity of determinants the same holds true for points x near p . Thus, df_x has rank $\geq r$ for x near p . But since r was chosen to be maximal possible we actually have rank $df_x = r$ for x near p .

Thus, by the constant rank theorem after a change of coordinates near p on the domain and the target we can assume that f has the form $f(y_1, \dots, y_n) = (y_1, \dots, y_r, 0, \dots, 0)$ which is not 1-1 since $r < n$. $\square$

(5) (10 pts)

- (a) Let $f: M \rightarrow \mathbb{R}$ be smooth and let V be a smooth vector field on M . Suppose $V(p)(f) > 0$ for some p in M .

Prove that there is an open set U containing p such that $V(x)(f) > 0$ for any $x \in U$.

- (b) Let $f: M \rightarrow \mathbb{R}$ be smooth. Suppose for every $p \in M$ there exists $v \in T_p M$ such that $v(f) > 0$.

Prove that there exists a smooth vector field V on M such that $V(p)(f) > 0$ for every $p \in M$.

Hint: Use partition of unity.

Solution

- (a) In some local coordinates V has the form $V(x) = \sum_{i=1}^n v_i(x) \frac{\partial}{\partial x_i} |_x$ where $v_i(x)$ are smooth functions.

Then $g(x) = V(x)(f) = \sum_{i=1}^n v_i(x) \frac{\partial f}{\partial x_i}(x)$ is smooth in x . In particular, it's continuous and since $g(p) > 0$, by continuity, there exists an open set U containing p such that $g(x) > 0$ for any $x \in U$. $\square$

- (b) Let $p \in M$ be any. We are given that there exists $v \in T_p M$ such that $v(f) > 0$.

In some local coordinates x it has the form $v = \sum_{i=1}^n v_i \frac{\partial}{\partial x_i} |_p$ for some $v_1, \dots, v_n \in \mathbb{R}$. Extend v to a smooth vector field V_p on a small open set U_p containing p by the formula $V_p(x) = \sum_{i=1}^n v_i \frac{\partial}{\partial x_i} |_x$. By part (a) we have that $V(x)(f) > 0$ on some open set $W_p \subset U_p$ containing p .

The collection $\{W_p\}_{p \in M}$ is an open cover of M . Let $\{\phi_i\}_{i=1}^\infty$ be a partition of unity subordinate to this cover so that $\text{supp } \phi_i \subset W_{p_i}$.

Let $V = \sum_i \phi_i \cdot V_{p_i}$. We claim that V satisfies the required properties: V is obviously smooth and for any $p \in M$ we have

$$(1) \quad V(p)(f) = \sum_i \phi_i \cdot V_{p_i}(p)(f) \geq 0$$

since all the terms in the sum are nonnegative. Moreover, for every p there is an i such that $\phi_i(p) > 0$. For that i we have that $p \in \text{supp } \phi_i \subset W_{p_i}$ and therefore, $V_{p_i}(p)(f) > 0$. Thus, at least one term in the sum (1) is positive and hence, $V(p)(f) > 0$. $\square$